In evaluating an investment manager (each, an "Investment Manager"), Clients should review: (i) the information contained in this document, including any costs associated with trades executed away from Stifel during the period shown, and (ii) the Investment Manager's Form ADV Part 2A Brochure. Clients should also ask their Financial Advisor about the Investment Manager's trading-away practices before selecting, or while reviewing, a particular investment strategy.

Investment Managers Utilized in Stifel's Programs That Include Separately Managed Accounts ("SMAs") Can Trade Away From Stifel

Investment Managers in Stifel's Opportunity, Connect, and Investment Management Consulting Programs have discretion to effect trades on behalf of clients through broker-dealers other than Stifel. An Investment Manager can trade away if it determines, in its sole discretion, such trades would be in the best interests of its clients, such as to satisfy its best execution obligations.

Costs Associated With Trades Executed Away From Stifel Are Not Included in a Client's Advisory Fees

As outlined in our investment advisory client account agreement, clients enrolled in our Opportunity and Investment Management Consulting Programs generally pay an annualized wrap fee that includes certain fees and charges for the services of the Investment Manager and Stifel, including costs related to transactions in client accounts effected through Stifel. Clients enrolled in our Connect Program who utilize an Investment Manager pay an advisory fee to Stifel as well as a separate advisory fee to the Investment Manager. However, for all transactions executed through other broker-dealers, clients will likely, but will not necessarily, incur additional costs, such as commissions or markups/markdowns embedded in the price of the security that are **in addition to, and not included in**, the advisory fee. As such, clients are separately responsible for any execution costs incurred in connection with such trades. These additional costs are not reflected on client account statements; however, if the Investment Manager has provided the appropriate information to Stifel regarding such additional costs, they will be indicated on client trade confirmations or on client quarterly transaction confirmation reports provided to those clients who have elected to suppress immediate trade confirmations.¹

General Information on Investment Managers' Best Execution Obligations

Investment Managers are investment advisers that have a fiduciary obligation to act in the best interests of their advisory clients and are therefore required to seek to obtain "best execution" in effecting trades on behalf of such clients. Under the Investment Advisers Act of 1940, "best execution" generally means executing transactions in a manner such that the client's total cost or proceeds are the most favorable under the circumstances. Although it is important for Investment Managers to seek the best price for a security in the marketplace and minimize unnecessary brokerage costs in satisfying its obligations, these are not the only factors used to determine whether the Investment Manager has satisfied its obligations. It is not an obligation to get the lowest possible commission cost or to solicit competitive bids for each transaction, but rather, the Investment Manager should determine whether the transaction represents the best qualitative execution for its clients. In selecting a broker-dealer, Investment Managers should consider the full range and quality of services offered by the broker-dealer, including the value of the research provided (if any), execution capability, commission rate charged, the broker-dealer's financial responsibility, and its responsiveness. It is also important to note that Stifel does not monitor, review, or otherwise evaluate whether an Investment Manager is satisfying its best execution obligations to clients.

¹ All other information shown does not reflect any additional execution costs resulting from trades executed through other broker-dealers.

Additional information about an Investment Manager's brokerage practices, including the factors that the Investment Manager considers in satisfying its best execution obligations, which can vary according to the type(s) of securities traded, is contained in each Investment Manager's Form ADV Part 2A Brochure.

Types of Securities Traded

Investment Managers whose strategies consist primarily (or substantially) of fixed income securities, foreign securities (including American Depositary Receipts or ordinary shares), exchange traded funds, and/or small-cap securities are generally more likely to trade away from Stifel. This means that clients investing in such strategies are more likely to incur execution costs in addition to the fee paid to Stifel. Clients should, therefore, take these costs into consideration when selecting and/or deciding to remain invested in the affected strategies.

Trade Aggregation

Investment Managers typically manage wrap client accounts for multiple firms using the same strategy, and sometimes manage other directly sourced accounts side-by-side with wrap client accounts. In certain cases, an Investment Manager will decide to aggregate all client transactions into a block trade that is executed through one broker-dealer, rather than separately through each participating firm (such as Stifel). Aggregating transactions into a single block enables the Investment Manager a way to obtain a better price or additional investment opportunities for its clients, as well as allow the Investment Manager to exercise more control over the execution, including (for example) potentially avoiding an adverse effect on the price of a security that could result from simultaneously placing a number of separate, successive, and/or competing client orders.

Investment Managers' Historical Trading Away Practices

A list of Investment Managers with trading discretion over client accounts that have notified Stifel that they traded away from Stifel during the previous calendar year is attached. This list includes the names of the applicable strategies, information about the trade-away practices of the Investment Manager for a particular strategy, and the average associated costs (if any) during the previous calendar year. The information contained in this list is based solely upon information provided to Stifel by each Investment Manager and has not been independently verified by Stifel. As a result, Stifel does not make any representations as to the accuracy of the information.

The information in the attached list regarding an Investment Manager's prior trade-away practices is not a guarantee that such Investment Manager will exercise or repeat the same practices in the future, in general, and/or with the same frequency. It is possible that Investment Managers could trade away more or less frequently, or at a higher or lower commission rate, fee, or other expenses, resulting in greater or lesser costs than those indicated. Individual clients enrolled in the strategies noted can experience different results. Similarly, it is possible that Investment Managers that have not previously, or recently, traded away from Stifel will do so in the future.

Convertible Bonds Particle Annier Program Manager							
Property			Cash and Equivalents				
						Execution costs charged	
Content Cont	Stifel Advisor Program	Manager	Portfolio Name	Trade Away?			Cost Unit
	Connect						
			Convertible Bonds				
					executed away from	by the executing broker	
Emerging Markets Equity Property System Securities Property System Se	Opportunity	Laffer Tengler Investments	Convertible	Yes	100%	\$0.00	Cents Per Share
Process Proc	Opportunity	New York Life Investment Management	· ·	Yes	91%	\$0.00	Cents Per Share
Marie President Presiden			Emerging Markets Equity		D.II.		
					percentage of trades		
	Stifel Advisor Program	Manager	Portfolio Name	Trade Away?			Cost Unit
Part					N/A		
March Marc			High Yield Fixed Income				
Septiminary						Evacution casts abarraed	
Separation Sep					executed away from	by the executing broker	
Season S							
International Developed Equity	Connect	Hamlin Capital Management	High Yield Municipal Fixed Income	Yes		0 to 40 [1]	
Professional Content	connect	wintnrop Capital Management		Yes	100%	\$0.00	Cents Per Share
Section Part			International Developed Equity		Dollar waighted		
March Propriet P					percentage of trades		
September Company Co	Stifel Advisor Program	Manager	Portfolio Name	Trade Away?			Cost Unit
Separation Sep	Opportunity	Confluence Investment Management	International Growth Equity	No	N/A	N/A	N/A
Guestinate Parameter Ferritain Associates Custom Care MED LEFE 400 No.	Opportunity	Natixis Investment Managers	AIA S&P International ADR Tax Managed	No	N/A	N/A	N/A
September Sept							
Mile	Opportunity	PGIM Custom Harvest LLC	International Equity Plus SMA	No	N/A	N/A	N/A
Micrograph Support S							
Mile Programs - 5944 Mile							
Connect	IMC Program - SMA	Thornburg Investment Management Inc	International ADR	No	N/A	N/A	N/A
Multi Asset Class Equity							
Delice weighted Provided program Provided							
Secretary Secr			Multi Asset Class Fauitu				
Marie Mari			iviuiti Asset Class Equity				
Signorpunity			Wuiti Asset Class Equity			Execution and about a	
Separtury Capital Western Resource Capital Western Resource No N/A N/					percentage of trades executed away from	by the executing broker	
Comportunity Confluence Investment Management			Portfolio Name		percentage of trades executed away from Stifel	by the executing broker for the trades	
Copportunity Crossmak Global Investments Covered Call Income* Options Agreement Required No N/A N/A N/A N/A	Opportunity Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC	Portfolio Name Relative Value Enhanced Dividend Income (Options Agrmt Required)	No No	percentage of trades executed away from Stifel N/A N/A	by the executing broker for the trades N/A N/A	N/A N/A
Sportunity Spuly Investment Corp All Cap Value No	Opportunity Opportunity Opportunity Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC CIBC Private Wealth Advisors, Inc Confluence Investment Management	Portfolio Name Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value	No No No	percentage of trades executed away from Stifel N/A N/A N/A N/A	by the executing broker for the trades N/A N/A N/A N/A N/A	N/A N/A N/A N/A
Opportunity	Opportunity Opportunity Opportunity Opportunity Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC CIBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management	Portfolio Name Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required	No No No No	percentage of trades executed away from Stifel N/A N/A N/A N/A N/A	by the executing broker for the trades N/A N/A N/A N/A N/A	N/A N/A N/A N/A N/A
Sportunity Frankin Templeton PPG Frankin Templeton MAGA Dividends & Growth Yes 75.41% \$0.033 Cents Per Share Sportunity Frankin Templeton PPG Frankin Templeton MAGA Multi-cap Growth Yes 77.25% \$0.0018 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Multi-cap Growth Yes 77.25% \$0.0198 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Multi-cap Growth Yes 77.25% \$0.0109 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Debreifield ALG pa Yes \$0.13% \$0.0094 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Global AlCap Yes \$0.13% \$0.0094 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Global AlCap Yes \$0.39% \$0.0094 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Global AlCap Yes \$0.39% \$0.0094 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Global AlCap Yes \$0.39% \$0.0094 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Global AlCap Yes \$0.39% \$0.0094 Cents Per Share Capportunity Frankin Templeton PPG Frankin Templeton MAGA Global AlCap Yes \$0.39% \$0.0094 Cents Per Share Yes	Opportunity Opportunity Opportunity Opportunity Opportunity Opportunity Opportunity Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC CIBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp	Portfolio Name Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value	No No No No No No	percentage of trades executed away from Stifel N/A N/A N/A N/A N/A N/A N/A	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A	N/A N/A N/A N/A N/A N/A N/A
Capportunity Franklin Templeton PPG	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC CIBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp Equity Compass (Opportunity Program) Franklin Templeton PPG	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth	No Yes	percentage of trades executed away from Stifel N/A N/A N/A N/A N/A N/A N/A N/A N/A N/A	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/A N/A SO.0102	N/A
Opportunity Franklin Templeton PPG	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp EquityCompass (Opportunity Program) Franklin Templeton PPG Franklin Templeton PPG	Portfolio Name Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDAO All Cap Blend	No Yes Yes	percentage of trades executed away from Stifel N/A N/A N/A N/A N/A N/A N/A N/A N/A N/A	by the executing broker for the trades N/A	N/A
Parallist Templeton PPG	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC CIBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Corssmark Global Investments Equity Investment Corp Equity Compass (Opportunity Program) Franklin Templeton PPG	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDAO All Cap Blend Franklin Templeton MDA3 Dividends & Growth Franklin Templeton MDA4 Global Multi-Cap Growth	No No No No No No No No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A 78.74% 88.55% 75.41%	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
Deportunity Franklin Templeton PPG	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC CIBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp EquityCompass (Opportunity Program) Franklin Templeton PPG	Relative Value Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDAO All Cap Blend Franklin Templeton MDAO Slovidends & Growth Franklin Templeton MDAS Dividends & Growth Franklin Templeton MDAS Multi-Cap Blend III	No No No No No No No No	percentage of trades executed away from Stifel N/A	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
Opportunity	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Consmark Global Investments Equity Investment Corp EquityCompass (Opportunity Program) Franklin Templeton PPG	Portfolio Name Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDA0 All Cap Blend Franklin Templeton MDA0 Slowidends & Growth Franklin Templeton MDA0 Global Multi-Cap Growth Franklin Templeton MDA5 Multi-Cap Blend III Franklin Templeton MDA5 Mourisfied All Cap Franklin Templeton MDA5 Mourisfied All Cap Franklin Templeton MDA5 Clobal Growth	No No No No No No No No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A 78.74% 88.58% 75.41% 78.72% 88.02%	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
Opportunity	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp Equity Compass (Opportunity Program) Franklin Templeton PPG	Relative Value Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDAO All Cap Blend Franklin Templeton MDAO Sloidends & Growth Franklin Templeton MDAS Dividends & Growth Franklin Templeton MDAS All Cap Blend Franklin Templeton MDAS All Cap Blend Franklin Templeton MDAS All Cap Blend Franklin Templeton MDAS All Cap Growth Franklin Templeton MDAS Global All Cap	No No No No No No No No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
Opportunity	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClRG Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp EquityCompass (Opportunity Program) Franklin Templeton PPG	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDAO All Cap Blend Franklin Templeton MDA3 Dividends & Growth Franklin Templeton MDA3 Dividends & Growth Franklin Templeton MDA3 Dividends & Blend III Franklin Templeton MDA5 Multi-Cap Blend III Franklin Templeton MDA5 Diversified All Cap Franklin Templeton MDA7 Global All Cap Franklin Templeton MDA8 Global All Cap	No No No No No No No No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
Opportunity Neuberger Berman Kantor All Cap Core No N/A N/A N/A N/A	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClBC Private Wealth Advisors, Inc Confluence Investment Management Crossmark Global Investments Equity Investment Gorp Equity Compass (Opportunity Program) Franklin Templeton PPG MDT Advisers Minneapolis Portfolio Management Grp,LLC	Relative Value Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDAO All Cap Blend Franklin Templeton MDAO Slobal Multi-Cap Growth Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAFA OlivesTiefd All Cap Franklin Templeton MDA7 Global All Cap Franklin Templeton MDA7 Global All Cap Franklin Templeton MDA8 Global All Cap ClearBridge Growth All Cap Core All Cap Value	No No No No No No No No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
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Opportunity Parametric Portfolio Associates Custor Core MSCI KLD 400 Social No N/A N/A N/A Opportunity Parametric Portfolio Associates Cust Core MSCI ACWI ADR No N/A N/A N/A Opportunity PGIM Custom Harvest LLC Custom Equity Plus SMA No N/A N/A N/A Opportunity PGIM Custom Harvest LLC Global Equity Plus SMA No N/A N/A N/A Opportunity Rice Hall James & Associates, LLC SMID Cap Opportunities No N/A N/A N/A Opportunity Segal Bryant & Hamill All Cap Core No N/A N/A N/A Opportunity Washington Crossing Advisors WCA – Custom Rising Dividend No N/A N/A N/A MIC Program - SMA Parametric Portfolio Associates Custom Core Russell 3000 No N/A N/A N/A IMC Program - SMA Parametric Portfolio Associates Custom Core Russell 1000 Value No N/A N/A N/A IMC Program - SMA Paramet	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClGP Frivate Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp Equity Compass (Opportunity Program) Franklin Templeton PPG Robert Sold Sold Sold Sold Sold Sold Sold Sold	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDA0 All Cap Blend Franklin Templeton MDA0 All Cap Blend Franklin Templeton MDA3 Dividends & Growth Franklin Templeton MDA3 Dividends & Growth Franklin Templeton MDA3 Dividends & Growth Franklin Templeton MDA3 Dividends All Cap Franklin Templeton MDA3 Cilobal Growth Franklin Templeton MDA3 Global All Cap Franklin Templeton MDA8 Global All Cap Franklin Templeton MDA8 Global All Cap Blend ClearBridge Growth All Cap Core All Cap Value AlA S&P 1500 All-Cap Tax Managed AlA Custom Tax Managed AlA S&P Global S00 Tax Managed Kantor All Cap Core Kantor All Cap Core	No No No No No No No No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
Opportunity Parametric Portfolio Associates Custom Core MSCI ACWI ADR No N/A N/A N/A N/A Opportunity PGIM Custom Harvest LLC Custom Equity Plus SMA No N/A	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClGR Private Wealth Advisors, Inc Confluence Investment Management Consumer Global Investment Management Crossmark Global Investments Equity Investment Gorp Equity Compass (Opportunity Program) Franklin Templeton PPG Natival Templeton PPG Franklin Templeton PPG Franklin Templeton PPG Franklin Templeton PPG Natival Templeton PPG Natival Templeton PPG Minneapolis Portfolio Management Grp,LLC Nativis Investment Managers Nativis Investmen	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDAO All Cap Blend Franklin Templeton MDAO All Cap Blend Franklin Templeton MDAS Dividends & Growth Franklin Templeton MDAS Dividends & Growth Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAS Global All Cap Fr	No No No No No No No No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
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Opportunity Washington Crossing Advisors WCA – Custom Rising Dividend No N/A N/A N/A IMC Program – SMA Parametric Portfolio Associates Cust one Cre Russell 3000 No N/A N/A N/A N/A IMC Program – SMA Parametric Portfolio Associates Cust one Cre Russell 1000 Value No N/A N/A N/A IMC Program – SMA Parametric Portfolio Associates Custom Core Russell 1000 Value No N/A N/A N/A IMC Program – SMA Parametric Portfolio Associates Custom Core Value/Momentum/Profitability Domestic No N/A N/A N/A IMC Program – SMA Parametric Portfolio Associates Custom Core Value/Momentum/Profitability Domestic No N/A N/A N/A IMC Program – SMA Riverbridge Partners Small/Mid Cap Growth No N/A N/A N/A IMC Program – SMA Riverbridge Partners Small/Mid Cap Growth No N/A N/A N/A IMC Program – SMA Riverbridge Partners Small/Mid Cap Growth No N/A <	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC CIBC Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp EquityCompass (Opportunity Program) Franklin Templeton PPG Nort Advisers Minneapolis Portfolio Management Grp,LLC Nativis Investment Managers Nativis Investment Manager Nativis Investment Manager Nativis Investment Manag	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDA All Cap Blend Franklin Templeton MDA Oll Cap Blend Franklin Templeton MDAS Dividends & Growth Franklin Templeton MDAS Dividends & Growth Franklin Templeton MDAS Divisersified All Cap Franklin Templeton MDAS Global Multi-Cap Growth Franklin Templeton MDAS Global Shulti-Cap Blend III Franklin Templeton MDAS Global All Cap Franklin Templeton MDAS	No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
IMC Program - SMA	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC CliBC Private Wealth Advisors, Inc Confluence Investment Management Consumer Global Investment Management Crossmark Global Investments Equity Investment Corp Equity Compass (Opportunity Program) Franklin Templeton PPG Abit Templeton PPG Franklin Templeton PPG Franklin Templeton PPG Franklin Templeton PPG MDT Advisers Minneapolis Portfolio Management Grp, LLC Nativis Investment Managers Nativis Investment Managers Nativis Investment Managers Nauberger Berman (Neubridge) Oak Ridge Investments, LLC O'Shaughnessy Asset Management O'Shaughnessy Asset Management Parametric Portfolio Associates Parametric Portfolio Associates Parametric Portfolio Associates Parametric Portfolio Associates PGIM Custom Harvest LLC Rice Hall James & Associates, LLC	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MOA Oil Cap Blend Franklin Templeton MDA3 Dividends & Growth Franklin Templeton MDA3 Dividends & Growth Franklin Templeton MDA5 Multi-Cap Blend III Franklin Templeton MDA5 Multi-Cap Blend III Franklin Templeton MDA5 AD Inversified All Cap Franklin Templeton MDA7 Global Growth Franklin Templeton MDA7 Global All Cap All Cap Core All Cap Value All S&P 1500 All-Cap Tax Managed AlA Custom Tax Managed AlA S&P Global 500 Tax Managed Kantor All Cap Core Kantor All Cap Core Kantor All Cap Core CanlifyMid Cap Growth ClearBridge All Cap Growth - Canvas Tax Mgd(HiFO Rq'd) Clearbridge Growth - Canvas Tax Mgd(HiFO Rq'd) Custom Core MSCI ACU ADR	No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
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IMC Program - SMA Parametric Portfolio Associates	Opportunity	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClGR Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp Equity Compass (Opportunity Program) Franklin Templeton PPG Nation Templeton PPG Franklin Templeton PPG Franklin Templeton PPG Franklin Templeton PPG Franklin Templeton PPG MDT Advisers Minneapolis Portfolion Management Grp,LLC Nativis Investment Managers Nativis Investment M	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDA All Cap Blend Franklin Templeton MDA Jobidends & Growth Franklin Templeton MDA4 Global Multi-Cap Growth Franklin Templeton MDA5 Multi-Cap Blend III Franklin Templeton MDA5 Multi-Cap Blend III Franklin Templeton MDA5 Mouti-Cap Blend III Franklin Templeton MDA5 Global Growth Franklin Templeton MDA5 Global All Cap Franklin Templeton MDA5 MDA5 Global Spanklin Cap Franklin Templeton MD	No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
IMC Program - SMA Riverbridge Partners Small/Mid Cap Growth No N/A N/A N/A Connect 1919 Investment Counsel Fossil Free/Low Carbon/Clean Energy No N/A N/A N/A Connect 1919 Investment Counsel Socially Responsive Equity No N/A N/A N/A Connect 1919 Investment Counsel SRI Customized Equity Value No N/A N/A N/A Connect Advisory Research BDC Business Development Companies No N/A N/A N/A Connect Alden Capital Ascension No N/A N/A N/A Connect Cantor Fitzgerald Investment Advisors Equity & Dividend Plus No N/A N/A N/A Connect Cantor Fitzgerald Investment Advisors Equity & Dividend Plus - Balanced No N/A N/A N/A	Opportunity Opport	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC Capital Wealth Planning, LLC ClGP Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp EquityCompass (Opportunity Program) Franklin Templeton PPG OST Advisors Minneapolis Portfolio Management Grp,LLC Nativis Investment Managers Nati	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDA0 All Cap Blend Franklin Templeton MDA0 Dividends & Growth Franklin Templeton MDA0 All Cap Blend Franklin Templeton MDA5 Multi-Cap Growth Franklin Templeton MDA5 Multi-Cap Blend III Franklin Templeton MDA5 All Cap Blend III Franklin Templeton MDA7 Global Growth Franklin Templeton MDA7 Global All Cap All Cap Core All Cap Value All S&P 1500 All-Cap Tax Managed AlA Usstom Tax Managed Kantor All Cap Core Small/Mid Cap Growth ClearBridge Growth - Canvas Tax Mgd(HiFO Rq'd) ClearBridge Growth - Canvas Tax Mgd(HiFO Rq'd) ClearBridge Growth - Canvas Tax Mgd(HiFO Rq'd) Custom Core Russell 3000 Cust Core MSCI ALD 400 Social Custom Core Russell 3000 Cust Core Cust Benchmark Blend Custom Core Russell 3000 Cust Core Cust Benchmark Blend Custom Core Russell 3000 Cust Core Cust Benchmark Blend Custom Core Russell 3000	No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
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Connect Cantor Fitzgerald Investment Advisors Equity & Dividend Plus - Balanced No N/A N/A N/A N/A	Opportunity Opport	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClGR Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp Equity Compass (Opportunity Program) Franklin Templeton PPG Robert Sold Sold Sold Sold Sold Sold Sold Sold	Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MOA All Cap Blend Franklin Templeton MDA O All Cap Blend Franklin Templeton MDA O All Cap Blend Franklin Templeton MDAS Dividends & Growth Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAS AD Inversified All Cap Franklin Templeton MDAS Global All Ca	No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A
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	Opportunity Opport	Atalanta Sosnoff Capital, LLC Capital Wealth Planning, LLC ClGR Private Wealth Advisors, Inc Confluence Investment Management Confluence Investment Management Crossmark Global Investments Equity Investment Corp Equity Compass (Opportunity Program) Franklin Templeton PPG MDT Advisers Minneapolis Portfolio Management Grp,LLC Nativis Investment Managers Nativis Investment Managers Nativis Investment Managers Nativis Investment Managers Nativis Investment Managers Nativis Investment Advisors	Relative Value Relative Value Enhanced Dividend Income (Options Agrmt Required) Energy Infrastructure Strategy All Cap Value IDEA Plus - Options Agreement Required Covered Call Income - Options Agreement Required All Cap Value Risk Management Strategy - Custom ClearBridge All Cap Growth Franklin Templeton MDA All Cap Blend Franklin Templeton MDAA Dividends & Growth Franklin Templeton MDAA Global Multi-Cap Growth Franklin Templeton MDAA Global Multi-Cap Blend III Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAS Multi-Cap Blend III Franklin Templeton MDAS Global All Cap Franklin	No	percentage of trades executed away from Stife! N/A N/A N/A N/A N/A N/A N/A N/A N/A N/	by the executing broker for the trades N/A N/A N/A N/A N/A N/A N/A N/	N/A

Connect	Cortland Associates, Inc	All Cap	Yes	27.33%	\$0.00	Cents Per Share
Connect	Crossmark Global Investments	Global Equity Income	No	N/A	N/A	N/A
Connect	Cullinan Associates	Buy Writing	No	N/A	N/A	N/A
Connect	Cullinan Associates	Over Writing	No	N/A	N/A	N/A
Connect	Dearborn Partners LLC	Tax Bridge SMA	No	N/A	N/A	N/A
Connect	EquityCompass Strategies	Global Leaders Portfolio	No	N/A	N/A	N/A
Connect	EquityCompass Strategies	Risk Management Strategy	Yes	100%	\$0.01	Cents Per Share
Connect	Horizon Kinetics Asset Mgmt	Research Select	No	N/A	N/A	N/A
Connect	Horizon Kinetics Asset Mgmt	Strategic Value Investing	No	N/A	N/A	N/A
Connect	Lazard Asset Management	Global Equity Select ADR	Yes	16.41%	\$0.03	Cents Per Share
Connect	Lazard Asset Management	Lazard US Equity Concentrated	Yes	0.76%	\$0.00	Cents Per Share
Connect	Little House Capital LLC	Absolute Opportunity Plus	No	N/A	N/A	N/A
Connect	Manning and Napier Advisors	Equity Focused Blend Plus	No	N/A	N/A	N/A
Connect	Manning and Napier Advisors	Equity Oriented	No	N/A	N/A	N/A
Connect	Meritage Portfolio Management	CUSTOM-Custom	Yes	25%	1	Basis Points
Connect	Miller/Howard Investments	Master Limited Partnership Strategy	No	N/A	N/A	N/A
Connect	Neuberger Berman	Sustainable Equity	No	N/A	N/A	N/A
Connect	North Coast Asset Management	CAN SLIM	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Core - Russell 3000	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Core - Developed World ADR	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Blend - 70% Russell 3000 / 30% MSCI EAFE	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Blend - 50% Russell 3000 / 50% MSCI EAFE	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Blend - 50% Russell 1000 / 50% MSCI EAFE	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Blend - MSCI ACWI Index	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Blend - MSCI Europe	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Core MSCI ACWI ADR	No	N/A	N/A	N/A
Connect	Parametric Portfolio Associates	Custom Core - Russell 1000	No	N/A	N/A	N/A
Connect	PGIM Custom Harvest LLC	Core Index Plus US Equity Hedged	No	N/A	N/A	N/A
Connect	Pinnacle Associates	All Cap Core	No	N/A	N/A	N/A
Connect	Private Capital Management	Value Equity	No	N/A	N/A	N/A
Connect	Tocqueville Asset Management	Core Equity	Yes	4.3%	\$0.00	Cents Per Share
Connect	Tortoise Capital Advisors	Master Limited Partnership	No	N/A	N/A	N/A
Connect	Tran Capital Management	Multi-Cap Growth Equity	No	N/A	N/A	N/A
Connect	VELA Investment Management	All Cap Concentrated	No	N/A	N/A	N/A

	Multi Asset Class Fixed Income								
				executed away from	Execution costs charged by the executing broker				
Stifel Advisor Program		Portfolio Name	Trade Away?	Stifel	for the trades	Cost Unit			
Opportunity	1919 Investment Counsel	SRI Full Duration Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	1919 Investment Counsel	SRI Intermediate Duration Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Belle Haven Investments	Taxable Ladder Plus SMA	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Belle Haven Investments	Taxable Plus SMA	Yes	100%	\$0.00	Cents Per Share			
Opportunity	BlackRock Investment Management	Fundamental Core Taxable	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Cincinnati Asset Management	Investment Grade	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Crossmark Global Investments	Current Income	No	N/A	N/A	N/A			
Opportunity	Cumberland Advisors Inc	Total Return Gov/Credit	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Dolan McEniry Capital Management LLC	Core Plus Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	First Trust Advisors L.P.	FTA Taxable Fixed Inc Closed End Fd Strategy	No	N/A	N/A	N/A			
Opportunity	Genter Capital Management	Taxable Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	GW&K Investment Management, LLC	Total Return Bond	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Mariner Wealth Advisors	Taxable Intermediate Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Neuberger Berman	Taxable Intermediate Maturity	Yes	6%	\$0.00	Cents Per Share			
Opportunity	Neuberger Berman (Neubridge)	Broad Taxable/Core Quality Bias	Yes	100%	\$0.00	Cents Per Share			
Opportunity	PIMCO Investments	Total Return	Yes	100%	\$0.00	Cents Per Share			
Connect	F/m Investments LLC	Core Fixed Income - Wrap	Yes	100%	\$0.00	Cents Per Share			
Connect	Stifel Capital Management	Strategic Cash	No	N/A	N/A	N/A			
Connect	Stifel Capital Management	Tax Efficient Customized	No	N/A	N/A	N/A			

	Multi Asset Class Other								
				Dollar-weighted percentage of trades executed away from	Execution costs charged by the executing broker				
Stifel Advisor Program	Manager	Portfolio Name	Trade Away?	Stifel	for the trades	Cost Unit			
Opportunity	1919 Investment Counsel	SRI Balanced	Yes	37.95%	\$0.00	Cents Per Share			
Opportunity	Abner, Herrman & Brock	Core Balanced 45/55	Yes	41%	\$0.00	Cents Per Share			
Opportunity	Abner, Herrman & Brock	Core Balanced w/Municipal 45/55	Yes	41%	\$0.00	Cents Per Share			
Opportunity	Abner, Herrman & Brock	Core Balanced 50/50	Yes	41%	\$0.00	Cents Per Share			
Opportunity	Abner, Herrman & Brock	Core Balanced 65/35	Yes	41%	\$0.00	Cents Per Share			
Opportunity	Abner, Herrman & Brock	Core Balanced 70/30	Yes	41%	\$0.00	Cents Per Share			
Opportunity	Abner, Herrman & Brock	Custom Balanced	Yes	41%	\$0.00	Cents Per Share			
Opportunity	AllianceBernstein LP.	Strategic Research Balanced	No	N/A	N/A	N/A			
Opportunity	Atalanta Sosnoff Capital, LLC	65% Equity/35% Fixed	No	N/A	N/A	N/A			
Opportunity	Atalanta Sosnoff Capital, LLC	70% Equity/30% Fixed	No	N/A	N/A	N/A			
Opportunity	Cambridge Financial Group	Balanced Large Cap Core	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	60% All Cap Value/40% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	70% All Cap Value/30% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	Custom Balanced – Prior Approval Required	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	30% Equity Income / 70% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	30% Equity Income / 70% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	40% Equity Income / 60% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	40% Equity Income / 60% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	50% Equity Income / 50% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	50% Equity Income / 50% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	60% Equity Income / 40% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	60% Equity Income / 40% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	70% Equity Income / 30% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	70% Equity Income / 30% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	80% Equity Income / 20% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	80% Equity Inc/20% Tax-Exempt Fixed Inc	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	Growth and Tax-Exempt Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	40% Large Value / 60% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	40% Large Value / 60% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	50% Large Value / 50% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	50% Large Value / 50% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	60% Large Value / 40% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	60% Large Value / 40% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	70% Large Value / 30% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	70% Large Value / 30% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	80% Large Value / 20% Tax-Exempt Fixed Income	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	80% Large Value / 20% Taxable Fixed Income	No	N/A	N/A	N/A			
Opportunity	Crossmark Global Investments	Balanced Cov Call/Current Income (Options Agmt Rg'd)	No	N/A	N/A	N/A			
Opportunity	Crossmark Global Investments	Balanced Global Equity Income / Current Income	No	N/A	N/A	N/A			
Opportunity	Crossmark Global Investments	Balanced Global Equity Income / Muni Fixed Income	Yes	50%	\$0.00	Cents Per Share			
Opportunity	Crossmark Global Investments	Balanced Large Cap Core Unscreened / Core Fixed	No	N/A	N/A	N/A			
Opportunity	Crossmark Global Investments	Balanced 25% Large Cap Unscreened / 75% Core Fixed	No	N/A	N/A	N/A			
Opportunity	Crossmark Global Investments	Balanced Large Cap Core Unscreened / Muni Fixed	Yes	50%	\$0.00	Cents Per Share			
Opportunity	Crossmark Global Investments	Balanced 25% Large Cap Unscreened / 75% Muni Fixed	Yes	75%	\$0.00	Cents Per Share			
Opportunity	Crossmark Global Investments	Custom Balanced	No No	N/A	\$0.00 N/A	N/A			
	Cumberland Advisors Inc	Balanced	Yes	25.49%	\$0.00	Cents Per Share			
Opportunity	Cumpenana Auvisors IIIC	balancea	162	23.49%	ŞU.UU	Cents Per Share			

Opportunity	EquityCompass (Opportunity Program)	Core Investment Portfolio	No	N/A	N/A	N/A
Opportunity	EquityCompass (Opportunity Program)	Core Investment Tax Advantaged Portfolio	No	N/A	N/A	N/A
Opportunity	EquityCompass (Opportunity Program)	Core Retirement Portfolio	No	N/A	N/A	N/A
Opportunity	EquityCompass (Opportunity Program)	Custom	No	N/A	N/A	N/A
Opportunity	First Trust Advisors L.P.	FTA Balanced Closed End Fund Strategy	No	N/A	N/A	N/A
Opportunity	Franklin Templeton PPG	Franklin Templeton All Cap Value Balanced 60/40	Yes	81.51%	\$0.0105	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton Appreciation Balanced	Yes	36.22%	\$0.0043	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton Balanced Income	Yes	90.50%	\$0.0019	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton Balanced Income w/Munis	Yes	63.69%	\$0.0021	Cents Per Share
Opportunity	Franklin Templeton PPG	ClearBridge Custom (w/ Prior Approval)	Yes	62.64%	\$0.0021	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton Custom MDA (w/ Prior Approval)	Yes	62.64%	\$0.0099	Cents Per Share
Opportunity	Franklin Templeton PPG	Custom Balanced	Yes	62.64%	\$0.0099	Cents Per Share
Opportunity	Franklin Templeton PPG	Custom	Yes	62.64%	\$0.0099	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton Dividend SMA Balanced 60/40	Yes	63.35%	\$0.0134	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton Dividend SMA Bal Tax Favored	Yes	58.47%	\$0.0133	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton Dividend Sivia Bai Tax Favored Franklin Templeton Large Cap Growth Balanced 60/40	Yes	69.55%	\$0.0133	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton MDA0 Balanced 70/30 with GSM7	Yes	90.75%	\$0.0103	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton MDA0 Balanced 60/40 with GSM7	Yes	89.42%	\$0.0105	Cents Per Share
Opportunity	Franklin Templeton PPG	Franklin Templeton MDA4 Balanced 70/30 with GSM7	Yes	88.41%	\$0.0091	Cents Per Share
Opportunity	Franklin Templeton PPG Franklin Templeton PPG	Franklin Templeton MDA4 Balanced 70/30 with GSM7 Franklin Templeton MDA8 Balanced 70/30 with GSM7	Yes	90.92%	\$0.0091	Cents Per Share
Opportunity	Franklin Templeton PPG - Income SMA's	Income	Yes	3.39%	\$0.0075	Cents Per Share
Opportunity	Madison Investment Advisors	Balanced Large Cap Core	Yes	33%	\$0.00	Cents Per Share
	Nuveen Asset Management, LLC	Stable Growth Balanced	No	N/A	N/A	N/A
Opportunity Opportunity	Nuveen Asset Management, ELC Nuveen Asset Mgmt (former NWQ SMAs)	Large Cap Value - Balanced	No	N/A N/A	N/A N/A	N/A N/A
Opportunity	Segall Bryant & Hamill	Balanced	No	N/A N/A	N/A N/A	N/A
Opportunity	Washington Crossing Advisors	Custom	No	N/A N/A	N/A N/A	N/A N/A
Opportunity	Washington Crossing Advisors Washington Crossing Advisors	WCA Income Builder 60/40	No	N/A N/A	N/A N/A	N/A
Connect	1919 Investment Counsel		Yes	N/A 37.51%	\$0.00	Cents Per Share
Connect	1919 Investment Counsel	Balanced Growth & Income - Taxable w/ Alts Balanced Growth & Income - Tax Exempt w/ Alts	Yes	37.51% 19.12%	\$0.00	Cents Per Share
Connect	1919 Investment Counsel	Balanced Growth - Taxable	Yes	43.89%	\$0.00	Cents Per Share
			Yes	26.66%	\$0.00	Cents Per Share
Connect	1919 Investment Counsel 1919 Investment Counsel	Balanced Growth - Tax Exempt	Yes No	26.66% N/A	\$0.00 N/A	N/A
Connect Connect	1919 Investment Counsel	Balanced Growth - Tax Exempt with Alternatives Custom 5 All Equity	No	N/A N/A	N/A N/A	N/A N/A
	1919 Investment Counsel	Growth		9.3%	\$0.00	Cents Per Share
Connect			Yes	9.5% N/A	\$0.00 N/A	N/A
Connect	1919 Investment Counsel 1919 Investment Counsel	Growth - Taxable without Alternatives SRI Balanced	No Yes	31.88%	\$0.00	
Connect Connect	1919 Investment Counsel	SRI Customized Balanced Growth	No	31.88% N/A	\$0.00 N/A	Cents Per Share N/A
Connect	Alden Capital	Income Plus - Options Agreement Required	No	N/A N/A	N/A N/A	N/A N/A
Connect	CCM Investment Advisors	Core Balanced	No	N/A N/A	N/A N/A	N/A N/A
	CCM Investment Advisors CCM Investment Advisors		No No	N/A N/A	N/A N/A	N/A N/A
Connect		Core Balanced Plus		N/A N/A	N/A N/A	N/A N/A
Connect	Copeland Capital Management	Balanced	No No	N/A N/A	N/A N/A	N/A
Connect	Cornerstone Investment Prinrs	Balanced Catholia Palanced			N/A \$0.00	
Connect	Dana Investment Advisors	Catholic Balanced	Yes Yes	7.3% 5%	\$0.00	Cents Per Share
Connect	Donaldson Capital Management Dover Partners	Endowment Cornerstone	Yes No	5% N/A	\$0.00 N/A	Cents Per Share N/A
Connect		Large Cap Value Balanced Aggressive	_	N/A N/A	N/A N/A	N/A N/A
Connect	Dover Partners DT Investment Partners	Large Cap Value Balanced Conservative	No	N/A 6.1%	\$0.00	N/A Cents Per Share
Connect	DT Investment Partners DT Investment Partners	Conservative Growth & Income Moderate Growth & Income	Yes Yes	15.8%	\$0.00	Cents Per Share Cents Per Share
Connect Connect	F/m Investments LLC	Custom	Yes	15.8%	\$0.00	Cents Per Share Cents Per Share
Connect	Griffin Asset Management	Growth Tactical Income	No No	N/A N/A	N/A N/A	N/A N/A
Connect	Hilton Capital Management		No No		N/A N/A	
Connect	Manning and Napier Advisors	Long Term Growth The Kaminsky Team Customized	No Yes	N/A 16.29%	N/A \$0.00	N/A Cents Per Share
Connect	Neuberger Berman (Neubridge)	,	_			
Connect	North Coast Asset Management	Tactical ETF	No	N/A	N/A	N/A
Connect	Nottingham Advisors	Global Balanced	Yes	20%	\$0.01	Cents Per Share
Connect	Parametric Portfolio Associates	Custom Benchmark Blend	No	N/A	N/A	N/A
Connect	Tocqueville Asset Management	Custom	Yes	63.2%	\$0.00	Cents Per Share
Connect	Tocqueville Asset Management	Enhanced Income	Yes	45.7%	\$0.00	Cents Per Share
Connect	Winthrop Capital Management	Balanced	Yes	53.4%	\$0.00	Cents Per Share

	Preferreds Preferreds								
					Execution costs charged by the executing broker				
Stifel Advisor Program	Manager	Portfolio Name	Trade Away?	Stifel	for the trades	Cost Unit			
Opportunity	Principal Global Investors LLC	Spectrum Preferred Securities	Yes	86 - 96%	\$0.00	Cents Per Share			
Opportunity	Principal Global Investors LLC	Spectrum Preferred w/ Capital Securities	Yes	80 - 85%	\$0.00	Cents Per Share			

	Real Estate							
					Execution costs charged by the executing broker			
Stifel Advisor Program	Manager	Portfolio Name	Trade Away?	Stifel	for the trades	Cost Unit		
IMC Program - SMA	Adelante Capital Management	Total Return Strategy REIT	No	N/A	N/A	N/A		

	U.S. Fixed Income								
				Dollar-weighted percentage of trades executed away from	Execution costs charged by the executing broker				
Stifel Advisor Program		Portfolio Name	Trade Away?	Stifel	for the trades	Cost Unit			
Opportunity	1919 Investment Counsel	Taxable Customized	Yes	100%	\$0.00	Cents Per Share			
Opportunity	1919 Investment Counsel	Taxable Intermediate Duration	Yes	100%	\$0.00	Cents Per Share			
Opportunity	1919 Investment Counsel	Taxable Short Duration	Yes	100%	\$0.00	Cents Per Share			
Opportunity	1919 Investment Counsel	Intermediate Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Brandes Investment Partners, L.P.	Core Plus Fixed Income	No	N/A	N/A	N/A			
Opportunity	Cincinnati Asset Management	Broad Market	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Crossmark Global Investments	Core Fixed Income	No	N/A	N/A	N/A			
Opportunity	Crossmark Global Investments	Intermediate Fixed Income	No	N/A	N/A	N/A			
Opportunity	Dolan McEniry Capital Management LLC	Credit Portfolio (Corporate Only)	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Franklin Templeton PPG	Western Asset Gov/Corp	Yes	100%	\$0.00	Cents Per Share			
Opportunity	GW&K Investment Management, LLC	Core Bond	Yes	100%	\$0.00	Cents Per Share			
Opportunity	GW&K Investment Management, LLC	Enhanced Core Bond	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Hudson Edge Investment Partners	Short Term Fixed Income	No	N/A	N/A	N/A			
Opportunity	Madison Investment Advisors	Intermediate Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Madison Investment Advisors	Intermediate Govt Only	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Madison Investment Advisors	Reinhart Limited Duration Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Madison Investment Advisors	Reinhart Active Intermediate Fixed Inc	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Mariner Wealth Advisors	Core Taxable Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Opportunity	Segall Bryant & Hamill	Current Income Portfolio	No	N/A	N/A	N/A			
Opportunity	Stifel Capital Management	Hybrid Preferred	No	N/A	N/A	N/A			
Opportunity	Stifel Capital Management	Taxable Ultra Short	No	N/A	N/A	N/A			
Opportunity	Stifel Capital Management	Taxable Intermediate	No	N/A	N/A	N/A			
Opportunity	Stifel Capital Management	Taxable Short	No	N/A	N/A	N/A			
Opportunity	Washington Crossing Advisors	Laddered Bond Portfolio 1-10 Years	No	N/A	N/A	N/A			
Opportunity	Washington Crossing Advisors	Laddered Bond Portfolio 1-7 Years	No	N/A	N/A	N/A			
IMC Program - SMA	Lord, Abbett & Co LLC	Core Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Connect	1919 Investment Counsel	SRI Customized Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Connect	BlackRock Investment Management	Intermediate Taxable Fixed Income	Yes	100%	\$0.00	Cents Per Share			
Connect	Carret Asset Management	Taxable Fixed Income	Yes	100%	0.2 to 1	Basis Points			
Connect	CS McKee LP	Aggregate Fixed Income	No	N/A	N/A	N/A			

Connect	Dolan McEniry Capital	Short Duation	Yes	100%	\$0.00	Cents Per Share
Connect	Mitchell Capital Management	Taxable Bond	Yes	100%	\$0.00	Cents Per Share
Connect	Oppenheimer Investment Management	Inv Mgt Interm Fixed Income	Yes	98%	\$0.00	Cents Per Share
Connect	Orleans Capital	Fixed Income Management	Yes	100%	\$0.00	Cents Per Share
Connect	Stifel Capital Management	Reserve Fund	No	N/A	N/A	N/A
Connect	Tocqueville Asset Management	Intermediate Gov-Corp Fixed Income	Yes	100%	\$0.00	Cents Per Share
Connect	Winthrop Capital Management	Intermediate Fixed Income	Yes	100%	\$0.00	Cents Per Share
Connect	Winthrop Capital Management	Ultra-Short	Yes	100%	\$0.00	Cents Per Share

	U.S. Large Cap Equity							
				Dollar-weighted percentage of trades	Execution costs charged			
				executed away from	by the executing broker			
Stifel Advisor Program	Manager	Portfolio Name	Trade Away?	Stifel	for the trades	Cost Unit		
Opportunity	Abner, Herrman & Brock	Large Cap Core	No	N/A	N/A	N/A		
Opportunity Opportunity	ACR Alpine Capital Research Confluence Investment Management	Equity Quality Return Equity Income	No No	N/A N/A	N/A N/A	N/A N/A		
Opportunity	Confluence Investment Management	Value Opportunity	No	N/A	N/A	N/A		
Opportunity	Confluence Investment Management	Equity Income	No	N/A	N/A	N/A		
Opportunity	Confluence Investment Management	Large Cap Value	No	N/A	N/A	N/A		
Opportunity	Equity Investment Corp	Large Cap Value	No	N/A	N/A	N/A		
Opportunity Opportunity	EquityCompass (Opportunity Program) Fiduciary Management, Inc.	High Dividend Portfolio Large Cap Core	No No	N/A N/A	N/A N/A	N/A N/A		
Opportunity	Horizon Kinetics Asset Management	Core Value	No	N/A	N/A	N/A		
Opportunity	Natixis Investment Managers	AIA S&P 500 Tax Managed	No	N/A	N/A	N/A		
Opportunity	Neuberger Berman	Bolton Large Cap Core	No	N/A	N/A	N/A		
Opportunity	Neuberger Berman (Neubridge)	MLG Equity Income	No	N/A	N/A	N/A		
Opportunity	Neuberger Berman (Neubridge) Neuberger Berman (Neubridge)	Bolton Large Cap Core	No Yes	N/A 5.11%	N/A \$0.00	N/A Cents Per Share		
Opportunity Opportunity	Neuberger Berman (Neubridge) Neuberger Berman (Neubridge)	Straus Large Cap Value Rachlin Income Plus	No Yes	5.11% N/A	\$0.00 N/A	N/A		
Opportunity	NISA Investment Advisors	Bloomberg U.S. 100	No	N/A	N/A	N/A		
Opportunity	NISA Investment Advisors	Bloomberg U.S. Large Cap High Dividend Yield	No	N/A	N/A	N/A		
Opportunity	O'Shaughnessy Asset Management	Clearbridge Dividend SMA - Canvas TM (HIFO Rq'd)	No	N/A	N/A	N/A		
Opportunity	O'Shaughnessy Asset Management	Clearbridge Large Cap Growth-Canvas TM (HIFO Rq'd)	No	N/A	N/A	N/A		
Opportunity Opportunity	O'Shaughnessy Asset Management O'Shaughnessy Asset Management	Franklin Dow J US Select Div – Tax Mgd (HIFO Rq'd) Franklin S&P 500 – Tax Mgd (HIFO LOA Required)	No No	N/A N/A	N/A N/A	N/A N/A		
Opportunity	Parametric Portfolio Associates	Cst Core Wisdom Tree High Div	No	N/A N/A	N/A N/A	N/A N/A		
Opportunity	Parametric Portfolio Associates	Custom Core Russell 1000 Value	No	N/A	N/A	N/A		
Opportunity	Parametric Portfolio Associates	Cust Core Russell 1000 Growth	No	N/A	N/A	N/A		
Opportunity	Parametric Portfolio Associates	Custom Core Russell 1000	No	N/A	N/A	N/A		
Opportunity	Parametric Portfolio Associates Parametric Portfolio Associates	Custom Core S&P 500 Custom Core S&P 500 Equal Weighted	No No	N/A N/A	N/A N/A	N/A N/A		
Opportunity Opportunity	PGIM Custom Harvest LLC	US Equity Equal Sector Weight	No No	N/A N/A	N/A N/A	N/A N/A		
Opportunity	PGIM Custom Harvest LLC	U.S. Equity Plus SMA	No	N/A	N/A	N/A		
IMC Program - SMA	Davis Selected Advisers	Large Cap Value	No	N/A	N/A	N/A		
IMC Program - SMA	Parametric Portfolio Associates	Custom Core S&P 500	No	N/A	N/A	N/A		
IMC Program - SMA	Parametric Portfolio Associates	Cust Core WisdomTree High Div	No	N/A	N/A	N/A		
IMC Program - SMA IMC Program - SMA	Parametric Portfolio Associates Parametric Portfolio Associates	Custom Core Russell 1000 Cust Core Russell 1000 Growth	No No	N/A N/A	N/A N/A	N/A N/A		
IMC Program - SMA	Parametric Portfolio Associates	Cust Core MSCI KLD 400 Social	No	N/A	N/A	N/A		
IMC Program - SMA	Sustainable Growth Advisers	Large Cap Growth	No	N/A	N/A	N/A		
IMC Program - SMA	Westfield Capital Management Company	Large Cap Growth Equity	No	N/A	N/A	N/A		
Connect	1919 Investment Counsel	SRI Large Cap Growth	No	N/A	N/A	N/A		
Connect Connect	Amundi Asset Management US, Inc Berkshire Asset Management	Pioneer Fundamental Growth Dividend Growth and Income	No No	N/A N/A	N/A N/A	N/A N/A		
Connect	C.M. Bidwell and Associates	Large Cap Core	No	N/A	N/A	N/A		
Connect	Crawford Inv Counsel Inc	Dividend Growth	No	N/A	N/A	N/A		
Connect	CS McKee LP	Value Equity	No	N/A	N/A	N/A		
Connect	Cullinan Associates	Dividend Writing	No	N/A	N/A	N/A		
Connect Connect	Donaldson Capital Management Douglas Lane & Associates	Rising Dividend Core Equity - Custom	Yes No	5% N/A	\$0.00 N/A	Cents Per Share N/A		
Connect	Eagle Capital Management, LLC	Large Cap Value	No	N/A	N/A	N/A		
Connect	EquityCompass Strategies	Quality Dividend	No	N/A	N/A	N/A		
Connect	EquityCompass Strategies	Select Quality Growth & Income	Yes	90.9%	\$0.01	Cents Per Share		
Connect	Fayez Sarofim & Co	Large Cap Core	No	N/A	N/A	N/A		
Connect Connect	Granite Investment Partners Little House Capital LLC	Large Cap Equity Dividend Income Plus	No No	N/A N/A	N/A N/A	N/A N/A		
Connect	Lyrical Asset Management	US Value Equity	Yes	100%	\$0.01 to \$0.015	Cents Per Share		
Connect	MAI Wealth Advisors	Dividend	No	N/A	N/A	N/A		
Connect	Miller/Howard Investments	Income Equity - Non MLP	No	N/A	N/A	N/A		
Connect	Montag & Caldwell, LLC	Large Cap Growth	Yes	82.4%	\$0.00	Cents Per Share		
Connect	Neuberger Berman (Neubridge)	Rachlin Undervalued Growth	No	N/A	N/A	N/A		
Connect Connect	Nuveen Asset Mgmt - SAN Parametric Portfolio Associates	Dividend Growth Custom Core WisdomTree High Dividend	No No	N/A N/A	N/A N/A	N/A N/A		
Connect	Parametric Portfolio Associates Parametric Portfolio Associates	Custom Core Russell 1000 Growth	No	N/A N/A	N/A N/A	N/A N/A		
Connect	Parametric Portfolio Associates	Custom Core S&P 500	No	N/A	N/A	N/A		
Connect	Parametric Portfolio Associates	Calvert US Lg Cap Cor Rsp Idx	No	N/A	N/A	N/A		
Connect	Renaissance Investment Mgmt	Large Cap Growth	No	N/A	N/A	N/A		
Connect Connect	Sound Shore Management Tandem Investment Advisors	Large Cap Care	No Yes	N/A 9.41%	N/A \$0.01	N/A Cents Per Share		
Connect	The Philadelphia Trust Company	Large Cap Core Large Cap Core	Yes No	9.41% N/A	\$0.01 N/A	N/A		
Connect	Trust Investment Advisors	Income Equity	No	N/A	N/A	N/A		
Connect	Victory Capital Management	NewBridge Large Cap Growth Equity	No	N/A	N/A	N/A		
Connect	Winslow Capital Mgmt	Large Cap Growth	No	N/A	N/A	N/A		
Connect	Winthrop Capital Management	Large Cap Blend	No	N/A	N/A	N/A		
Connect Connect	Woodley Farra Manion Port Mgmt Woodley Farra Manion Port Mgmt	Dividend Strategy Large Cap Value	No No	N/A N/A	N/A N/A	N/A N/A		
Connect	Zacks Investment Management	Dividend Dividend	No	N/A N/A	N/A N/A	N/A N/A		
		1			.4			

	U.S. Mid Cap Equity								
					Execution costs charged by the executing broker				
Stifel Advisor Program	Manager	Portfolio Name	Trade Away?	Stifel	for the trades	Cost Unit			
Opportunity	Equity Investment Corp	Mid Cap Value	No	N/A	N/A	N/A			
Opportunity	Natixis Investment Managers	AIA S&P 400 Mid-Cap Tax Managed	No	N/A	N/A	N/A			
Connect	Hahn Capital Management	Mid Cap Value	No	N/A	N/A	N/A			
Connect	Madison Investment Advisors	Mid Cap Equity	No	N/A	N/A	N/A			
Connect	Tandem Investment Advisors	Mid Cap Core	Yes	4.82%	\$0.01	Cents Per Share			
Connect	The London Company	Mid Can Core	No	N/A	N/A	N/A			

	U.S. Municipal Fixed Income								
					Execution costs charged by the executing broker				
Stifel Advisor Program	Manager	Portfolio Name	Trade Away?	Stifel	for the trades	Cost Unit			
Opportunity	1919 Investment Counsel	CA Municipal Int Duration	Yes	100%	\$0.00	Cents Per Share			
Opportunity	1919 Investment Counsel	CA Municipal Targeted	Yes	100%	\$0.00	Cents Per Share			
Opportunity	1919 Investment Counsel	Municipal Intermediate Duration	Yes	100%	\$0.00	Cents Per Share			
Opportunity	1919 Investment Counsel	Municipal Short Duration	Yes	100%	\$0.00	Cents Per Share			

On a set well to	1010	Manufalant 4 40 Vanatarilar	Yes	100%	\$0.00	Courte Dougleon
Opportunity	1919 Investment Counsel 1919 Investment Counsel	Municipal 1-10 Year Ladder NY Municipal Targeted	Yes	100%	\$0.00	Cents Per Share Cents Per Share
Opportunity Opportunity	1919 Investment Counsel	Tax Responsive Customized	Yes	100%	\$0.00	Cents Per Share
	1919 Investment Counsel		Yes	100%	\$0.00	Cents Per Share
Opportunity	1919 Investment Counsel	Tax Responsive Int Duration Tax Responsive Short Duration	Yes	100%	\$0.00	Cents Per Share
Opportunity Opportunity	1919 Investment Counsel	Municipal California Long Income Focused	Yes	100%	\$0.00	Cents Per Share
Opportunity	1919 Investment Counsel	Ultra Short Municipal	Yes	100%	\$0.00	Cents Per Share
Opportunity	1919 Investment Counsel	Intermediate Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	1919 Investment Counsel	National Municipal Long Income Focused	Yes	100%	\$0.00	Cents Per Share
Opportunity	1919 Investment Counsel	Intermediate Duration NY Municipal	Yes	100%	\$0.00	Cents Per Share
Opportunity	Abner, Herrman & Brock	Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	AllianceBernstein LP.	Tax Aware	Yes	74%	\$0.00	Cents Per Share
Opportunity	Belle Haven Investments	Muni Plus SMA	Yes	100%	\$0.00	Cents Per Share
Opportunity	BlackRock Investment Management	Intermediate-Term Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	BlackRock Investment Management	Long-Term Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	BlackRock Investment Management	Short-Term Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	Crossmark Global Investments	Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	Cumberland Advisors Inc	Total Return Municipal	Yes	100%	\$0.00	Cents Per Share
Opportunity	Federated Inv Counseling - CW Henderson	Intermediate Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	Federated Inv Counseling - CW Henderson	Ultrashort Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	First Trust Advisors L.P.	FTA Municipal Closed End Fund Strategy	No	N/A	N/A	N/A
Opportunity	Franklin Templeton PPG	Western Asset Current Market Muni	Yes	100%	\$0.00	Cents Per Share
Opportunity	Franklin Templeton PPG - Income SMA's	Intermediate Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	Genter Capital Management	Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	GW&K Investment Management, LLC	Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	Lord Abbett & Co	High-Quality Intermediate Municipal Bond	Yes	100%	\$0.00	Cents Per Share
Opportunity	Lord Abbett & Co	Muni Laddered Bond 1-5 Years	Yes	100%	\$0.00	Cents Per Share
Opportunity	Lord Abbett & Co	Muni Laddered Bond 1-17 Years	Yes	100%	\$0.00	Cents Per Share
Opportunity	Lord Abbett & Co	Muni Laddered Bond 1-10 Years	Yes	100%	\$0.00	Cents Per Share
Opportunity	Lord Abbett & Co	Muni Laddered Bond 1-15 Years	Yes	100%	\$0.00	Cents Per Share
Opportunity	Lord Abbett & Co	Muni Laddered Bond 5-10 Years	Yes	100%	\$0.00	Cents Per Share
Opportunity	Lord Abbett & Co	Muni Laddered Bond 5-15 Years	Yes	100%	\$0.00	Cents Per Share
Opportunity	Lord Abbett & Co	High-Quality Barbell Municipal Bond	Yes	100%	\$0.00	Cents Per Share
Opportunity	Mariner Wealth Advisors	Municipal Fixed Income	Yes	100%	\$0.00	Cents Per Share
Opportunity	Neuberger Berman	Intermediate Municipal	Yes	100%	\$0.10 to \$10.00 ^[2]	Cents Per Share
Opportunity	Neuberger Berman (Neubridge)	Municipal Core	Yes	100%	\$0.10 to \$10.00 ^[2]	Cents Per Share
Opportunity	Neuberger Berman (Neubridge)	Municipal Extended Core	Yes	100%	\$0.10 to \$10.00 ^[2]	Cents Per Share
Opportunity	Nuveen Asset Management, LLC	Interm Term High Qlty Muni Bond	Yes	99%	1 to 10 [3]	Basis Points
Opportunity	Nuveen Asset Management, LLC	Limited Maturity Muni Bond	Yes	99%	1 to 10 ^[3]	Basis Points
Opportunity	Nuveen Asset Management, LLC	Long Term Muni	Yes	99%	1 to 10 ^[3]	Basis Points
Opportunity	Nuveen Asset Management, LLC	Municipal Laddered Bond 5-15 Year	Yes	99%	1 to 10 ^[3]	Basis Points
Opportunity	Nuveen Asset Management, LLC	Municipal Laddered Bond 10-25 Year	Yes	100%	1 to 10 ^[3]	Basis Points
Opportunity Opportunity	Nuveen Asset Management, LLC Nuveen Asset Management, LLC	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year	Yes Yes	100% 99%	1 to 10 ^[3] 1 to 10 ^[3]	Basis Points Basis Points
Opportunity Opportunity Opportunity	Nuveen Asset Management, LLC Nuveen Asset Management, LLC Nuveen Asset Management, LLC	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year	Yes Yes Yes	100% 99% 99%	1 to 10 ^[3] 1 to 10 ^[3] 1 to 10 ^[3]	Basis Points Basis Points Basis Points
Opportunity Opportunity Opportunity Opportunity	Nuveen Asset Management, LLC Nuveen Asset Management, LLC Nuveen Asset Management, LLC Nuveen Asset Management, LLC	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year	Yes Yes Yes Yes	100% 99% 99% 97%	1 to 10 ^[3]	Basis Points Basis Points Basis Points Basis Points
Opportunity Opportunity Opportunity Opportunity Opportunity	Nuveen Asset Management, LLC	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return	Yes Yes Yes Yes Yes Yes	100% 99% 99% 97% 78%	1 to 10 ^[3]	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points
Opportunity Opportunity Opportunity Opportunity Opportunity Opportunity Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long	Yes Yes Yes Yes Yes Yes Yes Yes	100% 99% 99% 97% 78% 100%	1 to 10 ^[3] 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Opportunity Opportunity Opportunity Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's Parametric Portfolio Associates - Fixed Income SMA's	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return	Yes Yes Yes Yes Yes Yes	100% 99% 99% 97% 78% 100%	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share Cents Per Share
Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's Parametric Portfolio Associates - Fixed Income SMA's Parametric Portfolio Associates - Fixed Income SMA's	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder (1-14 Yr	Yes	100% 99% 99% 97% 78% 100% 100%	1 to 10 ^[3] \$0.00 \$0.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share Cents Per Share Cents Per Share
Opportunity Opportunity Opportunity Opportunity Opportunity Opportunity Opportunity Opportunity	Nuween Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 YY TABS Municipal Ladder 5-15 YY	Yes	100% 99% 99% 97% 78% 100% 100%	1 to 10 ^[3] 50.00 50.00 50.00 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share Cents Per Share Cents Per Share Cents Per Share
Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 5-15 Yr TABS Municipal Ladder 1-10-20 Yr	Yes	100% 99% 99% 97% 78% 100% 100% 100%	1 to 10 ^[3] 50.00 50.00 50.00 50.00 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 5-15 Yr TABS Municipal Ladder 10-20 Yr TABS Municipal Ladder 10-20 Yr	Yes	100% 99% 99% 97% 78% 100% 100% 100% 100%	1 to 10 ^[3] \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's MC Fixed Income Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-8 Yr Municipal Ladder 1-8 Yr Municipal Ladder 1-8 Yr Municipal Ladder 1-8 WA	Yes	100% 99% 99% 97% 78% 100% 100% 100% 100%	1 to 10 ^[3] 50.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-8 Yr Municipal Intermediate SMA Municipal Intermediate SMA	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100%	1 to 10 ^[3] 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Lostom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-20 Yr TABS Municipal Ladder 10-20 Yr TABS Municipal Ladder 1-3-8 Yr Municipal Inder Municipal Ladder 1-3-8 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate	Yes	100% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100%	1 to 10 (3) 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management SME Fixed Income Management SME SME Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Intermediate	Yes	100% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100%	1 to 10 ^[3] 50.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share N/A N/A
Opportunity IMC Program - SMA	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Stifel Capital Management Appleton Partners	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-8 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity	Nuween Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Appleton Partners AllianceBernstein	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Manged Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficien Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware	Yes	100% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 50.00 50.00 50.00 50.00 50.00 50.00 N/A N/A S0.00 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity IMC Program - SMA Connect Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Appleton Partners AllianceBernstein Asset Preservation Advisors	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Municipal Ladder (Custom) TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Intermediate SMA Municipal Company SMA Muni	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Connect Connect Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Stifel Capital Management Asset Preservation Advisors Asset Preservation Advisors Asset Preservation Advisors	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-20 Yr TABS Municipal Ladder 1-8 Yr Municipal Intermediate SMA Municipal Intermediate SMA Municipal Copportunities Plus SMA Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Comportunity Opportunity Opportunity Comportunity Opportunity Comportunity Opportunity Opportunity Comportunity Opportunity Comportunity Comportun	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investments	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-20 Yr TABS Municipal Ladder 1-8 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus	Yes	100% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Connect Connect Connect Connect Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investments BlackRock Investment Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Ladder 1-8 Yr Municipal Ladder 1-8 Yr Municipal Ladder 1-8 Yr Municipal Lodder 1-8 Yr Municipal Lodder 1-8 Yr Municipal Lodder 1-8 Yr Municipal North Municipal Lodder North Municipal N	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Copportunity Copportunity Copportunity Copportunity Copportunity Connect Connect Connect Connect Connect Connect Connect Connect Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Stifel Capital Management Aspleton Partners AllianceBernstein Asset Preservation Advisors Belle Haven Investments BlackRock Investment Management BlackRock Investment Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-7 Year Municipal Ladder Long TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Intermediate SMA Municipal Intermediate Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Interm Huni Fixed Income Interm Term Muni Fixed Income	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Comportunity Opportunity Opportunity Opportunity Opportunity Comportunity Opportunity Oppo	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Appleton Partners AllianceBenratein Asset Preservation Advisors Belle Haven Investments BlackRock Investment Management BlackRock Investment Management BlackRock Investment Management Capirn Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Ladder Plus Long Term Muni Fixed Income Interm Term Muni Fixed Income IntermeTerm Muni Fixed Income IntermeTerm Muni Fixed Income IntermeTerm Muni Fixed Income IntermeTerm Muni Fixed Income	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity IMC Program – SMA Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investments BlackBock Investment Management BlackBock Investment Management Ederated Inv Counseling - CW Henderson	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Interm Term Muni Fixed Income Intermediate Muni National Strategy Intermediate Muni National Strategy Intermediate Muni National Strategy Intermediate Municipal Fixed Income	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Copportunity Opportunity Copportunity Opportunity Connect	Nuween Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Aspelton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investments BlackRock Investment Management ElackRock Investment Management Caprin Asset Management Federated Inv Counseling - CW Henderson Lord Abbett & Co	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Manged Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 YY TABS Municipal Ladder 1-15 YY TABS Municipal Ladder 1-15 YY TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-10-8 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Interm Temediate Muni National Strategy Intermediate Muni National Strategy Intermediate Municipal Fixed Income	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 50.00 50.00 50.00 50.00 50.00 50.00 50.00 80.00 80.00 80.00 80.00 80.00 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Copportunity Opportunity Copportunity Copportunity IMC Program - SMA Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Belle Haven Investments BlackRock Investment Management BlackRock Investment Management Federated Inv Counseling - CW Henderson Lord Abbett & Co Lord Abbett & Co	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Municipal Ladder Custom) TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Intermediate Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Ladder Plus Long Term Muni Fixed Income Intermediate Municipal Fixed Income Interm Municipal Fixed Income Interm Municipal Fixed Income Interm Municipal Fixed Income	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity IMC Program - SMA Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMF Fixed Income Management Stifel Capital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investment Management BlackRock Investment Management BlackRock Investment Management Caprin Asset Management Federated in Vounseling - CW Henderson Lord Abbett & Co Miller Tabak Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Ladder Gustom) TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate 5MA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Intermediate Muni National Strategy Intermediate Muni National Strategy Intermediate Muni National Strategy Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Interm Municipal Fixed Income Interm Municipal Fixed Income Interm Municipal Fixed Income Interm Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income	Yes	100% 99% 99% 99% 99% 100% 100% 100% 100%	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity Conportunity Opportunity Opportunity Opportunity Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Balca Haven Investments BlackRock Investment Management BlackRock Investment Management Caprin Asset Management Caprin Asset Management Caprin Asset Management Miller Tabak Asset Management Miller Tabak Asset Management Miller Tabak Asset Management Miller Tabak Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Manged Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-20 Yr TABS Municipal Ladder 1-8 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Intermediate Tax Efficient Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Intermediate Municipal Fixed Income Intermediate Tax-Free Intermediate Tax-Free	Yes	100% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Cents Per Share
Opportunity IMC Program - SMA Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management SMIGE Lapital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investments BlackRock Investment Management BlackRock Investment Management Caprin Asset Management Federated Inv Counseling - CW Henderson Lord Abbett & Co Miller Tabak Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Municipal Ladder (Custom) TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Intermediate SMA Municipal Intermediate Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Intermediate Muni National Strategy Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Enhanced Tax-Free Short Duration Tax-Free Short Duration Tax-Free	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Cents Per Share
Opportunity IMC Program - SMA Connect Conn	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stife Lapital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investment BlackRock Investment Management BlackRock Investment Management BlackRock Investment Management Caprin Asset Management Lord Abbett & Co Lord Abbett & Co Miller Tabak Asset Management Nuveen Asset Management Nuveen Asset Management Nuveen Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Thermediate Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Interm Huni Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Tax-Free Intermediate Tax-Free Interm High Olty Muni Bond	Yes	100% 99% 99% 99% 99% 100% 100% 100% 100%	1 to 10 ^[3] 50.00	Basis Points Gents Per Share Cents Per Share
Opportunity Copportunity Opportunity Opportunity Copportunity Opportunity Copportunity Copportunity Opportunity Opportunity Opportunity Connect Co	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management SMIGE Lapital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investments BlackRock Investment Management BlackRock Investment Management Caprin Asset Management Federated Inv Counseling - CW Henderson Lord Abbett & Co Miller Tabak Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Municipal Ladder (Custom) TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Intermediate SMA Municipal Intermediate Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Intermediate Muni National Strategy Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Enhanced Tax-Free Short Duration Tax-Free Short Duration Tax-Free	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity IMC Program - SMA Connect Conn	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stife Lapital Management Appleton Partners AllianceBernstein Asset Preservation Advisors Asset Preservation Advisors Belle Haven Investment BlackRock Investment Management BlackRock Investment Management BlackRock Investment Management Caprin Asset Management Lord Abbett & Co Lord Abbett & Co Miller Tabak Asset Management Nuveen Asset Management Nuveen Asset Management Nuveen Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-17 Year Municipal Laddered Bond 1-17 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-10-20 Yr TABS Municipal Thermediate Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Interm Huni Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Municipal Fixed Income Intermediate Tax-Free Intermediate Tax-Free Interm High Olty Muni Bond	Yes	100% 99% 99% 99% 99% 100% 100% 100% 100%	1 to 10 ^[3] 50.00	Basis Points Basis Points Basis Points Basis Points Basis Points Basis Points Cents Per Share
Opportunity IMC Program - SMA Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management Appleton Partners AllianceBenratein Asset Preservation Advisors Belle Haven Investments BlackRock Investment Management BlackRock Investment Management Federated Inv Counseling - CW Henderson Lord Abbett & Co Lord Abbett & Co Miller Tabak Asset Management Nuveen Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-18 Yr Municipal Intermediate SMA Municipal Comportunities Plus SMA Tax Efficient Intermediate Tax Efficient Intermediate Tax Efficient Intermediate Tax House Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Intermediate Municipal Fixed Income Intermediate Tax-Free Intermediate Tax-Free Interm Term High Qlyt Muni Bond Lunited Maurity Muni Bond Lunited Municipal Fixer Muni Bond Lunited Maurity Muni Bond Lunited Maurity Muni Bond Lunited Farm Muni	Yes	100% 99% 99% 99% 97% 78% 100% 100% 100% 100% 100% 100% 100% 10	1 to 10 ^[3] 50.00 \$0.00	Basis Points Cents Per Share
Opportunity Connect	Nuveen Asset Management, LLC Parametric Portfolio Associates - Fixed Income SMA's SMC Fixed Income Management SMC Fixed Income Management SMC Fixed Income Management Stifel Capital Management Stifel Capital Management AllianceBernstein Asset Preservation Advisors Balca Rock Investment Management BlackRock Investment Management BlackRock Investment Management Caprin Asset Management Caprin Asset Management Caprin Asset Management Miller Tabak Asset Management Miller Tabak Asset Management Miller Tabak Asset Management Nuveen Asset Management Nuveen Asset Management Nuveen Asset Management Nuveen Asset Management	Municipal Laddered Bond 10-25 Year Municipal Laddered Bond 1-10 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-15 Year Municipal Laddered Bond 1-7 Year Muni Bond Total Return TABS Managed Municipal Long TABS Municipal Ladder (Custom) TABS Municipal Ladder 1-14 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-15 Yr TABS Municipal Ladder 1-19 Yr Municipal Intermediate SMA Municipal Opportunities Plus SMA Tax Efficient Intermediate Tax Efficient Intermediate Tax Efficient Short Muni Bond Total Return Tax Aware High Quality Intermediate Short Term Tax Exempt Ladder Plus Long Term Muni Fixed Income Interm Term Muni Fixed Income Intermediate Municipal Fixed Income Intermediate Tax-Free Intermediate Tax-Free Intermediate Tax-Free Intermediate High Otly Muni Bond Limited Maturity Muni Bond	Yes Yes	100% 99% 99% 99% 100% 100% 100% 100% 100	1 to 10 ^[3] 50.00 \$0.00	Basis Points Cents Per Share

U.S. Small Cap Equity									
Stifel Advisor Program	Manager	Portfolio Name	Trade Away?	Dollar-weighted percentage of trades executed away from Stifel	Execution costs charged by the executing broker for the trades	Cost Unit			
Opportunity	Confluence Investment Management	Business Development Company Portfolio	No	N/A	N/A	N/A			
Opportunity	Confluence Investment Management	Small Cap Value	No	N/A	N/A	N/A			
Opportunity	Eagle Asset Management, Inc.	Small Cap Growth	No	N/A	N/A	N/A			
Opportunity	Earnest Partners LLC	Small Cap Value	No	N/A	N/A	N/A			
Opportunity	GW&K Investment Management, LLC	Small Cap Core	No	N/A	N/A	N/A			
Opportunity	Ironwood Investment Management LLC	Small Cap Core	No	N/A	N/A	N/A			
Opportunity	Natixis Investment Managers	AIA S&P 600 Small-Cap Tax Managed	No	N/A	N/A	N/A			
Opportunity	NISA Investment Advisors	Russell 2000 ex Financials	No	N/A	N/A	N/A			
Opportunity	Rice Hall James & Associates, LLC	Small Cap Growth Opportunities	No	N/A	N/A	N/A			
Opportunity	Riverbridge Partners LLC	Small Cap Growth	No	N/A	N/A	N/A			
IMC Program - SMA	Eagle Asset Management	SMID Cap Strategy	No	N/A	N/A	N/A			
IMC Program - SMA	Newsouth Capital Management Inc	Small Cap Value Equity	Yes	6.38%	\$0.00	Cents Per Share			
IMC Program - SMA	Shapiro Capital Management	Small Cap Value	No	N/A	N/A	N/A			
Connect	Granite Investment Partners	Small Core Select	No	N/A	N/A	N/A			
Connect	Parametric Portfolio Associates	Custom Core - Russell 2000	No	N/A	N/A	N/A			
Connect	Parametric Portfolio Associates	Custom Core S&P Small cap 600 - TM	No	N/A	N/A	N/A			

Manager Comments

- Ticket charges only apply to cross transactions and typically range from 0-50 bps. For more information on Hamlin's cross transaction practices, please refer to their ADV Part 2 brochure at: https://adviserinfo.sec.gov/firm/summary/119278.
- ² The associated costs typically range from \$0.10 to \$10 per bond, with the higher fee rate of \$10 per bond usually reserved for infrequent instances where very small lot sizes are being traded (e.g., fewer than five bonds).
- ³ No additional trading costs for priary market trades. For secondary market trades, dealer trading mark-ups typically range from 1-10 bps per bond depending on several factors: position size, market strength/weakness, and holding period, among others.