STIFEL

Getting Started With Stifel Access

Stifel Access is an online viewing tool that provides detailed account information, market data, research, and more for Stifel clients.

Supported Browsers:
Internet Explorer 11
Google Chrome
Safari
Safari Mobile

STIFEL

Online Client Services

Online access for Stifel clients, providing detailed account information, market data, research and more.

If your account is already setup for Stifel Access, please log in to the right. If you are an existing client without online access, please click on the following link to create a new username and password for your account.

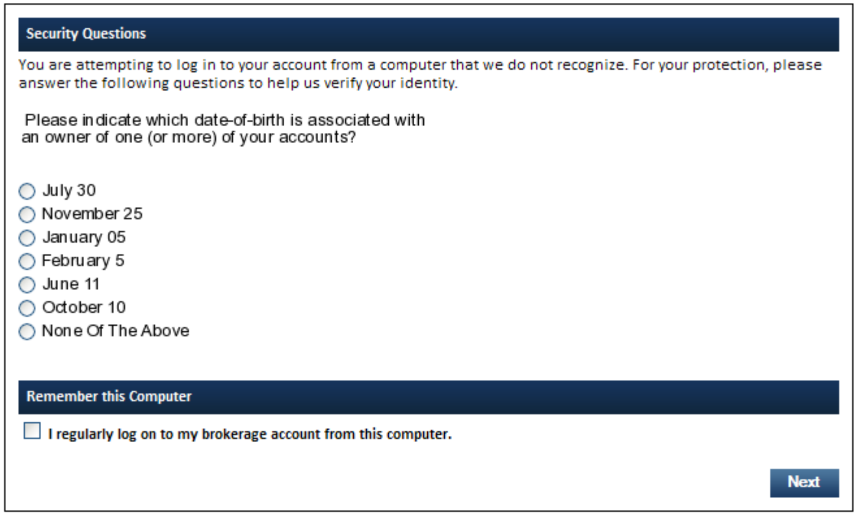
Stifel Access signup.

If you have any questions, please contact the Stifel Client Services team at (800) 679-5446 Monday – Friday, 6:30 AM Central Time – 6:00 PM Central Time or Saturday, 8:00 AM Central Time – 2:00 PM Central Time.

A	B	C
Once at the Stifel Access Login page, enter the Client ID and press **Submit**. The Client ID is the username and will automatically default to all Capital letters.	Checking the **Remember My Client ID** box will auto-populate the login ID for the next sign on.	Selecting **Forgot Your Client ID** will generate a window explaining how to obtain the Client ID. **Forgot Your Password** will open a page that will assist with a password reset.

STIFEL

A Challenge questions



B Log In

A	B
If Stifel Access does not recognize the computer, it will ask a series of three questions to authenticate the user. Choose the appropriate answer and select **Next**.	Enter the password and press **Login** to view the accounts. The password is case sensitive. The Client ID and password will be disabled with three invalid log on attempts.

STIFEL

Stifel Access – Home

A

Home | Accounts | Quotes & Markets | Research | Preferences | eDocuments | eBill | Contact Us

B

Portfolio Summary (20 accounts maximum)** detail

Account	Name	Net Worth*
42325906	DOE JOHN B	$3,500,000.05
70898393	DOE JANE A	$5,200,000.25
		$8,700,000.30

* Valuations are 15 minute delayed. Includes any associated margin and pending check card balances and excludes unpriced securities. Figure may include assets that are not held at Stifel and/or assets that are not covered by SIPC.

** The maximum amount of accounts that can be shown in the Portfolio Summary is 20. If you have any questions, please contact the Client Services department at (800) 679-5446 Monday - Friday, 6:30 AM Central Time - 6:00 PM Central Time or Saturday, 9:00 AM Central Time - 2:00 PM Central Time.

C

Watch List customize

Symbol	Last	Bid	Ask	Change
SF	$34.57	$34.17	$34.97	$0.32

Quotes are 15 minutes delayed, except where indicated otherwise.

F

D

Account: 42325906 - DOE JOHN B   Update

E

What's New

New features, functionality, and enhancements have been added to Stifel Access!

- The holdings page has been enhanced so multiple accounts can be viewed at one time.
- The activity page view can now be customized to filter for types of transactions, a time period, or for specific securities.
- The projected monthly income charts has been enhanced to designate the income source.

Click here for more information.

Stifel Access Information

- Tired of receiving so much Stifel paperwork in the mail? Great news! Hover over the eDocuments tab and select "Delivery Preferences" and you will be given the opportunity to change the drop-downs next to Statements, Confirms, and/or Tax Forms from Paper to Electronic.
- To report a lost or stolen Visa Debit Card, at any time, call toll free 1-800-382-4369, submit your 16 digit card number, and select option 2.
- Stifel recommends changing passwords periodically to protect the safety and security of our clients.
- The Stifel Client Services Team is here to serve you:

Monday - Friday, 6:30 AM Central Time - 6:00 PM Central Time
Saturday 8:00 AM Central Time - 2:00 PM Central Time
(800) 679-5446

A

The navigation bar appears at the top of every page, allowing easy access to all functions within Stifel Access.

B

The **Portfolio Summary** section displays the values of all accounts within the portfolio.

C

The **Customize** link within **Watch List** will give options to customize the symbols that are displayed within this section.

D

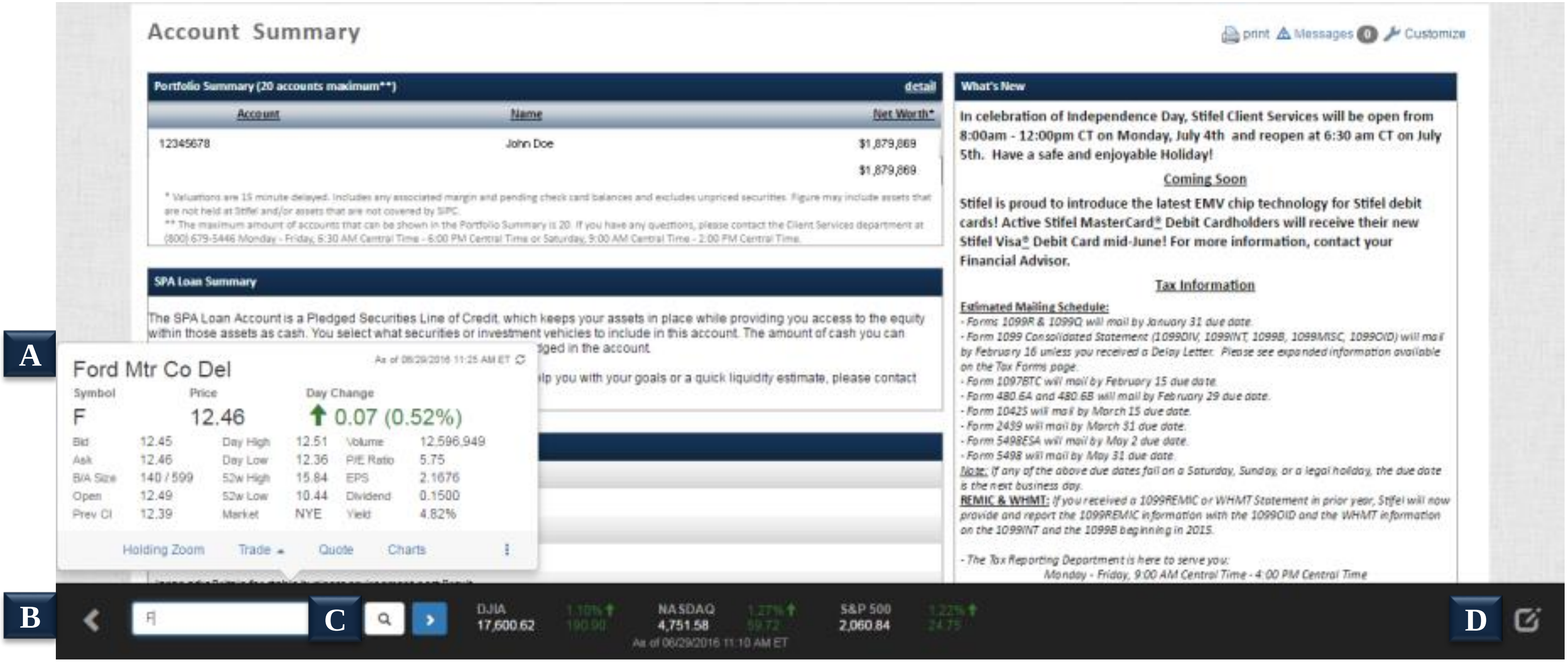
The Account dropdown box allows you to view different accounts within the same page.

E

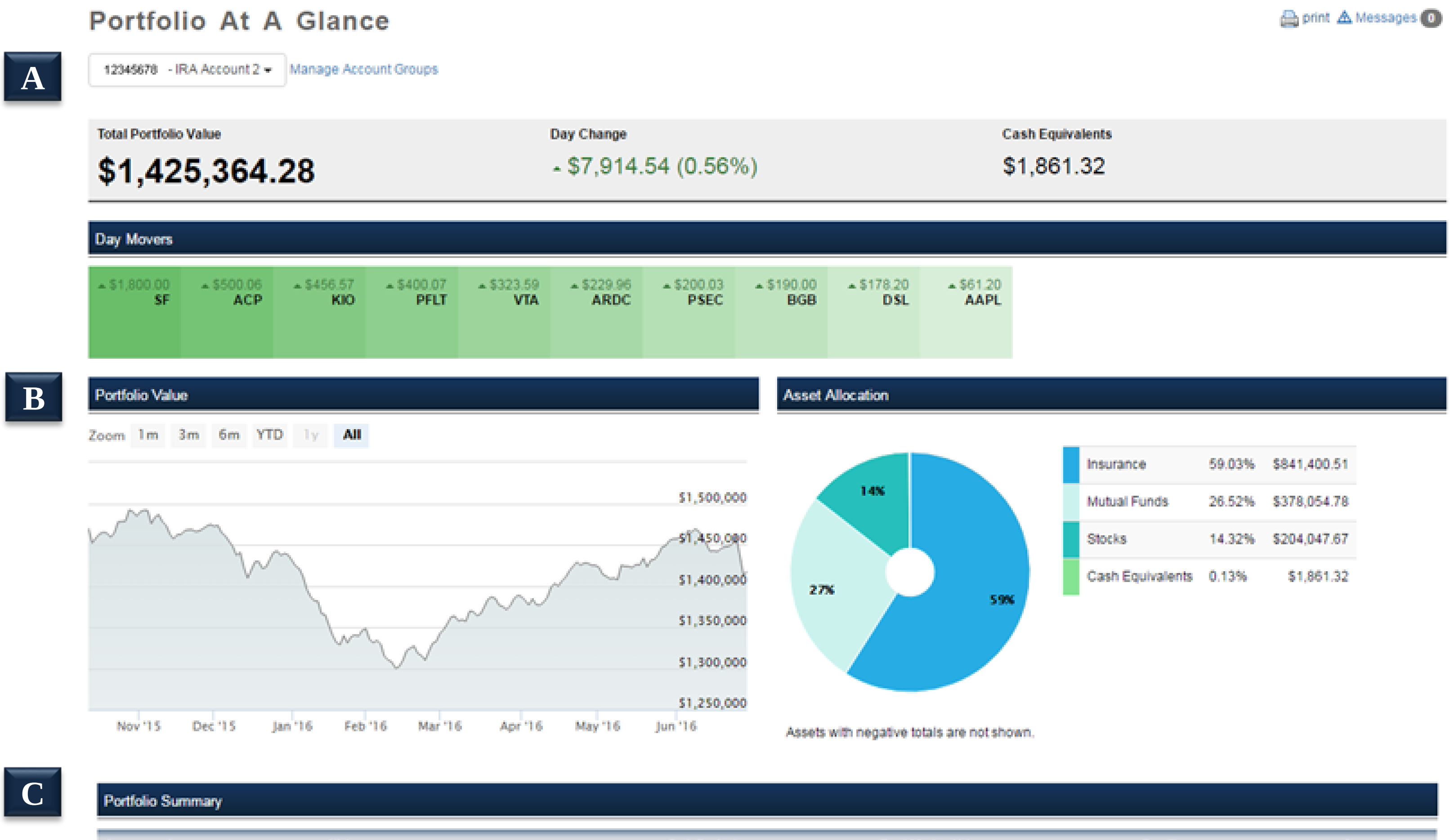
The **What's New** section displays new features of Stifel Access and important messages from Stifel Client Services.

F

The **Customize** link within **Watch List** will give options to customize the symbols that are displayed within this section.



A	B	C	D
The **Snap Quote** provides a quick reference to important market data. In addition, this feature allows access to charts, news, and the holdings zoom section for a select security.	The **Feature Dock** will appear at the bottom of every page. The left arrow allows the ability to expand or collapse the Snap Quote bar.	The **Search** icon allows you to switch between using the company name or company symbol. The blue submit button will execute the search.	The **Edit Dock** allows you to rearrange the layout of Snap Quote to your personal preferences.



A	B	C
The account dropdown menu allows specific accounts to be selected within the household. The **Manage Account Groups** link allows specific accounts to be placed into customizable groups.	The **Portfolio Value** Section is a chart that displays historical values of the selected accounts.	The **Account List** displays the daily change in value on each account that is selected within the accounts dropdown menu.

Accounts – Portfolio at a Glance (Continued)

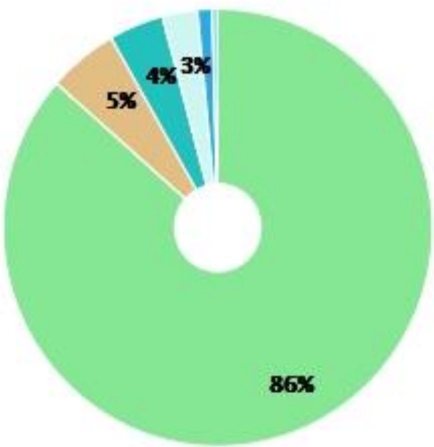
A

Day Movers

▲ $241.50	▲ $233.43	▲ $201.00	▲ $116.17	▲ $89.25	▲ $87.51	▲ $42.94	▲ $41.71	▼ -$42.31	▼ -$181.96
QIHU	PM	SF	AAPL	BABA	VZ	BTU	MO	QDVAX	NFLX

B

Asset Allocation



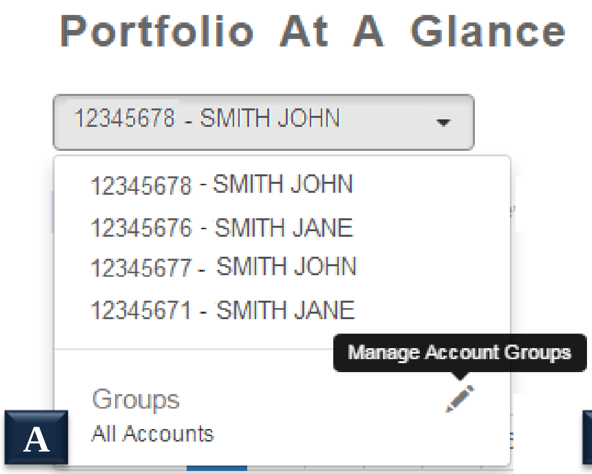
Stocks	4.12%	
AAPL	14.04%	$101,957.64
GOOG	11.71%	$85,015.00
ADBE	8.44%	$61,275.00
LOW	7.57%	$54,952.00
AZO	7.52%	$54,580.50
Other	50.72%	$368,400.25

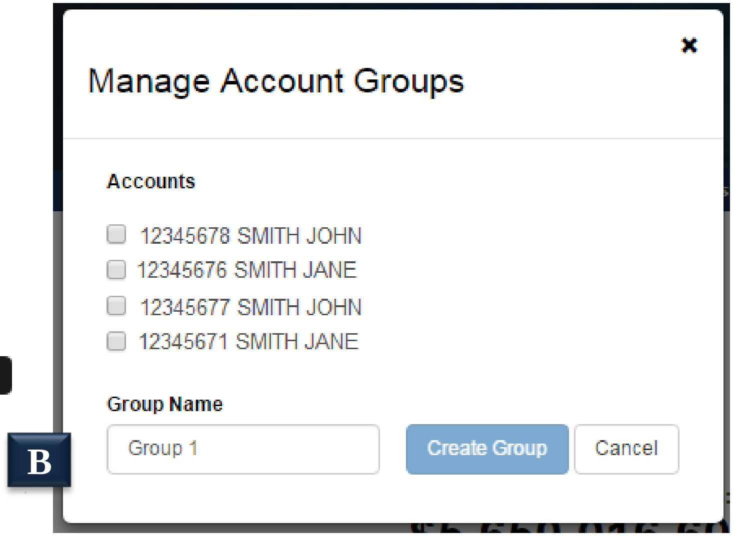
A

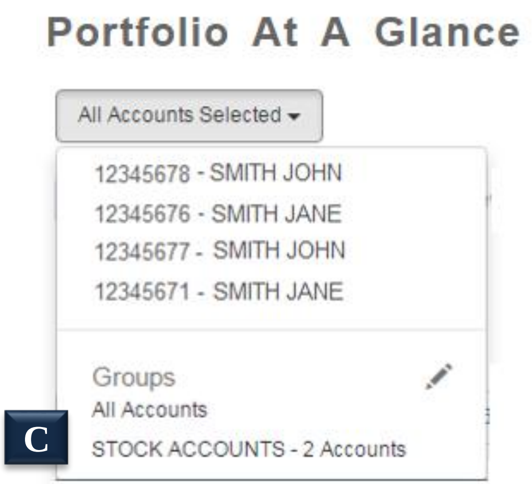
The **Day Movers** section is a visual representation of the positions within the portfolio that have the largest gains and losses based upon dollar change.

B

The **Asset Allocation** section displays the specific allocations within the accounts that are selected. If a section of the pie chart is selected, the specific holdings within that segment will display.







A	B	C
The accounts selection menu provides options to select specific accounts to view in **Portfolio At A Glance** through creating Account Groups. To create an Account Group, select the **Manage Account Groups** icon.	The **Manage Account Groups** link generates a popup window that provides the options to select specific accounts and name the group.	Once a group is created, it will be visible in the accounts selection menu. If the group is selected, only the accounts within that group will be displayed throughout **Portfolio At A Glance**.

Balances

A

12345678 Roth IRA ▾

Total Account Value

$3,347,359.49

View Account Activity

B

Account Balances	
Total Cash & Equivalents	**$2,125,000.11**
Cash Equivalents	$0.00
Money Market Fund	$2,125,000.11
Securities	**$1,222,359.38**

D

Buying Power	
Funds for Margin Trades	$2,125,000.11
Funds for Cash Trades	$2,125,000.11
Equity Percentage	100%

C

IRA Summary (more...)	Current Year ▾
Contributions	$10.475.00
Distributions	$0.00

A

The account dropdown tool allows you to view different accounts within the same page.

B

Account Balances offers a breakdown of Cash/Money Market vs. Investments.

C

IRA Summary shows the contributions and distributions made during the current or prior tax year.

D

Buying Power shows purchase limits for margin and cash trades.

Holdings

12345678 IRA | **B** | All Asset Types ▾

D print | Messages | Export to Excel

A Holdings | Asset View | + Custom View

Total Securities: **$302,161.18**

Total Account Value: **$321,170.00**

Asset Type	Description	Symbol / CUSIP	Quantity	Price	Daily Price Change	Market Value	Daily Value Change	Daily % Change	Unrealized G/L	Estimated Yield
Cash Equivalents	ACCRUED INTEREST		-	-	-	$0.28	-	-	-	-
Cash Equivalents	Insured Bank Program		19,008.54	$1.00	$0.00	$19,008.54	$0.00	0.00%	$0.00	1.00%
Stocks	CHEVRON CORP	CVX	500	$82.94	-$0.73	$41,470.00	-$365.00	-0.87%	$34,142.50	
Mutual Funds	SUNAMERICA FOCUSED DIVIDEND STRATEGY CL C	FDSTX	1,357.58	$14.24	-$0.27	$19,331.94	-$366.55	-1.86%	-$4,018.24	
Stocks	GENERAL ELECTRIC COMPANY	GE	2,400	$28.81	$0.32	$69,144.24	$768.24	1.12%	$57,820.25	
Stocks	JOHNSON & JOHNSON	JNJ	600	$98.22	$1.22	$58,932.00	$732.00	1.26%	$55,089.00	
Stocks	NESTLE S A SPNSD ADR REPSTING REG SHS	NSRGY	750	$70.81	$1.16	$53,107.50	$870.00	1.67%	$48,832.50	3.21%
Stocks	UNITED TECHNOLOGIES CORP	UTX	700	$85.97	$0.34	$60,175.50	$234.50	0.39%	$55,319.25	2.98%
Totals						**$321,170.00**	**$1,873.19**	**0.59%**	**$247,185.26**	

C **E**

Holding Zoom
Quote
Charts
News
Options

A

The **Holdings** page can offer a standard view as well as an **Asset View** and a **Custom View**.

B

The asset type dropdown filters the **Holdings** page to only show securities that fall into the selected asset classes.

C

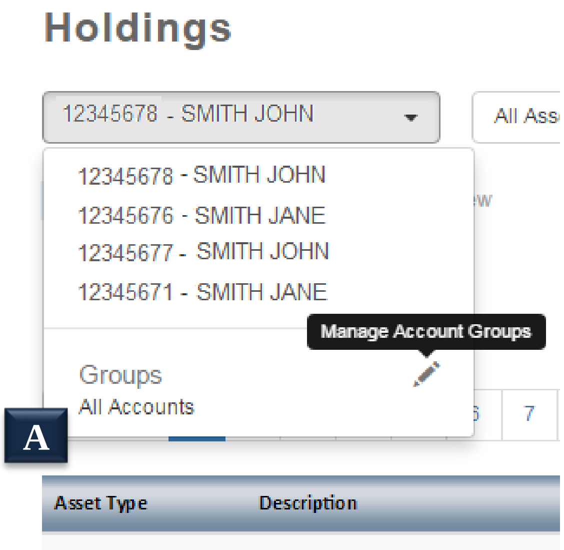
Each column heading can be sorted ascending or descending by selecting that column.

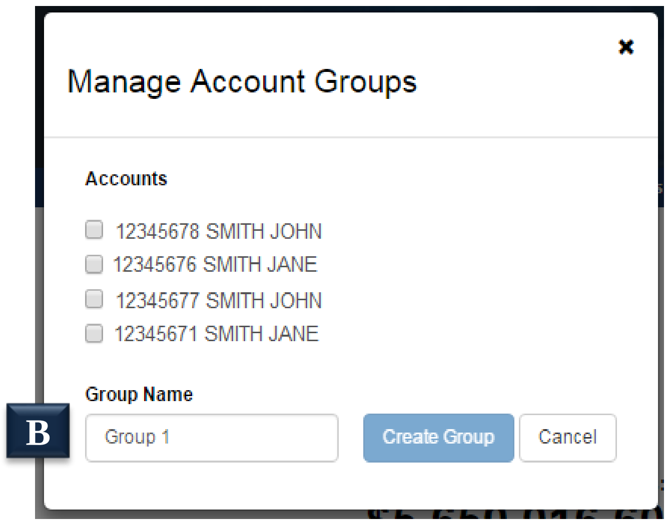
D

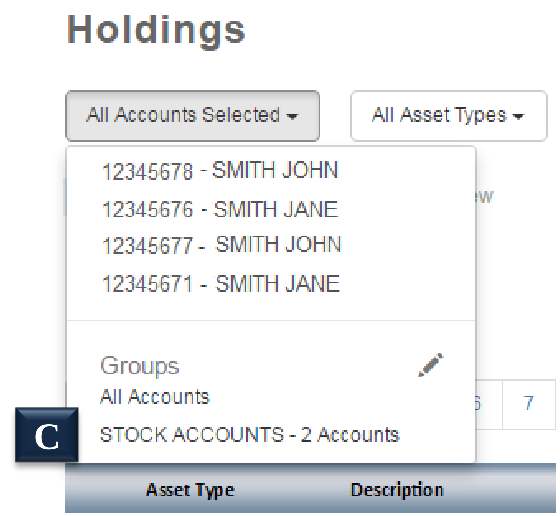
The **Export to Excel** link downloads the holdings to Excel.

E

Selecting the ▾ button provides options to view specific information on the selected security.







A

The accounts selection menu provides options to select specific accounts to view in **Holdings** through creating Account Groups. To create an Account Group, select the **Manage Account Groups** icon.

B

The **Manage Account Groups** link generates a popup window that provides the options to select specific accounts and name the group.

C

Once a group is created, it will be visible in the accounts selection menu. If the group is selected, only the accounts within that group will be displayed throughout **Holdings**.

Holdings

A

All Accounts Selected ▾ | All Asset Types ▾

print | Messages 0 | Export to Excel

Holdings | Asset View | My Custom View | + Custom View

Total Securities: **$945,271.00**

Total Account Value: **$1,059,142.51**

	Asset Type	Description	Symbol / CUSIP	Quantity	Price	Daily Price Change	Market Value	Daily Value Change	Daily % Change	Estimated Yield
+	Cash Equivalents	ACCRUED INTEREST		-	-	-	$1.00	-	-	-
+	Cash Equivalents	Insured Bank Program		11,411.68	-	$0.00	$11,411.68	$0.00	0.00%	-
+	Cash Equivalents	FDIC INSURED BANK DEPOSIT PROGRAM NOT INSURED BY SIPC -W		86,225.37	-	$0.00	$86,225.37	$0.00	0.00%	-
−	Stocks	APPLE INC	AAPL	1,016	$91.88	-$1.52	$93,350.08	-$1,544.32	-1.63%	2.26%

B

Account	Nickname	Quantity	Market Value	Daily Value Change
12345678	Advantage Account 2	700	$64,316.00	-$1,064.00
12345679	Pledged Asset Account 2	125	$11,485.00	-$190.00
12345680	IRA 3	191	$17,549.08	-$290.32

	Asset Type	Description	Symbol / CUSIP	Quantity	Price	Daily Price Change	Market Value	Daily Value Change	Daily % Change	Estimated Yield
+	Stocks	ARTHUR J GALLAGHER & COMPANY	AJG	335	$44.27	-$1.09	$14,828.78	-$363.48	-2.39%	3.43%

A

If multiple accounts are selected from the account selection menu, the **Holdings** page will show aggregate information for the selected accounts.

B

When a security is held in more than one of the selected accounts, the plus icon (+) will display to the left of the security. Clicking on the plus will expand to show the number of shares that each account holds.

Holdings

12345678- IRA | All Asset Types

print | Messages | Export to Excel

A Asset View | + Custom View

Total Securities: **$6,746,064.42**

Total Account Value: **$6,823,586.89**

B Cash Equivalents

Description	Symbol / CUSIP	Quantity	Price	Daily Price Change	Market Value	Daily Value Change	Daily % Change	Unrealized G/L	Estimated Yield
ACCRUED INTEREST		-	-	-	$1.95	-	-	-	-
Insured Bank Program		77,520.52	$1.00	$0.00	$77,520.52	$0.00	0.00%	$0.00	1.00%
Totals					$77,522.47	$0.00	0.00%	$0.00	

B Stocks

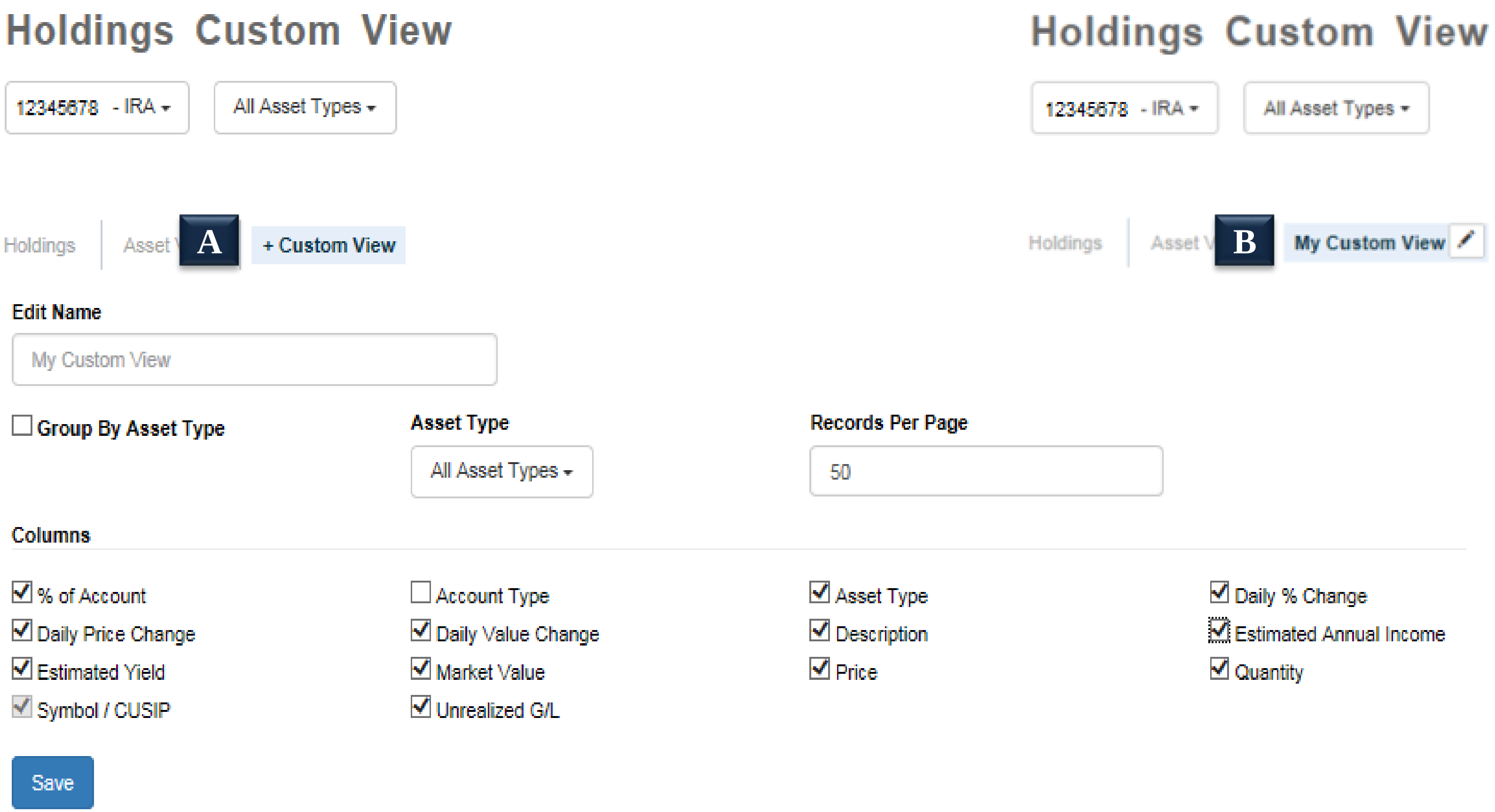
Description	Symbol / CUSIP	Quantity	Price	Daily Price Change	Market Value	Daily Value Change	Daily % Change	Unrealized G/L	Estimated Yield
FLAGSHIP COMMUNITY BANK	2050025	5,000	-	-	-	-	-	-	-
AMR CORP XXX SUBMITTED FOR ESCROW	001ESC102	10,000	-	-	-	-	-	-	-
NCT GROUP INC	62888Q109	10,000	-	-	-	-	-	-	-
APPLE INC	AAPL	10,230.275	$97.92	$0.79	$1,001,697.38	$8,030.77	0.81%	$85,566.51	2.12%
ALLSTATE CORP	ALL	4,555.556	$58.82	$0.69	$267,957.80	$3,143.33	1.19%	$27,181.88	2.04%
AMERICAN WATER WORKS COMPANY INC NEW	AWK	10,558.776	$61.02	$0.54	$644,296.51	$5,701.74	0.89%	$390,181.83	2.23%
BECTON DICKINSON & COMPANY	BDX	2,792.823	$143.76	$1.33	$401,496.23	$3,714.45	0.93%	$32,496.29	1.84%
DEERE & COMPANY	DE	3,237.457	$73.25	$0.13	$237,143.71	$420.87	0.18%	$0.00	3.28%

A

The **Asset View** tab displays the holdings information separated by asset type.

B

All assets within the specific account are listed within each asset group.



A

You can create a custom layout by selecting **+ Custom View.** You can name and specify the layout and columns to be included in your custom view.

B

Once the custom page has been created, select the name of the custom view to see your personalized layout.

Holdings Zoom

print Messages

12345678 - Advantage Account

A

Both Gainers & Losers

Symbol	Daily Value Change
AAPL	(1.36%)
BABA	(4.49%)
BP	(0.48%)
BP CALL 38.00 2017/01/20	(-4.00%)
BTU	(5.80%)
CNC CALL 70.00 2015/12/18	(-25.00%)
DRI CALL 75.00 2015/11/20	(-50.00%)
DRI	(-1.22%)
LITB	(-0.36%)
NFLX	(-6.87%)
PM	(1.96%)
QDVAX	(-0.37%)

ALIBABA GROUP HOLDING LTD

BABA - NYE

$71.65 $3.11 (4.53%)

Quantity	Market Value	Day Change
25	$1,790.50	$77.00

As of Oct 15, 2015 1:28 PM ET

Basics News

B

Holdings

Symbol / CUSIP	Quantity	Daily Value Change	Market Value
BABA	25	$77.00	$1,790.50

B

Gain/Loss

Symbol / CUSIP (Date Acquired for Detail Lots)	Quantity	Unit Cost	Unrealized Gain/Loss
BABA	25	$94.10	-$561.62

B

Order Status

There are no orders for this symbol.

C

Detailed Quote Information

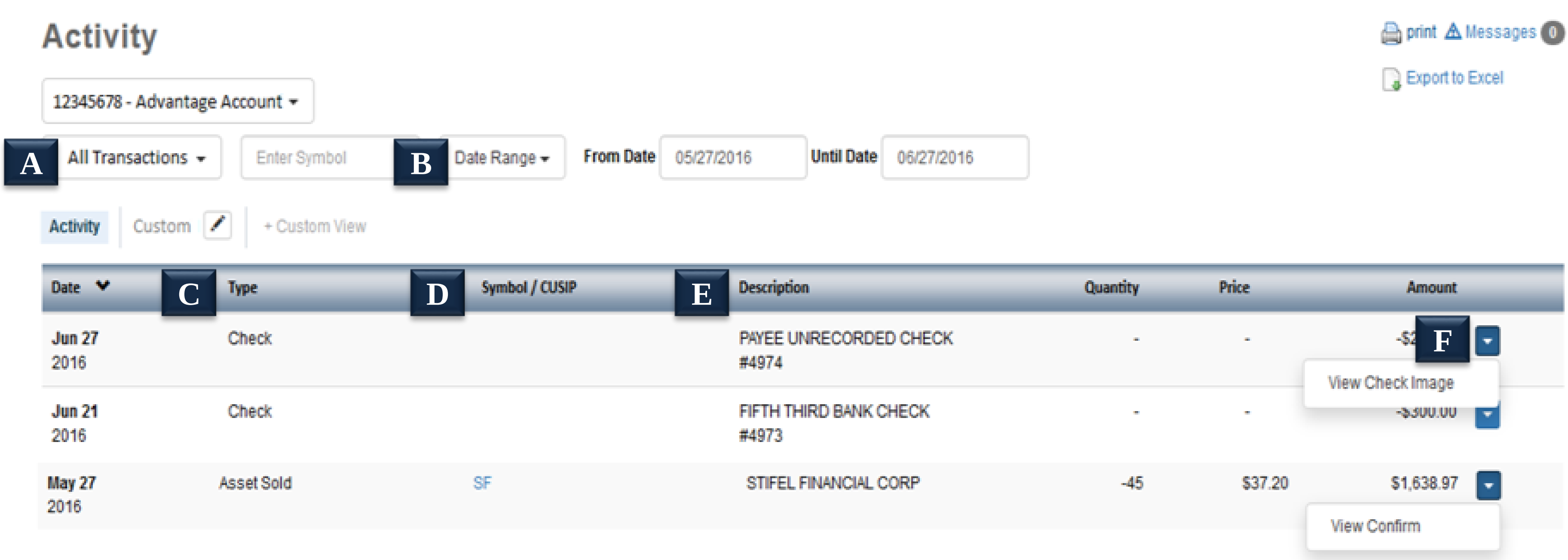
Low-High	P/E Ratio
$69.26 - $71.80	4.20
52 Week	Dividend
$57.20 $120.00	$0.00
Open	Yield
$69.36	-
Volume	EPS
10,920,004	$17.09

C

Price History

Zoom 1w 1m 3m 6m 1y 3y 5y 10y

A	B	C
Choose between gainers and losers and then select the security to display specific information on that holding.	Once the security is selected, the Portfolio Holdings, Gain/Loss, and Order Status on that position will display.	Expanded quote details and a graph that shows the price history will display for the selected security.



A

Select the type of **Transaction**. If none are selected, it will default to All Transactions.

B

Select the **Range** to view activity based on the different time periods provided in the dropdown menu.

C

Activity Type displays the main category that each activity entry is classified. This allows the activity entries to be sorted by type of transaction.

D

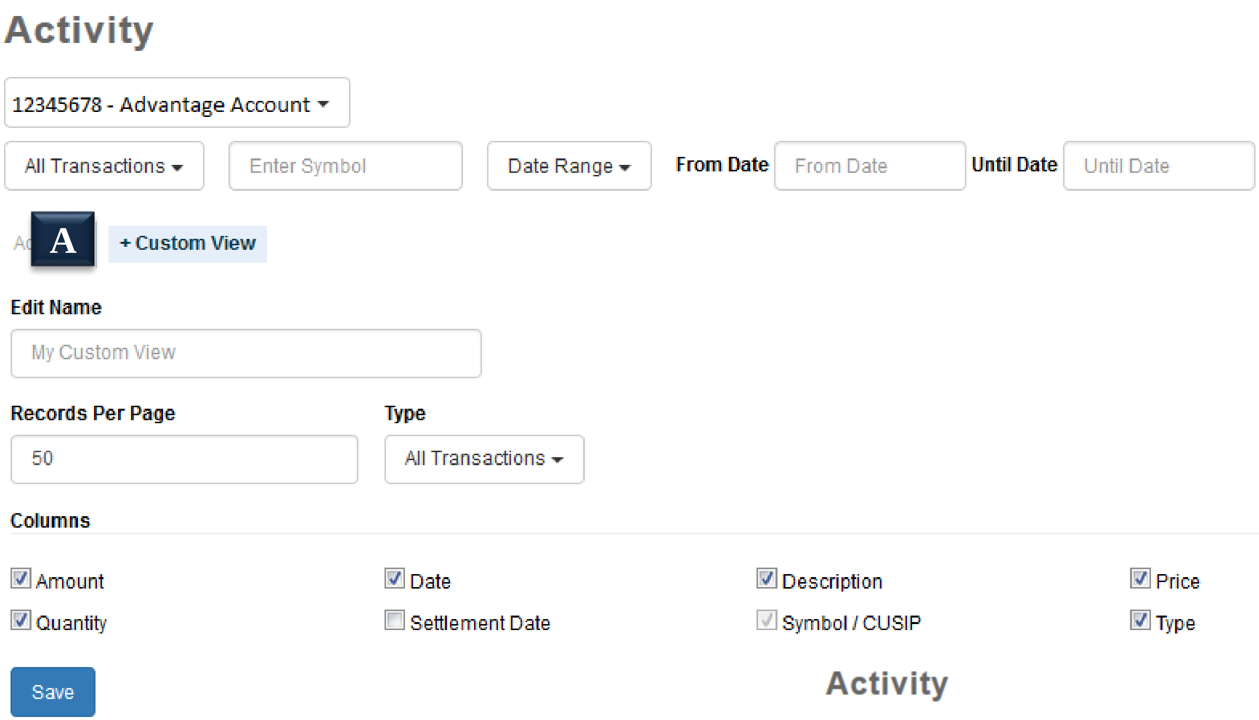
Symbol provides the CUSIP/symbol of the investment associated with the transaction if applicable.

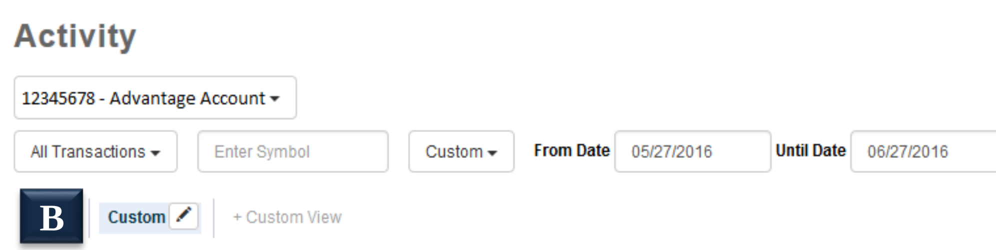
E

Description displays a detailed description of each activity entry.

F

The **Action** drop down provides a link to view a copy of that check image or trade confirmation.





A	B
You can create a custom layout by selecting **+ Custom View.** You can name and specify the layout and columns to be included in your custom view.	Once the custom page has been created, select the name of the custom view to see your personalized layout.

Unrealized Gain/Loss

12345678 IR **A** | All Asset Types ▾ | All Term Types ▾ | Enter Symbol

print | Messages | Export to Excel

All | + Custom View

	Asset Type	Description	Symbol	Qu **C**	Date Acquired	Unit Cost	Market Price	Daily Price Change	Purchase Cost	Market Value	Gain/Loss	% Gain/Loss	Account Type
B +	Stocks	ALTRIA GROUP INC	MO	134.558	Multiple	$24.39	$57.83	$0.28↑	$3,281.24	$7,782.03	$4,500.79↑	137.17%↑	Cash
+	Stocks	ANNALY CAPITAL MANAGEMENT INC	NLY	200.265	Multiple	$16.23	$10.16	-$0.08↓	$3,081.21*	$2,034.69	-$1,216.61↓	-37.42%↓	C **D**
+	Stocks	AT&T INC	T	134.764	Multiple	$27.18	$33.37	$0.10↑	$3,662.99	$4,497.07	$83		
+	Stocks	FRONTIER COMMUNICATIONS CORP	FTR	38.058	Multiple	$6.82	$5.21	$0.11↑	$259.60	$198.28	-$6		
+	Stocks	VERIZON COMMUNICATIONS INC	VZ	130.616	Multiple	$30.94	$44.46	$0.47↑	$4,041.45	$5,807.19	$1,765.74↑	43.69%↑	Cash
	Totals								$14,496.58	$20,319.26	$5,822.68↑	40.17%↑	

Holding Zoom
Quote
Charts
News

A

The dropdown menus allow the positions to be filtered by asset type and by the term.

B

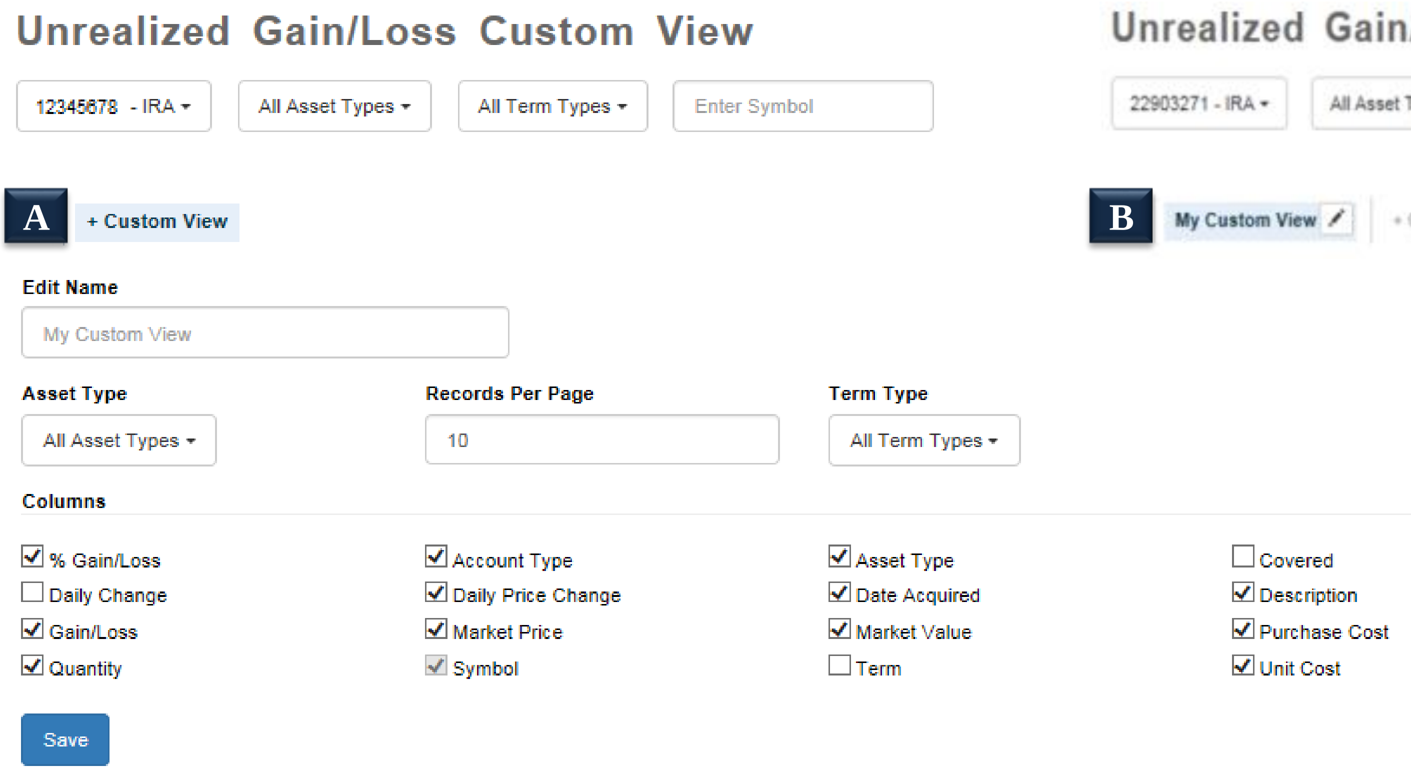
Selecting the **plus/minus** symbols allows the tax lot to be collapsed vs. expanded.

C

Date Acquired shows what date the asset was purchased or received into the account. *(**Multiple** denotes several tax lots.)*

D

Selecting the button provides options to view specific information on the selected security.



A	B
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STIFEL

Realized Gain/Loss

12345678- Advantage Account | All Asset Types | All Term Ty… **A** | Current Year

print | Messages | Export to Excel

All | + Custom View

B View Summary

Asset Type	Description	Symbol	Quantity	Date Acquired	Date Sold	Cost Basis	Proceeds	**C** Realized Gain/Loss	Covered
Stocks	BANK AMERICA CORP	BAC	6,645	Aug 21 2014	Jan 4 2016	$104,929.55	$108,111.05	$3,181.50	Yes
Stocks	BANK AMERICA CORP	BAC	4,850	Sep 12 2013	Jan 4 2016	$71,736.50	$78,907.23	$7,170.73	Yes
Stocks	BANK AMERICA CORP	BAC	4,000	Feb 1 2012	Jan 4 2016	$29,765.00			

Summary

	Gain	Loss	Net Gain/Loss
Short-Term	$1,397.84	-$170.08	$1,227.76
Long-Term	$81,041.01	-$4,788.50	$76,252.51
Total	**$82,438.85**	**-$4,958.58**	**$77,480.27**

A

The year dropdown menu can show the current year or prior year realized gain or loss.

B

The **View Summary** link will display a popup window that shows a summary of all gains and losses, separated out from short and long term.

C

The **Realized Gain/Loss** column displays the gain/loss for the specific holdings within the account.

Realized Gain/Loss Custom View

12345678 - Advantage Account | All Asset Types | All Term Types | Current Year

A + Custom View

Edit Name

My Custom View

Asset Type: All Asset Types

Records Per Page: 10

Term Type: All Term Types

Year: Current Year

Columns

% Gain/Loss	Asset Type	Cost Basis	Covered
Date Acquired	Date Sold	Description	Market Value
Proceeds	Quantity	Realized Gain/Loss	Symbol
Term			

Save

Realized Gain/Loss Custom View

12345678 - Advantage Account | All Asset Types | All Term Types

B My Custom View | + Custom View

A

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B

Once the custom page has been created, select the name of the custom view to see your personalized layout.

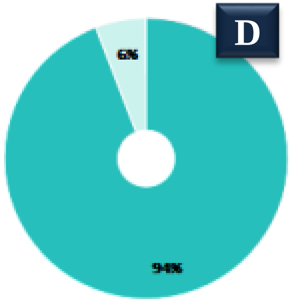
Asset Allocation

print Messages

A 12345678 - Advantage Account

B Asset Class	Market Value	% of Assets	Cost	C Unrealized Gain/Loss	YTD Realized Gain/Loss
Stocks	$6,886,534.36	94.24%	$5,288,576.78	$1,598,501.75	$63,641.39
Mutual Funds	$421,006.83	5.76%	$413,594.74	$7,412.09	-
Cash Equivalents	$94.33	0.00%	-	-	-
Other	-	0.00%	-	-	-
Total	**$7,307,635.53**	**100.00%**	**$5,702,171.52**	**$1,605,913.85**	**$63,641.39**

Income Information	
Estimated Annual Income	$195,806.65
Estimated Yield	2.68%



D

Stocks	94.24%	$6,886,534.36
Mutual Funds	5.76%	$421,006.83
Cash Equivalents	0.00%	$94.33

A

Select an account from the dropdown menu to display the asset allocation.

B

The **Asset Class** tab groups all securities within the account into their respective asset type.

C

Gain/Loss overview is available for each asset class.

D

The Asset Allocation pie chart illustrates the percentage for each asset class in the selected account.

Household Overview

print Messages 0

All Accounts Selected Manage Account Groups

A Overview + Custom View

Account	Nickname	B Cash Equivalents	Security Value	Total Value	Cost	C Unrealized Gain/Loss	YTD Realized Gain/Loss	D Est. Annual Income
12345678	JOHN DOE - IRA	$30,861,473	$15,175,232	$46,036,704	$15,171,900	$2,985	-$731,921	$500,880
Total		**$30,861,473**	**$15,175,232**	**$46,036,704**	**$15,171,900**	**$2,985**	**-$731,921**	**$500,880**

A

Account names and numbers within the client household are listed on the **Overview** page.

B

Cash equivalents include cash, money market funds, and any margin balances.

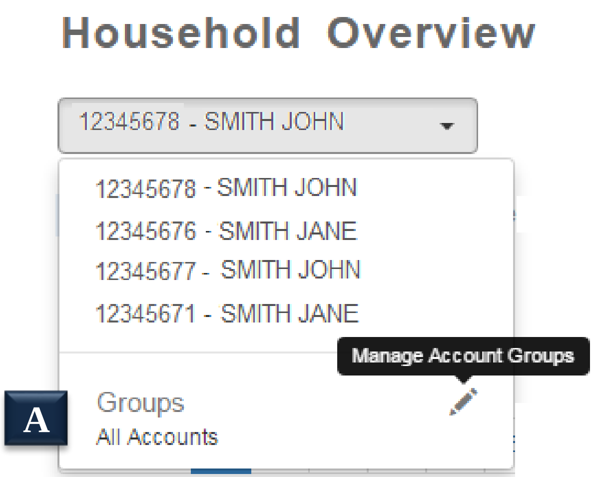
C

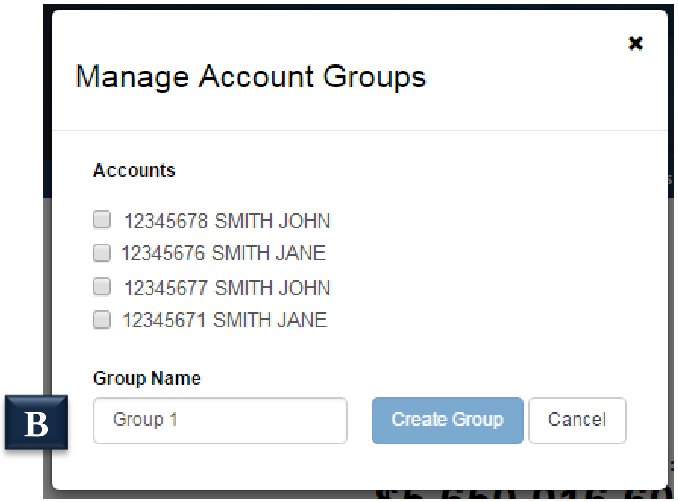
Unrealized Gain/Loss displays information based on the close of the previous business day's holdings and today's intraday value.

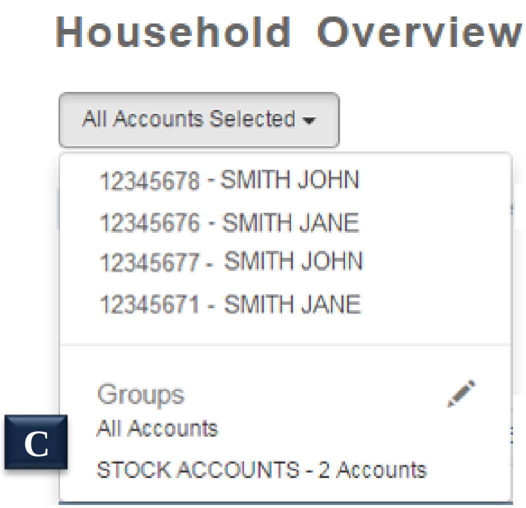
D

Estimated Annual Income provides a projected dollar amount based on current holdings in each account.

Accounts – Household Overview (Manage Account Groups)







A

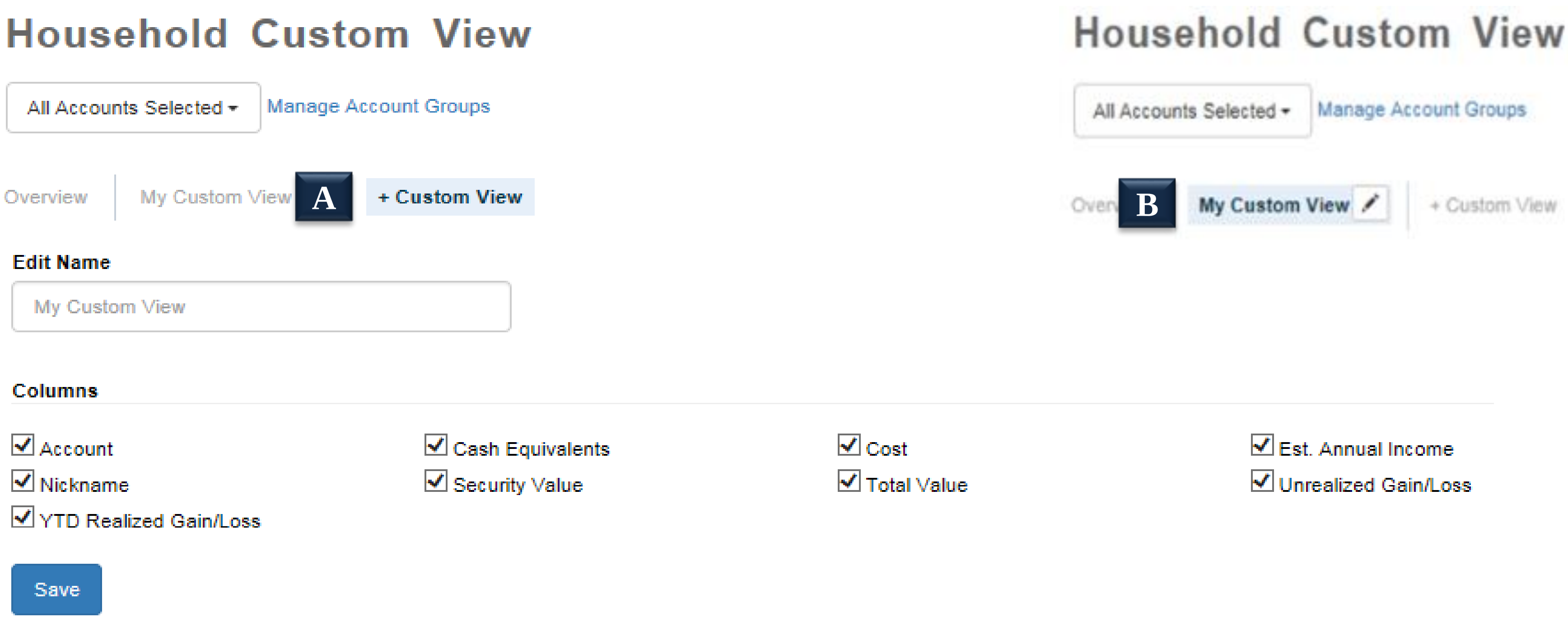
The accounts selection menu provides options to select specific accounts to view in **Household Overview** through creating Account Groups. To create an Account Group, select the Manage Account Groups icon.

B

The **Manage Account Groups** link generates a popup window that provides the options to select specific accounts and name the group.

C

Once a group is created, it will be visible in the accounts selection menu. If the group is selected, only the accounts within that group will be displayed throughout **Household Overview**.



A	B
You can create a custom layout by selecting **+ Custom View.** You can name and specify the layout and columns to be included in your custom view.	Once the custom page has been created, select the name of the custom view to see your personalized layout.

STIFEL

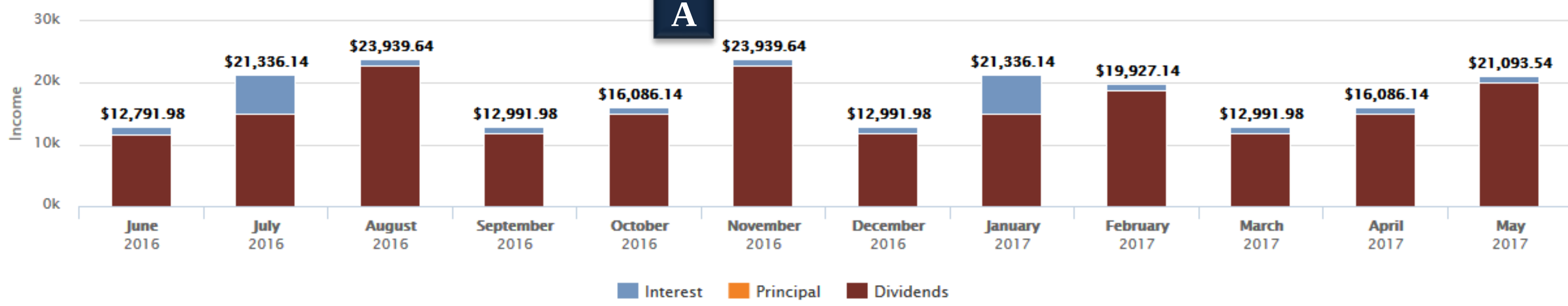
Accounts – Projected Monthly Income

Projected Income

print Messages 0

12345678 - DOE JOHN B

A



Projected Income

	Month	Year	Symbol	Description	Interest	Principal	Dividends	Total
B	June	2016			$1,128.26	$0.00	$11,663.72	$12,791.98
	June	2016	AFL	AFLAC INC	$0.00	$0.00	$512.50	
	June	2016	ARCC	ARES CAPITAL CORP	$0.00	$0.00	$2,850.00	
	June	2016	BAC	BANK AMERICA CORP	$0.00	$0.00	$750.00	
	June	2016	CSFL	CENTERSTATE BANKS INC	$0.00	$0.00	$402.52	

C D E

A

The values of each income type (Interest, Principal, and Dividends) display as a bar above each month.

B

Selecting the **plus/minus** symbol will display collapsed vs. expanded security breakdown for that month.

C

The **Interest** column shows estimated interest to be paid on the securities.

D

The **Dividends** column shows estimated dividends to be paid on the securities.

E

The **Total** column shows the total estimated interest and dividends to be paid on the securities for the month listed.

Income Summary

A

Income Summary

	Current Period	Year To Date
Non Qual Dividends	1,876.29	14,176.11
Qualified Dividends	28,310.64	170,035.87
Money Market	0.09	1.88
Total Income	**30,187.02**	**184,213.86**

Exempt Income Summary

	Current Period	Year To Date
Total Exempt Income	**0.00**	**0.00**

Expense Summary

	Current Period	Year To Date
Foreign Withholding	-141.00	-423.00
Margin Interest	0.00	-923.38
Total Expense	**-141.00**	**-1,346.38**

Gross Proceeds

	Current Period	Year To Date
Income Less Expense	30,046.02	182,867.48

B

Money Market Fund Yield

Current Period	Year To Date
0	0

A

The **Income Summary** page displays account income and expenses for the current period and Year To Date. **Current Period** is tracking income and expenses for the current quarter.

B

The **Money Market Fund Yield** is located at the bottom of the **Income Summary** page and displays the current money market yield.

SPA Loan Summary

12345678- Advantage Account

Loan Account

ABC123GEF1

A

General Loan Information	
Current Principal Balance	$500,000.00
Loan Origination Date	08/31/2015
Maturity Date	-
Current Interest Rate	2.43%
Rate Type	Variable

B

Credit Available	
Credit Line Amount	$4,150,000.00
Available Line Remaining	$3,196,897.81
Last Advance Date	10/30/2015

C

Payment Information	
Last Payment Date	12/22/2015
Next Payment Date	01/30/2016
Scheduled Payment	$0.00

D

Year-To-Date Totals	
Principal	$0.00
Interest	$639.84

A

General Loan Information shows a breakdown of current balance, origination date, maturity date, interest rate, and original loan amount.

B

Credit Available shows a breakdown of Credit Line Amount vs. Available Line Remaining.

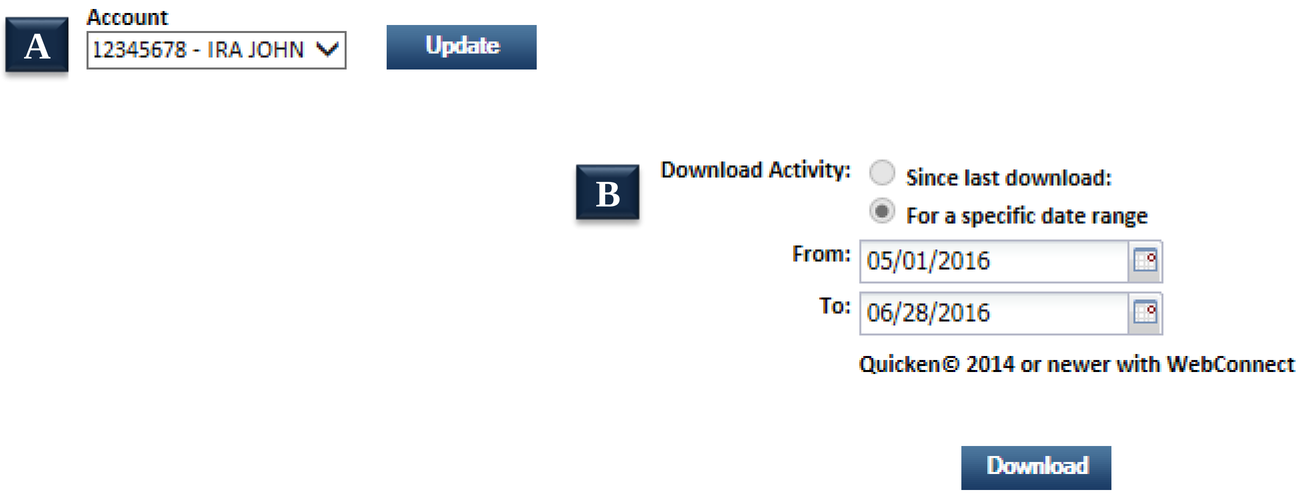
C

Payment Information shows the last payment date and the next payment date. It also shows any scheduled payments.

D

Year-To-Date Totals shows Principal vs. Interest.

Download



A	B
The desired account to be downloaded into Quicken must be selected from the dropdown menu.	The **Download** page allows transactions to be downloaded since the last download or for specific date ranges.

A

Awards

Account	Awards Status
12345678 - DOE JOHN B	Launch Awards Site
12345679 - DOE JANE A	Not Eligible

B

Awards Information

Launch Awards site
By clicking this link you will leave the Stifel Access website and enter our Awards site. To return to this site click the "Return to Stifel Access" link.

Not Eligible
Your account is not eligible for the Awards program. See below for information on how to become eligible.

What is the Stifel Awards Program and how do I enroll?

The Stifel Awards program is just one more benefit of having a STIFEL|ADVANTAGE account.

The best way to earn points—and more!

The account holder will accumulate points on the basis of one point per dollar of net eligible purchases posted on the account holder's debit card account, exclusive of cash advances, traveler's checks, and returns. Also, one point will be earned for every $10 invested in money market holdings or in FDIC insured bank deposit program balances. Balances are annualized and posted quarterly. Points do not accumulate for fees of any kind. For full Program details, click here.

Stifel Awards Program advantages:

Ability to redeem points online anytime, anywhere
Hundreds of Award options to choose from

Let the debit card purchases you make every day earn you that next great getaway vacation or a gift card to your favorite restaurant! To apply for a Stifel Awards Account Debit MasterCard® or to upgrade your Stifel Prestige® account to a STIFEL|ADVANTAGE account, contact your Financial Advisor today!

A

The **Awards** page displays all accounts within the household and also includes a link to open the awards site on Award Points eligible accounts.

B

The **Awards** page legend displays general information on how to use the page, and it also defines accounts eligible for the Stifel Awards Program.

print Messages 0

A

Name and Address	**JOHN B DOE 501 B BROADWAY ST. LOUIS, MO 63102**
Home Telephone	314 342- 2255
Work Telephone	314 342- 2111
Investment Objective	GROWTH
Financial Advisor	KLOSS C
Branch Address	ONE FINANCIAL PLAZA 501 NORTH BROADWAY ST. LOUIS, MO 63102-2131
Financial Advisor Telephone	(314)342-2000
	Margin agreement on file

B

A

The first part of the **Profile** page displays the account owner(s)' name and address, phone numbers, and the investment objective. For security purposes, please contact your Financial Advisor to change personal information on your account(s).

B

The last section of the **Profile** page displays the Financial Advisor's information.

Order Status

A 12345678 - DOE JOHN B

B All Asset Types

C All Statuses

D All Order Types

print Messages 0

Order Status | Group by Status | + Custom View

Transaction	Original Quantity	Remaining Quantity	Symbol	Price	Time In Force	Instructions	Security Type	Date & Time	Order ID	Status	Actions
Buy	500	-	NPM	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020350 WE0011	Executed	
Buy	500	-	VTA	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020402 WE0012	Executed	
Buy	500	200	VTA	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020402 WE0012	Executed	
Buy	500	400	VTA	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020402 WE0012	Executed	
Buy	500	300	VTA	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020402 WE0012	Executed	
Buy	200	-	DAL	Market	Day	-	Stocks	Jul 7, 2016 10:58:00 AM ET	04020334 WE0010	Executed	
Sell	1,100	-	JPC	Market	Day	-	Stocks	Jul 7, 2016 10:58:00 AM ET	04020315 WE0009	Executed	

A

Select an account from the dropdown menu to display the most recent trade activity for that account.

B

The **Asset Type** dropdown will allow you to select between stocks, options, and mutual funds.

C

The **Status** dropdown will allow you to select between executed, pending, open, cancelled, rejected, and expired trades.

D

The **Order Type** dropdown will allow you to select between market, limit, stop, stop limit, and market on close orders.

Order Status

B

print Messages 0

12345678 - DOE JOHN B | All Asset Types | All Statuses | All Order Types

A

Order Status | **Group by Status** | + Custom View

Executed

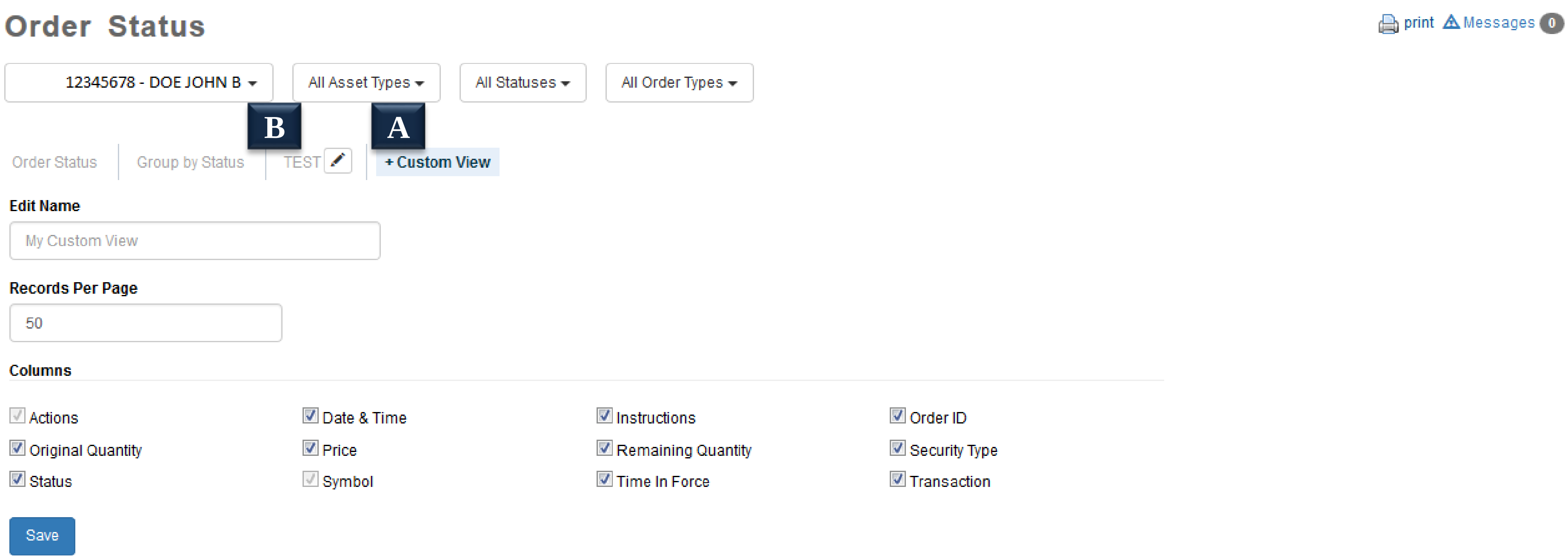
Transaction	Original Quantity	Remaining Quantity	Symbol	Price	Time In Force	Instructions	Security Type	Date & Time	Order ID	Actions
Buy	500	-	NPM	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020350 WE0011	
Buy	500	-	VTA	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020402 WE0012	
Buy	500	200	VTA	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020402 WE0012	
Buy	500	400	VTA	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020402 WE0012	
Buy	500	300	VTA	Market	Day	-	Stocks	Jul 7, 2016 10:59:00 AM ET	04020402 WE0012	
Buy	200	-	DAL	Market	Day	-	Stocks	Jul 7, 2016 10:58:00 AM ET	04020334 WE0010	
Sell	1,100	-	JPC	Market	Day	-	Stocks	Jul 7, 2016 10:58:00 AM ET	04020315 WE0009	

A

Select **Group by Status** to see an alternate view of the order status screen.

B

Status is grouped by the following: Executed, Pending, Open, Cancelled, Rejected, and Expired.



A

Select **+ Custom View** to choose options for a custom page.

B

Once the custom page has been created, select the name of the custom page to view.

STIFEL

Research

A

Date	Title	Company / Industry	Analyst
05/28/2013	Weekly Prescriptions Update for Week Ending 05/17/13	Pharmaceuticals: Specialty	Samimy
05/28/2013	Shorts Kept at Bay in Early May	Quantitative Analysis	Motta
05/28/2013	Security & Internet Infrastructure Weekly - 5/20/13-5/24/13	Software	Weller
05/28/2013	Many Opportunities in EyeCare; Raising TP to $110	VRX	Samimy
05/28/2013	Doesn't Fill Near-Term Void, but Meaningfully Expands Longer-Term Pie	CEMP	Willey
05/28/2013	Palo Alto Networks (PANW, $53.46, Buy): F3Q13 Preview	PANW	Weller
05/28/2013	Adjusting Estimates Slightly	TTS	Baugh
05/28/2013	God Bless Texas; Recapping KBW's 2013 Texas Field Trip	Regional Banks	Gailey, CFA
05/28/2013	Possible Nonbank SIFI Vote on Monday, June 3	Washington Research	Gardner
05/28/2013	Publishing Snapshot	Media & Entertainment	Crum
05/28/2013	April European/Cross-Boarder Flows: Fixed Income and Allocation Drive Growt...	Asset Management/Investment Services	Hopson
05/28/2013	Court Sides With Comcast in Throwing Out FCC Tennis Channel Carriage Order	Telecom Services	King
05/28/2013	Highlights from FNF's LPS Acquisition Call	FNF	George
05/28/2013	Toy Snapshot	Media & Entertainment	Crum
05/28/2013	Video Games Snapshot	Media & Entertainment	Crum
05/28/2013	Weekly Flows: Mutual Fund and ETFs Sustain Momentum	Asset Management/Investment Services	Hopson
05/28/2013	We Expect a Successful Acquisition of Omthera; Downgrading to Hold	OMTH	Sendek

B

Search

Company

Symbol: [ ] Go

Analysts

Industries

(choose a sector)

(choose an industry)

Legend

- Company
- Presentation
- Research Summary
- Sector
- White Paper

Links

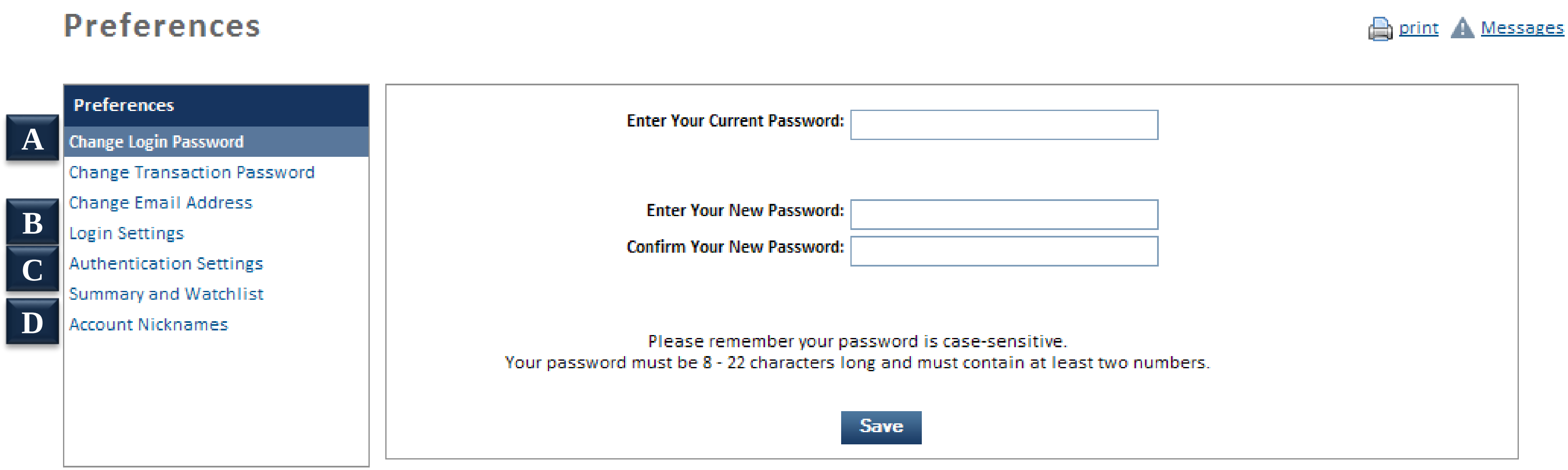
Research Home Page
Company Index
Required Disclosures

A

Recent stock reports and articles are available by clicking on the corresponding link.

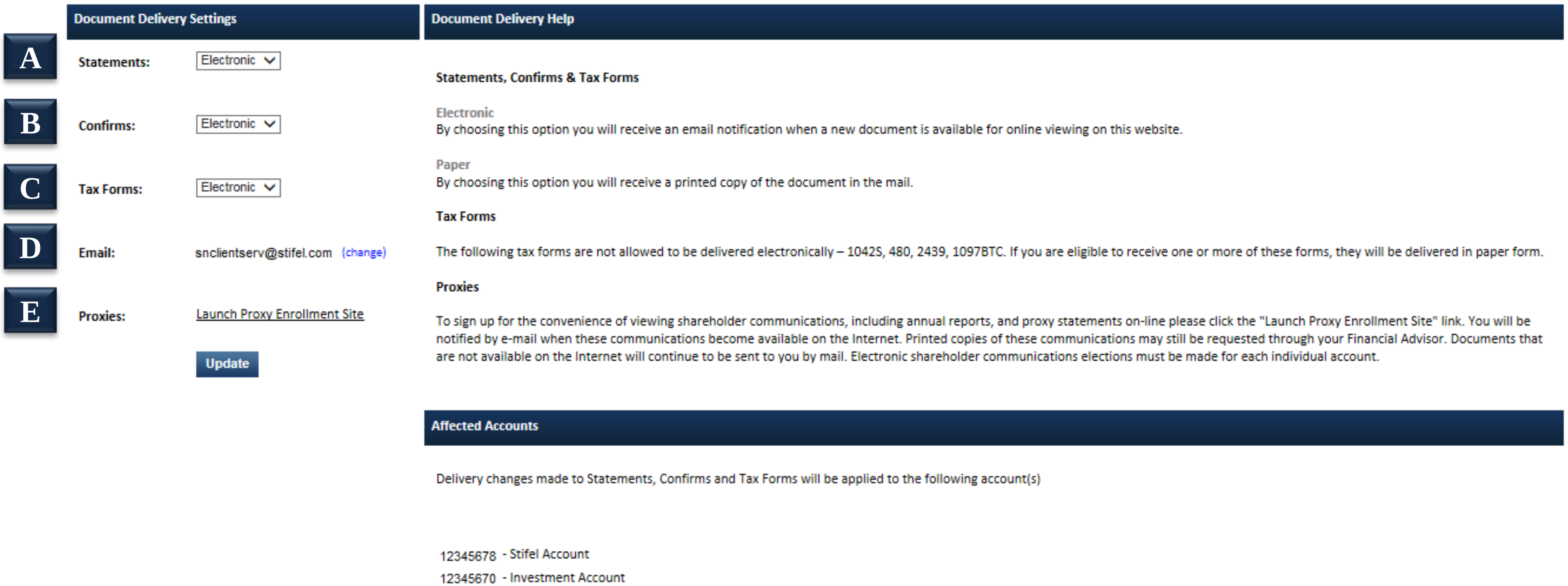
B

Specific reports can be viewed by searching for a Symbol, Analyst, or Industry.

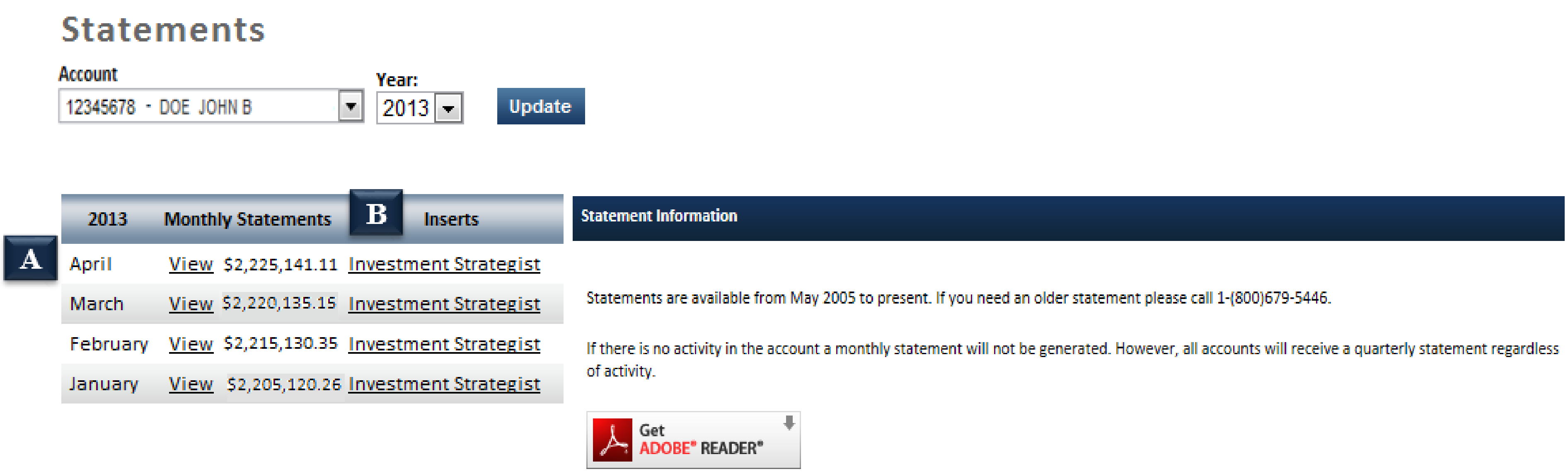


A	B	C	D
The **Preferences** Page allows the login password and e-mail address to be updated.	The **Login Settings** link allows different pages within Stifel Access to be set up as the Home Page.	The **Authentication Settings** link will give the option to change security questions and tag the computer to bypass security questions.	The **Account Nicknames** link allows the names that are displayed within the pages of Stifel Access to be customized.

Document Delivery



A	B	C	D	E
Designate document delivery preference for statement delivery.	Designate document delivery preference for trade confirmation delivery.	If document delivery is changed for Tax Forms, a window will appear to verify which accounts should be delivered through electronic notification.	Verify that the e-mail address on file is correct. *This must be correct to ensure electronic notification of statements and/or trade confirmations.*	The **Launch Proxy Enrollment** link opens the Proxy Enrollment web site to change proxy delivery preferences per account.



A

The **Statements** page will display monthly statements for multiple years dating back to 2005.

B

The Investment Strategist inserts are available by selecting the appropriate link.

Confirms

A

B

Account: 12345678 - DOE JOHN B

Range: Recent 10

From:

To:

Confirm Type: All

Update

	Trade Date	Security	Symbol	Buy/Sell	Quantity	Price	Commission	Net Amount
View	1/27/2016	BANK AMERICA CORP	BAC	S	1.20	$13.34	$0.00	$15.93
View	8/4/2015	GENERAL ELECTRIC COMPANY	GE	S	1.26	$25.89	$0.00	$32.51
View	7/28/2015	BANK AMERICA CORP	BAC	S	1.00	$17.78	$0.00	$17.77
View	6/18/2014	FANNIE MAE VOTING SHARES	FNMA	B	1.00	$4.10	$0.00	$4.10
View	6/18/2014	FANNIE MAE VOTING SHARES	FNMA	S	1.00	$4.09	$0.00	$4.08
View	5/16/2014	SIRIUS XM HLDGS INC	SIRI	B	1.00	$3.12	$0.00	$3.12
View	5/16/2014	SIRIUS XM HLDGS INC	SIRI	S	1.00	$3.11	$0.00	$3.10
View	5/16/2014	SIRIUS XM HLDGS INC	SIRI	S	1.00	$3.11	$0.00	$3.10
View	1/11/2013	ELECTRONIC ARTS INC	EA	B	3.00	$14.30	$0.00	$42.90
View	10/17/2012	SIRIUS XM RADIO INC	SIRI	B	1.00	$2.85	$0.00	$2.85

Confirm Information

Terms & Conditions are included with your Daily Trade Confirmation after 7/14/2014. Copies of the Terms & Conditions prior to that date can be requested by calling Client Services at 1-(800)679-5446 or emailing them at snclientserv@stifel.com.

Get ADOBE® READER®

A

The most recent ten trade confirmations will display. These confirms can be narrowed down by selecting a specific date range.

B

Confirm Type can be changed to narrow search results by choosing Open Order Confirms or Daily Confirms.

Tax Forms

A

2015	Account		Form	Date	Pages
View	12345678 -	DOE JOHN B	1099C	3/9/2016	30
View	12345678 -	DOE JOHN B	1099C	2/6/2016	4

Tax Form Information

Click here for information regarding your tax documents:
http://www.stifel.com/individual/taxreportinginformation

TURBOTAX® DOWNLOAD NOTICE:

Stifel offers the ability to download your 1099 information directly into TurboTax® using your account number and the unique document ID listed on the Tax Form. Stifel is not responsible for any misreporting on an individual's tax return that may occur when using the download function within TurboTax® for the client's 1099 information. TurboTax® does not support the download process for all 1099 reportable transactions; therefore, Stifel does not guarantee this information is complete or correctly downloaded on your income tax return. Clients cannot rely solely on the download when preparing their tax return. All information should be reviewed and compared with hard copy documents including, but not limited to, 1099 statements, buy/sell confirmations, and periodic statements provided by Stifel, as well as discussed with a qualified tax professional.

If you have questions about the information reported on your tax form(s) or imported into TurboTax®, please contact our Tax Reporting department at (800)-401-0088, Monday through Friday, 9:00 a.m. to 4:00 p.m. CT.

Get ADOBE® READER®

A

The **Tax Forms** page displays the most recent year's tax form for each account available.

A

Advisory Reports

Account

12345678 - DOE JOHN B

Year:

2013

Update

B

Quarter	Program	Manager	
Q1	Horizon Program	Non-Discretionary	View
Q2	Horizon Program	Non-Discretionary	View
Q3	Horizon Program	Non-Discretionary	View
Q4	Horizon Program	Non-Discretionary	View

Advisory Performance Report Information

Stifel Advisory Performance Review Guide (PDF)

All Stifel advisory accounts receive a quarterly performance review. If you have questions about your advisory account performance, please contact your Stifel Financial Advisor.

Adobe® Reader® is required to view Advisory Reports. You can download it for free by clicking the image below.

Get ADOBE® READER®

A

The **Advisory Reports** page displays Advisory Performance Reports for each managed account.

B

The Advisory Performance Reports are available to view dating back two years.

A Check Images

Account: 12345678 - DOE JOHN B

B Year: 2016 Month: May Check Number:

Search by Check Range

Refresh

Posted Date	Check Number	Payee	Amount	View
5/4/2016	1002	US BANK	$150.0 C	View

Check Images Information

Check images are available dating back two years from the current month. The check images displayed are for accounts with the check writing feature and are only for checks written by the client. For checks images dating back more than two years, please contact your Financial Advisor. Adobe® Reader® is required to view Check Images. You can download it for free by clicking the image below.

Get ADOBE® READER®

A

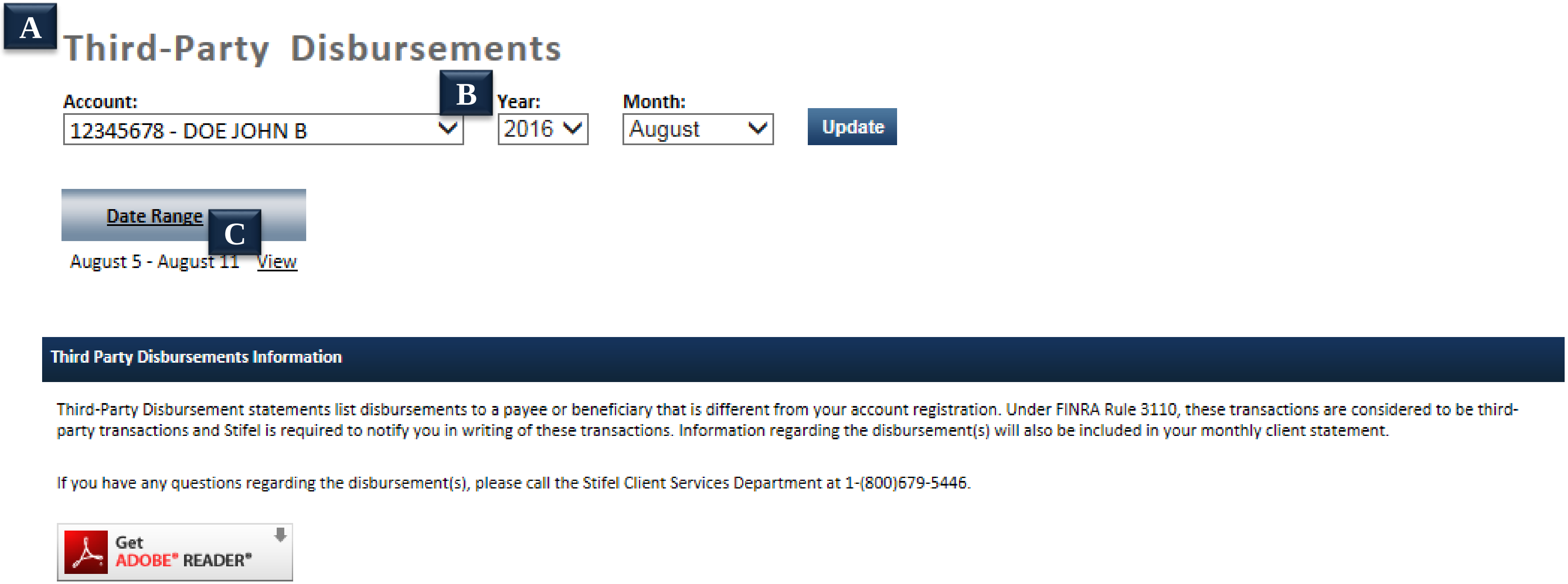
The **Check Images** page displays client-written check images dating back two years from the current month.

B

The default view is the current month and year. Specific checks can be found by using Year/Month/Check Number/Range and clicking REFRESH.

C

Click the **View** link to view the check image.



A	B	C
The **Third-Party Disbursements** page displays disbursements to a payee or beneficiary that is different from your account registration.	The default view is the current month and year. Specific third-party disbursements can be found by using Year/Month and clicking **UPDATE**.	Click the **View** link to view the third-party disbursements document.

Create Transfer

print Messages

A

Money Transfer | Money Transfer Status | Manage Bank Profiles | Check Request | Check Request Status

By providing this information, you can make a one-time transfer between your Stifel accounts or an external bank account.

B

Brokerage Account Number

C

Account Number

D

Amount ($)

Transfer Date

All online transfers must be submitted by 3:45 p.m. Central Time to be processed the same day. If submitted after 3:45 p.m. Central Time, the transfer will be completed the next business day. Online transfers between two Stifel accounts and deposits from an external bank account cannot be dated for the future. Future-dated disbursements are permitted from your Stifel account to an external bank account. The amount of margin account funds available for withdrawal are subject to change, based on market conditions and intraday trading. Withdrawals from margin accounts may increase your margin debit balance, and margin interest charges will occur immediately.

☐ I/we certify that I/we have reviewed my/our request carefully and that all of the information I/we have provided is complete and accurate as indicated on the signed written authorization provided to Stifel. By submitting this online transfer request, I/we acknowledge that I/we have received, read, understand, and agree to the Stifel Account Agreement and Disclosure Booklet.

A

Money Transfer provides Stifel|Advantage eligible accounts the ability to transfer money to/from a Stifel account and an outside bank account, as well as journal cash from a Stifel|Advantage eligible account to another Stifel account.

B

The Brokerage Account Number dropdown menu displays all Stifel accounts eligible for online transfer of funds.

C

The **Account Number** dropdown lists the bank account or Stifel account, depending on the Brokerage Account number that is selected.

D

The **Amount** and **Transfer Date** are required fields to process an online transfer of funds.

Transfer Status

print Messages

Money Tran[A] **Money Transfer Status** | Manage Bank Profiles | Check Request | Check Request Status

B **Account**

12345678 - DOE JOHN B

update

Fund Transfer ID	From	To	Amount	Date	Status
248407	1234567890	12345678	$2,500.00	05/24/2013	C Pending D
248620	1234567890	12345678	$1,000.00	05/23/2013	Processed
247960	1234567890	12345678	$4,300.00	05/23/2013	Processed
246576	1234567890	12345678	$100.00	05/09/2013	Processed

A

Money Transfer Status displays the status of all money transfer requests for a particular account.

B

The Account dropdown box displays different accounts in the household to view their transfer status.

C

There are four possible options for current status: Processed, Pending, Cancelled, or Rejected.

D

Selecting the arrows in the Actions column displays the option to Cancel or View the request.

Manage Bank Profiles

print Messages

Money Transfer | Money Transfer St A Manage Bank Profiles | Check Request | Check Request Status

B Open Profiles

Financial Institution Name	Account Type	Nickname	Account #	Bank Account #	Create Date	Live Date	
MELLON	C		12345678	1234567890	01/04/2012	01/04/201 D	
REGIONS BANK	C	Test Nickname	12345678	1234567890	12/12/2012	12/12/2012	
REGIONS BANK	C		12345678	1234567890	12/12/2012	12/12/2012	

C Pending Profiles

Financial Institution Name	Account Type	Nickname	Account #	Bank Account #	Create Date	Live Date	
JP MORGAN	C		12345678	1234567890	12/12/2012	12/12/2012	
JP MORGAN	C		12345678	1234567890	12/12/2012	12/12/2012	

A

Manage Bank Profiles lists the bank profiles associated with the provided accounts.

B

Open Profiles lists all available Bank profiles for all accounts within the household.

C

Pending Profiles lists all Bank Profile instructions that have not been completed.

D

Bank Profile Nicknames can be changed by selecting the arrows in the Actions column.

Check Disbursement

print | Messages

Money Transfer | Money Transfer Status | Manage Bank Prof **A** Check Request | Check Request Status

By completing this form, you can make a one-time check disbursement from your Stifel account.

B Account
12345678 - Joint Account
Go

Available for Withdrawal: $390,520.44

Account Registration:
JOHN DOE AND
JANE DOE JTWROS
501 N BROADWAY
ST LOUIS, MO 63102

C **Check Amount($):**

Disbursement Date:

A

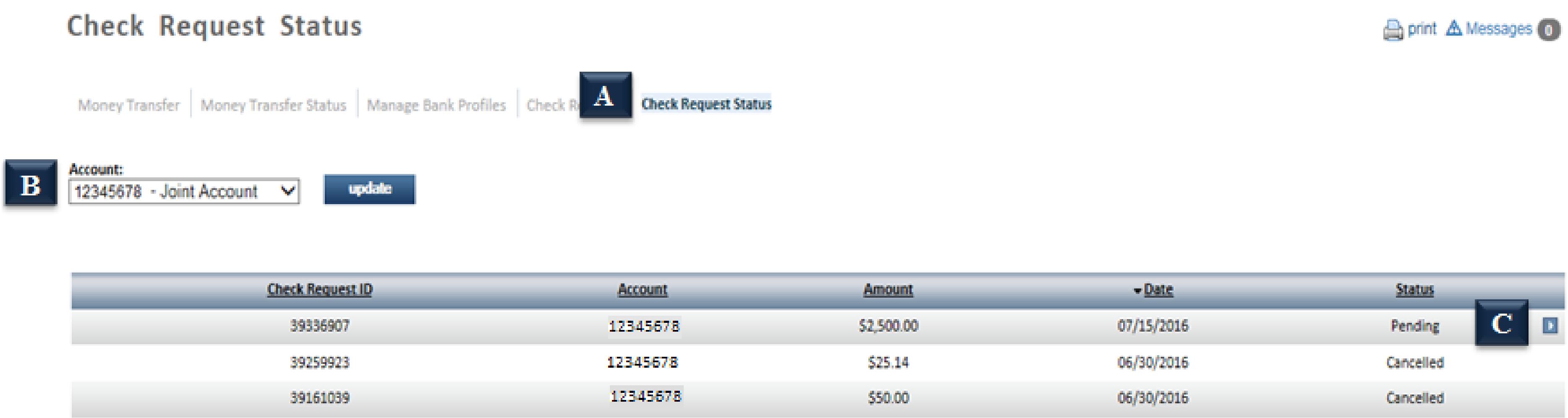
Check Request provides Stifel|Advantage eligible accounts the ability to request a check to be mailed to the account registration on file.

B

The **Account Number** dropdown menu displays all Stifel accounts eligible for check requests.

C

The **Check Amount** and **Disbursement Date** are required fields to process the check request.



Check Request ID	Account	Amount	Date	Status
39336907	12345678	$2,500.00	07/15/2016	Pending
39259923	12345678	$25.14	06/30/2016	Cancelled
39161039	12345678	$50.00	06/30/2016	Cancelled

A

Check Request Status displays the status of all check requests for a particular account.

B

The Account dropdown box displays different accounts in the household to view their check request status.

C

Selecting the arrows in the Actions column displays the option to cancel the request.

STIFEL

If you have questions, please feel free to contact us for further assistance.

Contact Us

print Messages

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Office (800) 679-5446

Email:
SNClientServ@stifel.com

Hours of Operation:
Monday – Friday, 6:30 AM Central Time – 6:00 PM Central Time or Saturday, 8:00 AM Central Time – 2:00 PM Central Time.

Please note:
For security purposes, Stifel clients should not include the following in any e-mail correspondence with Stifel:

- Account Numbers
- Client Account Access User Names
- Passwords
- Social Security Numbers

In addition, Stifel cannot accept the following via e-mail:

- Purchase or sale orders
- Instructions for the transfer or disbursement of funds
- Changes of address

Please contact your Financial Advisor regarding any of the above.