

[Barron's Top 1,200 Advisors](#)

[Forbes' America's Top Women Wealth Advisors](#)

[Forbes' Best-In-State Wealth Advisors](#)

[Forbes' Top Next-Gen Wealth Advisors](#)

[Working Mother's Top Wealth Advisor Moms](#)

Barron's Top 1,200 Advisors are identified by *Barron's* magazine, using data provided by a pool of nominated advisors. Factors included in the rankings: assets under management, revenue produced for the firm, regulatory record, quality of practice, and philanthropic work. Investment performance is not a criterion. The rating may not be representative of any one client's experience and is not indicative of the financial advisor's future performance. Neither the broker-dealer nor its financial advisors pay a fee to *Barron's* in exchange for the rating. *Barron's* is a registered trademark of Dow Jones & Company, L.P. All rights reserved.

| Year | Date | Nominations |
|------|----------------|-------------|
| 2019 | March 8, 2019 | 4,000 |
| 2020 | March 13, 2020 | 4,000 |
| 2021 | March 12, 2021 | 4,000 |
| 2022 | March 11, 2022 | 6,000 |

Forbes' America's Top Women Wealth Advisors rankings are based on the opinions of SHOOK Research, LLC and are selected from a pool of nominations. Advisors in the America's Top Women Wealth Advisors list are judged on individual contribution, but total team assets are shown, which can include one or more additional advisors. Qualifying advisors met the following basic requirements: a minimum of seven years as an advisor, a minimum of one year at their current firm, recommended and nominated by their firm, completion of an online survey, over 50% of their revenue/production must be with individuals, and an acceptable compliance record. The ranking algorithm is based on qualitative measures derived from telephone and in-person interviews and surveys; service models, investing process, client retention, experience levels, review of compliance records, firm nominations, etc.; and quantitative criteria, such as assets under management and revenue generated for their firms. Investment performance is not a criterion. Neither SHOOK Research nor *Forbes* receives compensation from the advisors or their firms in exchange for placement on a ranking. The ranking may not be representative of any one client's experience and is not indicative of the financial advisor's future performance. *Forbes* is a registered trademark of Forbes, Inc. All rights reserved.

| Year | Date | Nominations |
|------|------------------|-------------|
| 2020 | April 21, 2020 | 32,000 |
| 2021 | March 18, 2021 | 32,810 |
| 2022 | February 4, 2022 | 34,500 |

Forbes' Best-In-State Wealth Advisors rankings are based on the opinions of SHOOK Research, LLC and are selected from a pool of nominations. Advisors in the Best-In-State Wealth Advisors are judged on individual contribution, but total team assets are shown, which can include one or more additional advisors. Qualifying advisors met the following basic requirements: a minimum of seven years as an advisor, a minimum of one year at their current firm, recommended and nominated by their firm, completion of an online survey, over 50% of their revenue/production must be with individuals, and an acceptable compliance record. The ranking algorithm is based on qualitative measures derived from telephone and in-person interviews and surveys; service models, investing process, client retention, experience levels, review of compliance records, firm nominations, etc.; and quantitative criteria, such as assets under management and revenue generated for their firms. Investment performance is not a criterion. Neither SHOOK Research nor *Forbes* receives compensation from the advisors or their firms in exchange for placement on a ranking. The ranking may not be representative of any one client's experience and is not indicative of the financial advisor's future performance. *Forbes* is a registered trademark of Forbes, Inc. All rights reserved.

| Year | Date | Nominations |
|------|-------------------|-------------|
| 2018 | February 15, 2018 | Over 25,000 |
| 2019 | February 21, 2019 | Over 30,000 |
| 2020 | January 16, 2020 | Over 32,000 |
| 2021 | February 11, 2021 | 32,725 |
| 2022 | April 7, 2022 | 34,925 |

Forbes' Top Next-Gen Wealth Advisors rankings are based on the opinions of SHOOK Research, LLC and are selected from a pool of nominations. Advisors were rated based on an algorithm of qualitative criteria, mostly gained through telephone and in-person due diligence interviews, and quantitative data. Those advisors that are considered were born in 1982 or later and have a minimum of four years' experience, including at least one year at their current firm. The algorithm weighs factors like revenue trends, assets under management, compliance records, industry experience, and those that encompass the highest standards of best practices. Portfolio performance is not a criterion due to varying client objectives and lack of audited data. Neither SHOOK Research nor *Forbes* receives compensation from the advisors or their firms in exchange for placement on a ranking. The ranking may not be representative of any one client's experience and is not indicative of the financial advisor's future performance. *Forbes* is a registered trademark of Forbes, Inc. All rights reserved.

| Year | Date | Nominations |
|------|--------------------|-------------|
| 2017 | July 25, 2017 | 19,000 |
| 2018 | July 25, 2018 | 23,000 |
| 2019 | September 3, 2019 | 29,000 |
| 2020 | July 22, 2020 | 32,200 |
| 2021 | September 21, 2021 | 34,000 |

Working Mother's Top Wealth Advisor Moms rankings are based on the opinions of SHOOK Research, LLC and are selected from a pool of nominations. SHOOK Research considered wealth advisors who are mothers with at least one child living at home and under the age of 21. Ranking algorithm is based on qualitative measures derived from telephone and in-person interviews and surveys: service models, investing process, client retention, industry experience, review of compliance records, firm nominations, etc.; and quantitative criteria, such as assets under management and revenue generated for their firms. Investment performance is not a criterion because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research LLC. Neither SHOOK nor *Working Mother* receives compensation from the advisors or their firms in exchange for placement on a ranking.

| Year | Date | Nominations |
|------|--------------------|-------------|
| 2020 | July 22, 2020 | 32,200 |
| 2021 | September 21, 2021 | 34,000 |