

# STIFEL

## WEALTH TRACKER

## A How-To Guide



***Stifel Wealth Tracker contains many of the same functions as our legacy portal and many more enhancements.*** Additionally, Stifel Wealth Tracker offers a robust suite of documents that are eligible for eDelivery within the platform. Enrolling in Wealth Tracker will provide you with the following digital amenities for your Stifel accounts:

- ▶ ***Organize all of your accounts*** ... Stifel and otherwise, into one secure location.
- ▶ ***Create a personal balance sheet***, comparing your assets and liabilities.
- ▶ ***Monitor your portfolio*** of assets and how they're performing in real-time.
- ▶ ***Take an in-depth look at your holdings:*** What you own, how much you own, and in what accounts they are held.
- ▶ ***Share your account information*** with a trusted party.
- ▶ A 12-month, forward-looking view of the ***projected income*** in your Stifel accounts.
- ▶ ***Track spending*** and illustrate your net worth.
- ▶ Understand the companies you're invested in with Stifel's ***nationally recognized research*** and investment insights.

*Click to View*

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## Enrollment Guide

1 Navigate to [www.stifelwealthtracker.com](http://www.stifelwealthtracker.com) and select **Sign Up**.



2 Complete the enrollment fields by indicating client status, creating a username, password, and providing contact information.

**Please Note:** The e-mail address and phone number will require validation later in the enrollment process.

*If yes:*

*If no:*

## Enrollment Guide

3 Complete the **additional enrollment fields** including:

- Stifel Account Number
- Date of Birth
- Social Security Number

The screenshot shows the 'Verify Stifel Account' form. At the top, there are three tabs: 'Personal Info', 'Account Info', and 'Verification'. The 'Account Info' tab is active. Below the tabs, the title 'Verify Stifel Account' is followed by a question mark icon. A message states: 'We need a little more information to verify your identity in order to access your Stifel Accounts information. This process will distinguish you from our other Stifel clients so remember to enter your Account Number, Date of Birth and Social Security Number.' There are three input fields: 'Stifel Account Number' with the value '0000-0000', 'Date of Birth' with the value '01/01/1960', and 'Social Security Number' with the value '000-12-1234'. At the bottom right, there are two buttons: 'Go Back' and 'Continue', with the 'Continue' button highlighted by a red box.

4 A series of questions will be asked to authenticate. Please choose the correct answer per question and select **Continue**.

The screenshot shows the 'Verify Stifel Account' form. At the top, there are three tabs: 'Personal Info', 'Account Info', and 'Verification'. The 'Verification' tab is active. Below the tabs, the title 'Verify Stifel Account' is followed by a question mark icon. A message asks: 'Which of the following corporations have you ever been associated with?'. There are five radio button options: 'Garden Hills No 2 Owners Association', 'Medical Logistics', 'Solarking', 'Temptronic', and 'None of the above'. At the bottom right, there are two buttons: 'Go Back' and 'Continue', with the 'Continue' button highlighted by a red box.

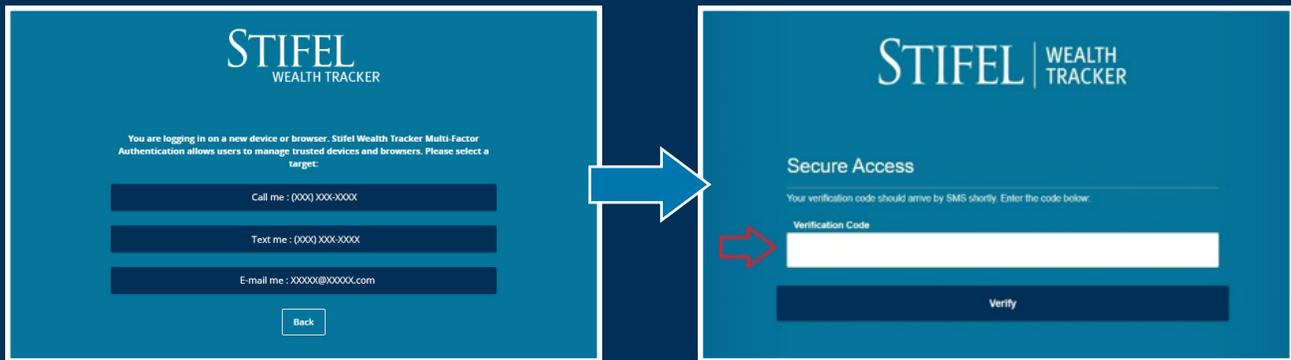
5 Once the required questions have been successfully completed, the below message will appear. Select **Continue** to proceed.

The screenshot shows the 'Verify Stifel Account' form. At the top, there are three tabs: 'Personal Info', 'Account Info', and 'Verification'. The 'Verification' tab is active. Below the tabs, the title 'Verify Stifel Account' is followed by a question mark icon. A large green checkmark is displayed in the center, with the text 'Account Verified' above it. At the bottom right, there are two buttons: 'Go Back' and 'Continue', with the 'Continue' button highlighted by a red box.

## Enrollment Guide

- 6 To verify that a valid e-mail address, home phone, or mobile phone number have been entered, there will be a prompt to verify the information with a Secure Access Code. Choose the method of delivery, enter the Secure Access Code delivered via phone call, text, or e-mail, and then select **Verify**.

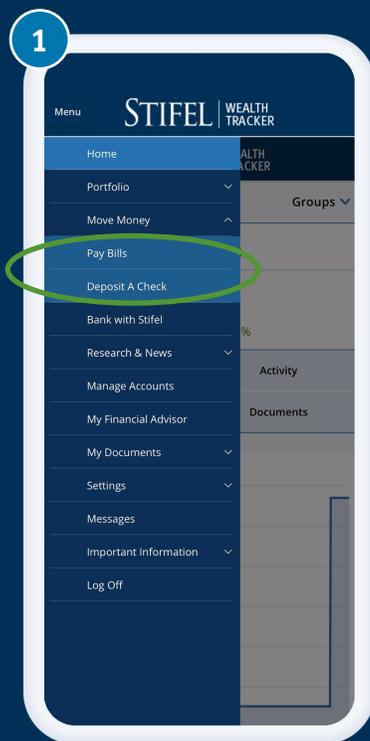
**Please Note:** Stifel’s electronic document delivery feature requires a validated e-mail address. Please choose to verify the e-mail address if electronic delivery settings will be changed upon first login.



- 7 After successful validation, a user agreement will display. Please read carefully and select **"I Accept"** if you agree and wish to continue.
- 8 Future logins may require device verification, prompting you to provide a Secure Access Code delivered via text or e-mail. To avoid this step, select **Register Device**.

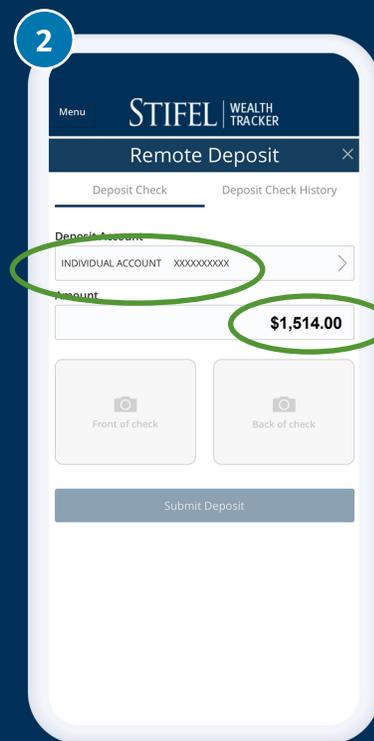


Deposit a check into your Stifel account from just about anywhere!



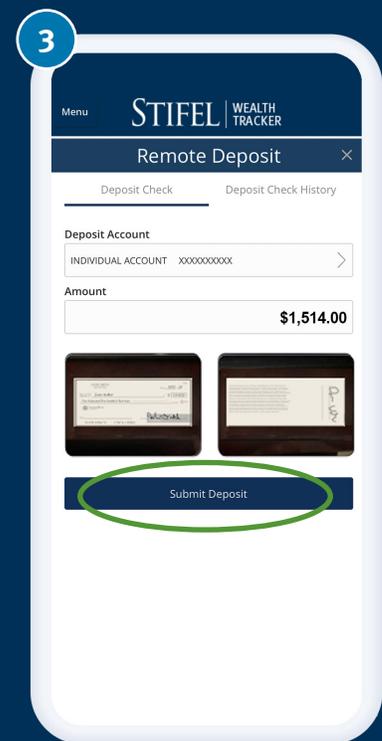
### Step 1

Log in to Stifel Wealth Tracker, open the navigation menu, and select **Deposit a Check** under Move Money.



### Step 2

Select **Deposit Account** from eligible accounts in the drop-down menu and enter amount of deposit.



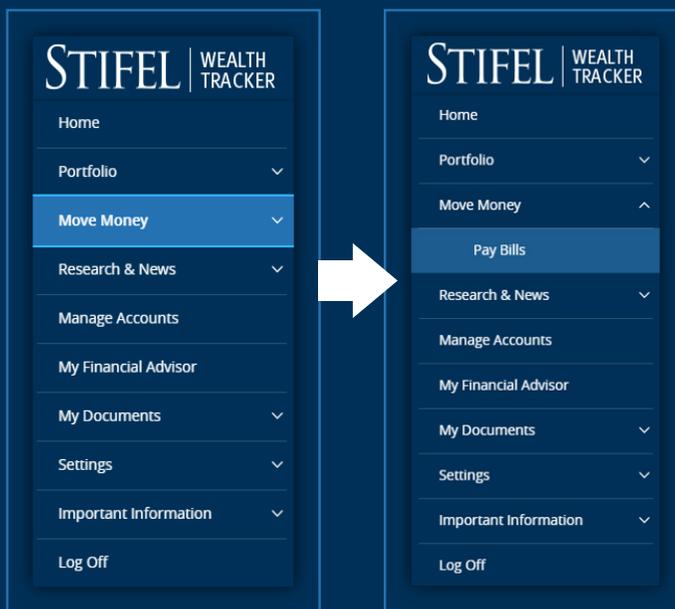
### Step 3

Take a picture of both the front & back of the check, then click **Submit Deposit**.

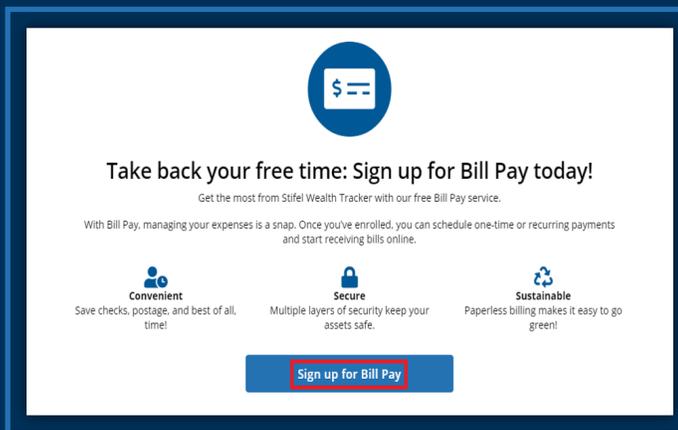
**Stifel Bill Pay is a complete web-based solution for bill delivery, payment, and management.** Bills can be arranged to pay on an individual or recurring basis. All bills that have cleared each month will be reported on the Account Statement.

### How to Access?

1. Log in to Stifel Wealth Tracker.
2. Select the Move Money option from the Wealth Tracker menu.
3. Select Pay Bills to continue.

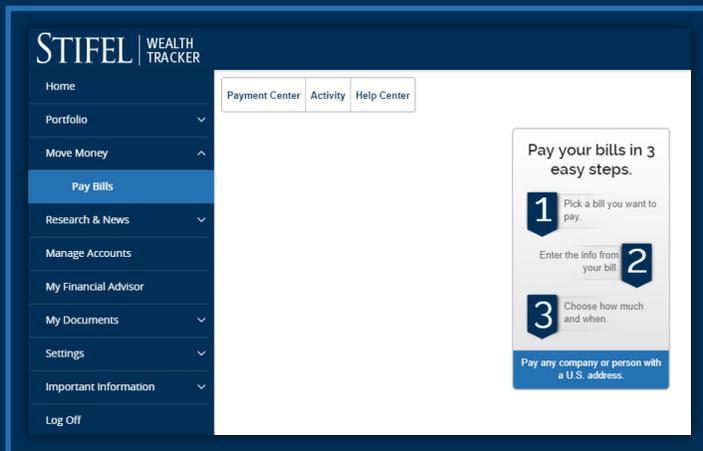


If accessing Stifel Bill Pay for the first time, please select **Sign up for Bill Pay** to proceed.



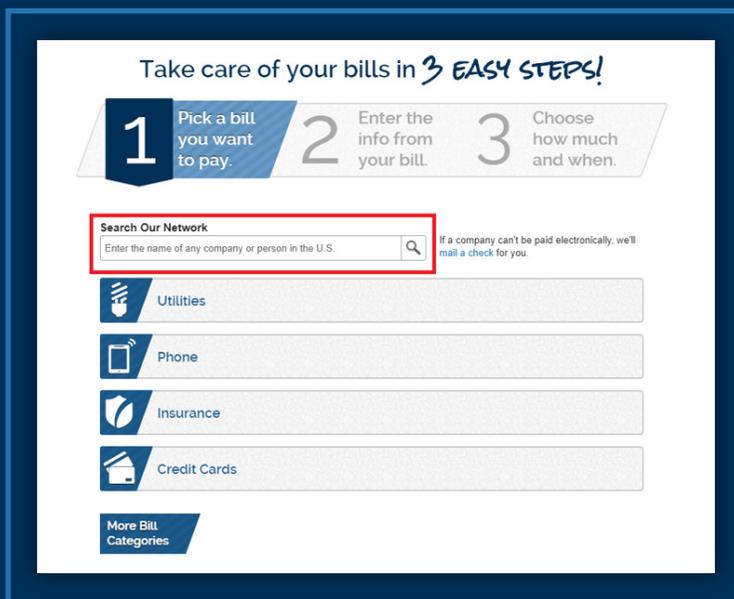
### Main Page Options

- ▶ **Payment Center** – Add new payees, view existing payees, pay bills, and manage payment options.
- ▶ **Activity** – View up to 84 months of Prior Bill Pay Activity. Reminders can also be viewed here.
- ▶ **Help Center** – View Online Bill Pay FAQs.
- ▶ Select **Pay any company or person with a U.S. address** to continue.



### Pick a Bill You Want to Pay

- ▶ To add a new payee, search using the **Search Our Network** feature. Input the Payee Name and select the magnifying glass to search.

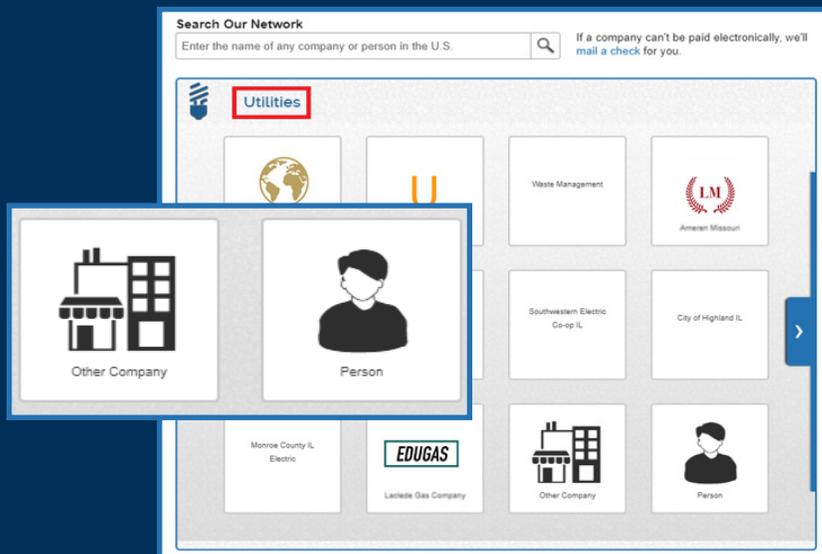


# STIFEL

## WEALTH TRACKER

## Bill Pay

- ▶ Select one of the listed categories to view and select from a list of commonly used Payees.
- ▶ To add a company not listed or to pay a person directly, select **More Bill Categories** and navigate to the **Everything Else** option. Here you may choose **Other Company** or **Person**.



### Enter the Information From Your Bill

1. Fill in the required information.
2. Create a Nickname (optional) to easily find your payees.
3. Select **Next Step**.
4. If the payee accepts electronic payments, no further information will be required on this page.
5. If the payee does not accept electronic payments from Stifel Bill Pay, you will be asked to provide additional required information.

Take care of your bills in **3 EASY STEPS!**

- 1** Pick a bill you want to pay.
- 2** Enter the info from your bill.
- 3** Choose how much and when.

**EDUGAS** Ameren Missouri

Ameren Missouri

Nickname (Optional)

Ameren Missouri

**Next Step**

Company Name  
Ameren Missouri

Account Number  
1111111111

Nickname (Optional)

Address Line 1

Address Line 2 (Optional)

City

State  
State

ZIP Code  
63102

Phone Number

**Next Step** Cancel

Please note payments issued to these payees will be sent via check.

## Bill Pay

### Choose How Much and When

- ▶ Once the payee setup is complete, choose **Make Payment** to make a payment now, or choose **Finish Later** to pay at a later date.
- ▶ If completing a payment at this time, click on the calendar icon to choose a date and select **Make Payment**.
- ▶ Once a payment has been submitted, a confirmation page will appear.

Take care of your bills in **3 EASY STEPS!**

- 1 Pick a bill you want to pay.
- 2 Enter the info from your bill.
- 3 Choose how much and when.

Ameren Missouri

Electric \*1174

Pay From Doe John \*2794

\$

Numeric date starting with the month

Take care of your bills in **3 EASY STEPS!**

- 1 Pick a bill you want to pay.
- 2 Enter the info from your bill.
- 3 Choose how much and when.

Ameren Missouri

Electric \*1174

Pay From Doe John \*2794

\$ 200.00

Select the date you want the payment to be delivered electronically. We'll withdraw the money from your account the same day.

Blue dates are available.  
Today's date is bold

May 2020							June 2020						
Su	Mo	Tu	We	Th	Fr	Sa	Su	Mo	Tu	We	Th	Fr	Sa
					1	2	1	2	3	4	5	6	
3	4	5	6	7	8	9	7	8	9	10	11	12	13
10	11	12	13	14	15	16	14	15	16	17	18	19	20
17	18	19	20	21	22	23	21	22	23	24	25	26	27
24	25	26	27	28	29	30	28	29	30				
31													

Take care of your bills in **3 EASY STEPS!**

- 1 Pick a bill you want to pay.
- 2 Enter the info from your bill.
- 3 Choose how much and when.

Name	Amount	Pay From	Deliver By	Confirmation
Ameren Missouri *1174	\$2.00	Doe John *2794	May 11, 2020	Q9MVH-YB25L

### Payment Center

Add new payees, view existing payees, delete payees, pay bills, and manage payment options.

- ▶ **Add a Company or Person** – Add a Payee to receive payments in the future.
- ▶ **Send Money** – Send payments to one or multiple Payees at once.
- ▶ **Bills Due** – Reminders help you track when a payment is due.
- ▶ **Pending Payments** – View scheduled activity.
- ▶ **Recent Payments** – View payments completed in the past 45 days.

The screenshot displays the Payment Center interface. At the top, there are tabs for "Payment Center", "Activity", and "Help Center". Below the tabs, the user's name "John Doe" and the date "Friday, May 8, 2020" are shown. The main heading is "Payment Center".

On the left side, there is a "Send Money" section. It includes a "Pay From" field with "Doe John \*2794" and a "Quick eBill Activation" link. A button "Add a Company or Person" is also present. Below this, there is a list of payees:

- Electrica Missouri** (Electric, \*1174): Includes a "Get eBills" button and a table with columns for "Activity", "Reminders", "AutoPay", and "Bills".
- 2 Square Communications, Inc** (\*7848): Includes a "Get eBills" button and a table with columns for "Activity", "Reminders", "AutoPay", and "Bills".
- Mary Smith**: Includes a table with columns for "Activity", "Reminders", "AutoPay", and "Bills".
- Moore Lawn** (Grass Cutting, \*5968): Includes a table with columns for "Activity", "Reminders", "AutoPay", and "Bills".

At the bottom right of the "Send Money" section is a "Pay" button.

On the right side, there are three summary panels:

- Bills Due**: A panel with the text "Reminders help you track when a payment is due."
- Pending Payments**: A table showing a pending payment for "Ameren Missouri Electric \*1174" for \$2.00, due on 5-11-20. It includes "Change" and "Cancel" links and a "Total" of \$2.00.
- Recent Payments**: A panel with the text "Completed payments are listed here for 45 days."

## Bill Pay

### Add a Company or Person

- ▶ After signing up for Stifel Bill Pay, select **Add a Company or Person** on the right-hand side of the Stifel Bill Pay home page.
- ▶ Search for a specific payee by name or choose from a list of commonly used payees by category.
- ▶ If adding an individual to pay directly, choose **Person** and complete the required details.
- ▶ If a company is not listed, choose **Everything Else**, then select **Other Company**, then input the required information.
- ▶ After making a selection or entering a new person/business, complete the additional required payee information.
- ▶ If the selected payee is eligible for eBills, an option will display to receive bills here instead of in the mail.
- ▶ If **Yes** is selected, additional information will be required before proceeding.
- ▶ Once complete, select **Add**. A confirmation page will appear. Select **Finish** or **Add Another Bill** to continue.

### Send Money: Payment Center Home Page

Send payments to one or multiple Payees at once.

Enter the desired Payment Amount and Payment Date next to any Payees you wish to pay and select **Pay**.

#### Make a Single Payment:

 Moore Lawn Grass Cutting *5968	\$ 35.00	05/15/2020		
<a href="#">Activity</a>		<a href="#">Reminders</a>	<a href="#">AutoPay</a>	<a href="#">Bills</a>
Total: \$35.00				<b>Pay</b>

To make a payment to Multiple Payees at once, select payees and enter the payment amount. Choose the payment date and select **Pay**.

#### Make Multiple Payments:

 Edugas Card *4237	\$ 65.00	05/11/2020		
<a href="#">Get eBills</a>	<a href="#">Activity</a>	<a href="#">Reminders</a>	<a href="#">AutoPay</a>	<a href="#">Bills</a>
 Mary Smith	\$ 40.00	05/15/2020		
<a href="#">Activity</a>	<a href="#">Reminders</a>	<a href="#">AutoPay</a>	<a href="#">Bills</a>	
 Moore Lawn Grass Cutting *5968 <a href="#">Details</a>	Amount \$ 35.00	Deliver By 05/15/2020		
<a href="#">Activity</a>	<a href="#">Reminders</a>	<a href="#">AutoPay</a>	<a href="#">Bills</a>	
Total: \$140.00				<b>Pay</b>

### Send Money: Continued

After selecting **Pay**, review and select **Submit Payments**.

#### Review Payments

<b>EDUGAS</b> Edugas Card *4237	Pay From Doe John *2794 Amount \$65.00 Withdraw On May 11, 2020	DELIVER BY May 11
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Payment Total \$65.00 **Submit Payments** [Make Changes](#) [Cancel](#)

Note that the *Withdraw On* date will differ depending upon whether the payment is being sent electronically or via check.

The Payment Confirmation page will load once the payment is successfully scheduled.

#### Payment Confirmation

Payments Submitted

<b>EDUGAS</b> Edugas Card *4237 <a href="#">Add a Note</a>	Your \$65.00 payment has been submitted. <a href="#">View Details</a>	ELECTRONIC DELIVER BY May 11
--	--	------------------------------------

Confirmation Q9NDL-2W2LH

Payment Total \$65.00 [Return to Payment Center](#) [Print](#)

### Payment Center

Select the Payee name or Details to view, modify, or delete Payee information.

The screenshot shows a payee card for Three Square Electric. The card includes the payee's logo, name, and account number (\*1174). It features a 'Get eBills' button and an 'Activity' link. At the top right, there are input fields for 'Amount' (with a dollar sign) and 'Deliver By' (with a calendar icon).

The screenshot shows the details page for Three Square Electric. It includes the payee's logo, name, and account number. The page has several sections: 'Company Name' (Three Square), 'Nickname' (Electric), 'Category' (Utilities), 'Account Number' (\*1174), and 'Phone Number' (800-552-7583). There is a 'Delete' button and a 'Save Changes' button.

### Other options available on the Payment Center Home Page:

- ▶ View recent **Activity**
- ▶ Ability to set/view **Reminders**
- ▶ Set up **AutoPay**
- ▶ Set up **eBill**

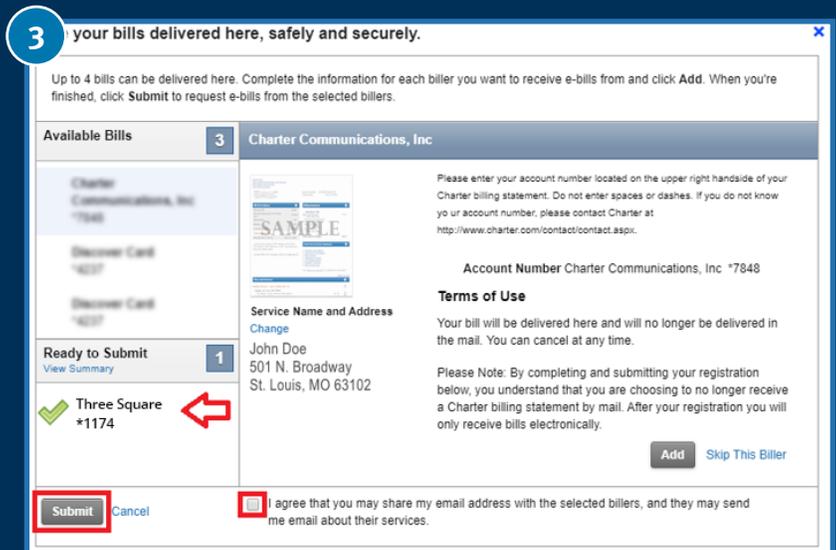
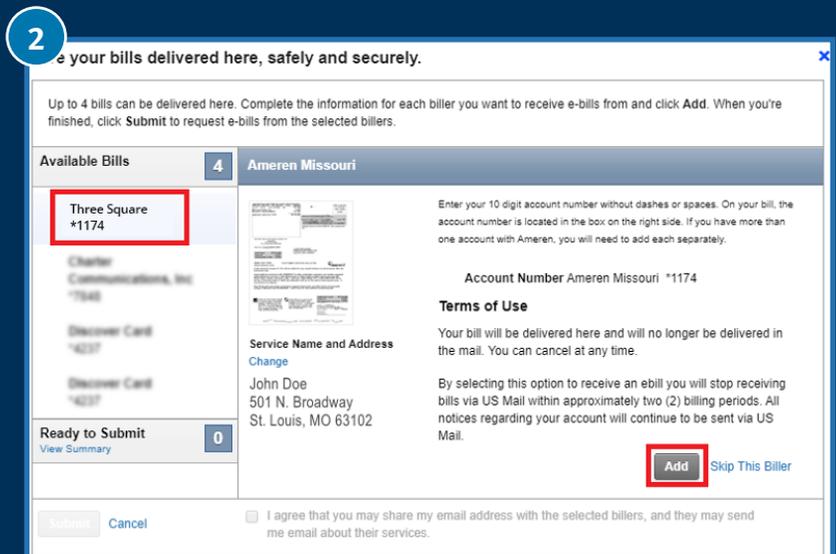
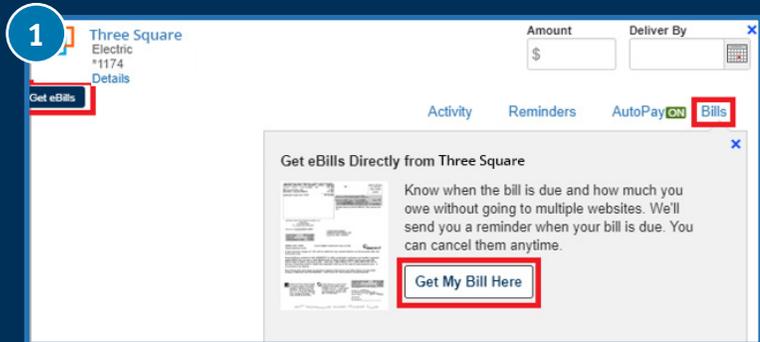
The screenshot shows the Three Square Electric payee card with a red box highlighting the navigation tabs: 'Activity', 'Reminders', 'AutoPay', and 'Bills'. Below the tabs, there are two sections: 'Recent Payments' (None) and 'Pending Payments' (5-11-20, \$2.00). A 'More Activity' link is also visible.

## Set Up eBills

Sick of logging into multiple websites each month to view your bills, check due dates, and pay your bills electronically? Set up a Payee for eBill to receive your electronic bills in one place – Stifel Bill Pay! We can even send you a reminder when your eBills are due.

To set up an eBill:

- ✓ Select **Get eBills** or Bills next to the Payee. Then, select **Get My Bill Here** to continue.
- ✓ Depending on the payee, there may be multiple fields to complete.
- ✓ Select **Add** after completing and reviewing required fields.
- ✓ Carefully review the Ready to Submit section, select the agreement box if you agree with the information on the screen, then select **Submit**.



### Activity

The Activity page provides a place to view reminders and payment status.

To view a wider time frame, select the arrow highlighted below, then select the date range from the list of options. Select **Specific Date Range** in the drop-down to set a custom date range. Select **Filter By** to narrow down the search results.

Payment Center **Activity** Help Center

### Activity

**Reminders**

Reminders help you track when a bill is due.

**Payments**

Date Range  
 Past 30 days    Apr 08, 2020 and future

[Filter By](#)

Showing 1 - 3 of 3 payments page 1

Description	Category	Amount	Deliver By	Status
▶ American Missouri Electric *1174	Utilities	\$150.00 Doe John *2794	5-13-20	Pending Ctm # Q9NH2-8KB0M
▶ American Missouri Electric *1174	Utilities	\$2.00 Doe John *2794	5-11-20	Processing Ctm # Q9MVH-YB25L
▶ Discover Card *4237	Credit Card	\$65.00 Doe John *2794	5-11-20	Pending Ctm # Q9NDL-2W2LH
		<b>Total</b>	\$217.00	Pending, Processing, and Processed payments only, including any fees

Showing 1 - 3 of 3 payments page 1 [Print](#)

**Payments**

Date Range

Past 30 days	Apr 08, 2020 and future	
Past 30 days	Apr 08, 2020 and future	
Past 90 days	Feb 08, 2020 and future	
Past 6 months	Nov 07, 2019 and future	
Past 12 months	May 09, 2019 and future	
Year to date	Jan 01, 2020 to present	
Previous year	Jan 01, 2019 to Dec 31, 2019	
Specific date range	Up To 84 months	

**Filter By**

Category ▶ Utilities ▼

 Showing Utilities payments. [Clear Filter](#)

Showing 1 - 2 of 2 payments

Description	Category
▶ Three Square Electric *1174	Utilities
▶ Three Square Electric *1174	Utilities

### Help Center

Review answers to frequently asked questions relating to Stifel Bill Pay, including troubleshooting techniques.

The screenshot shows a web interface with a navigation bar at the top containing three tabs: "Payment Center", "Activity", and "Help Center". The "Help Center" tab is selected and highlighted in blue. Below the navigation bar, the heading "Help Center" is displayed. Underneath, there are four dark blue rectangular buttons, each with a white right-pointing chevron icon and text. The first button is titled "Stifel Bill Pay FAQs" with the subtext "Info about Stifel Bill Pay. How does it work? Is it secure?". The second button is titled "Payments" with the subtext "Pay any company or person you owe.". The third button is titled "eBills" with the subtext "Get your bills right where you pay them.". The fourth button is titled "AutoPay and Reminders" with the subtext "Never forget to make a payment.".

Payment Center	Activity	Help Center
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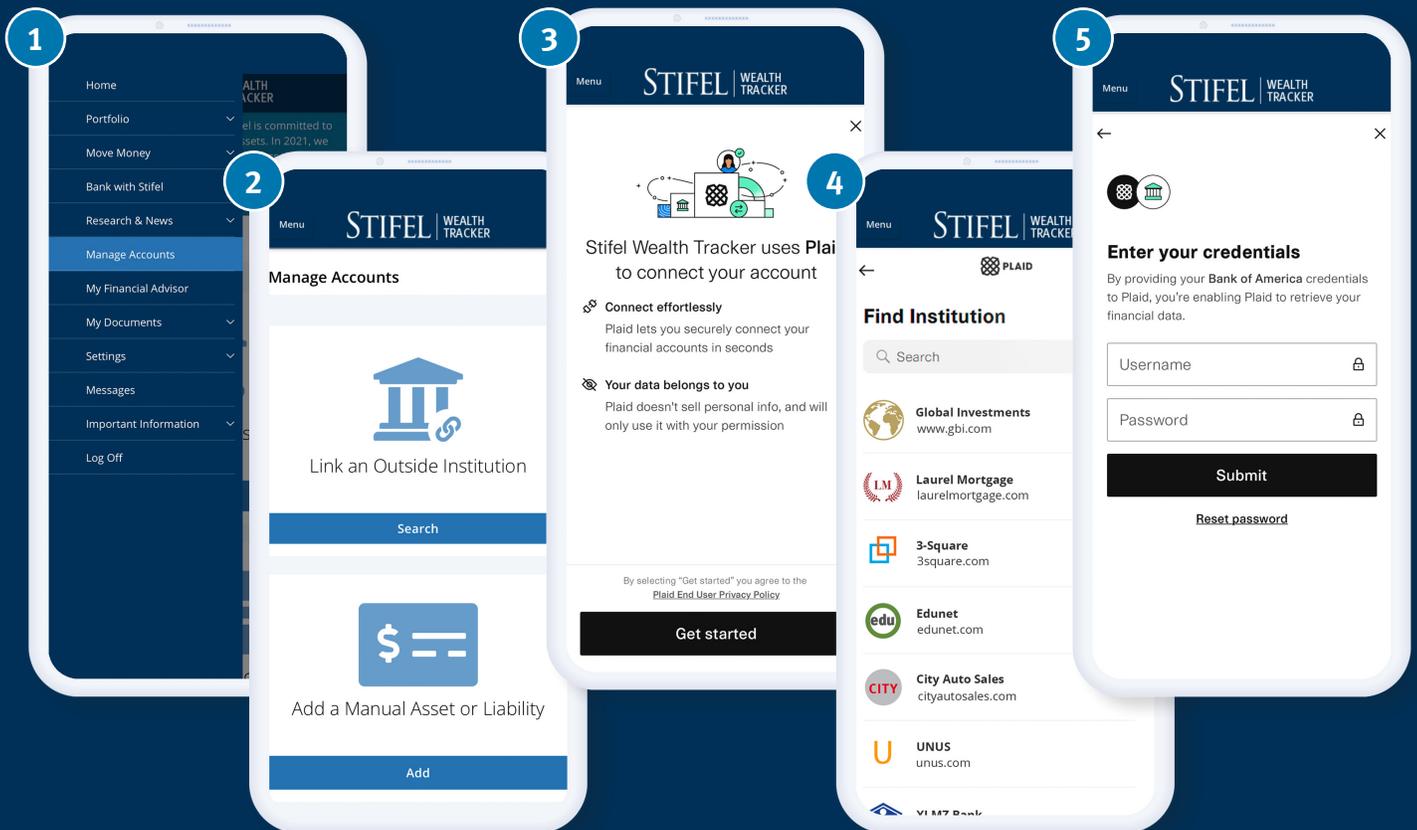
### Help Center

- ▶ **Stifel Bill Pay FAQs**  
Info about Stifel Bill Pay. How does it work? Is it secure?
- ▶ **Payments**  
Pay any company or person you owe.
- ▶ **eBills**  
Get your bills right where you pay them.
- ▶ **AutoPay and Reminders**  
Never forget to make a payment.

## Link an Outside Institution

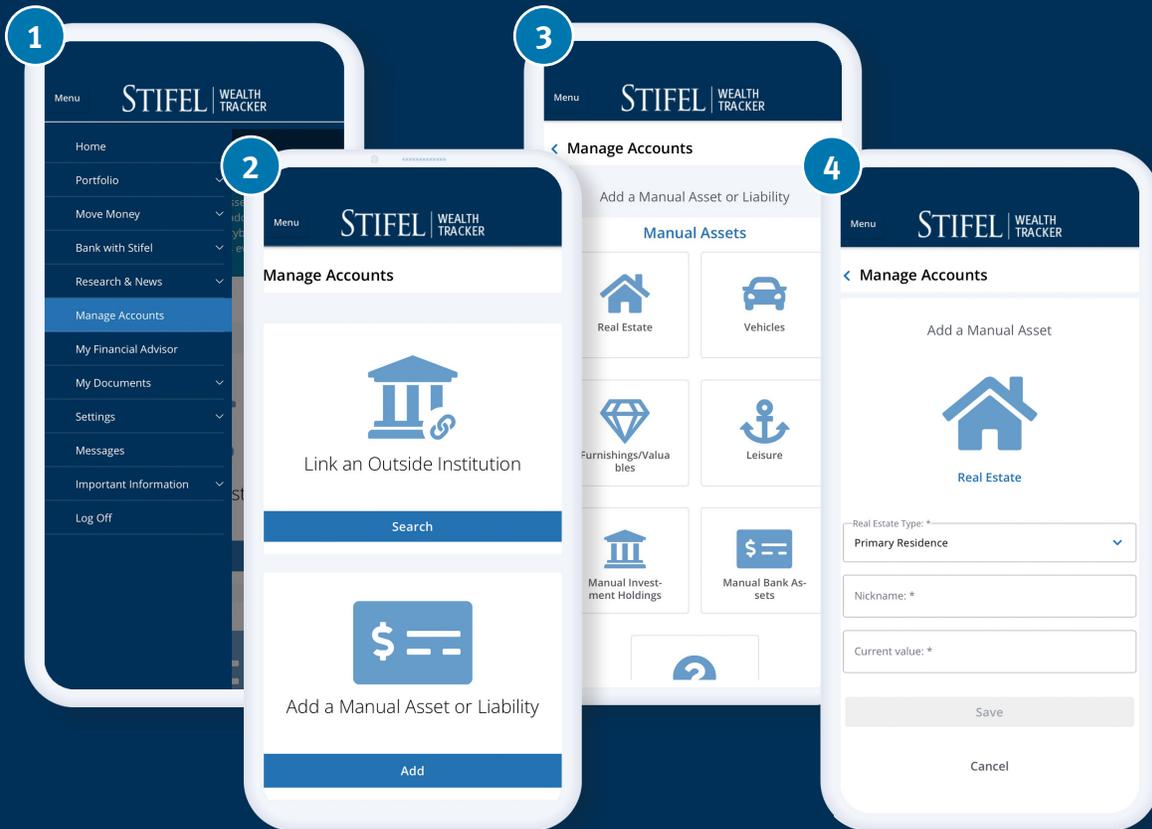
The Link an Outside Institution feature allows Wealth Tracker users to connect accounts that are held outside of Stifel.

Begin by selecting **Manage Accounts** from the menu in Wealth Tracker. Select **Search** to continue with Plaid. Plaid allows you to log in to your outside accounts through a secure integrated portal after you select the institution you would like to connect.



## Add a Manual Asset or Liability

This feature will help you account for any assets or liabilities that cannot be formally linked through an online institution. We have created several categories to help organize your manual assets and liabilities. The specific details you provide will help show your complete financial picture as well as your net worth.



Real Estate Type: \*

Primary Residence

Primary Residence

Vacation Home

Investment Property

Other

Real Estate Type: \*

Vacation Home

Nickname: \*

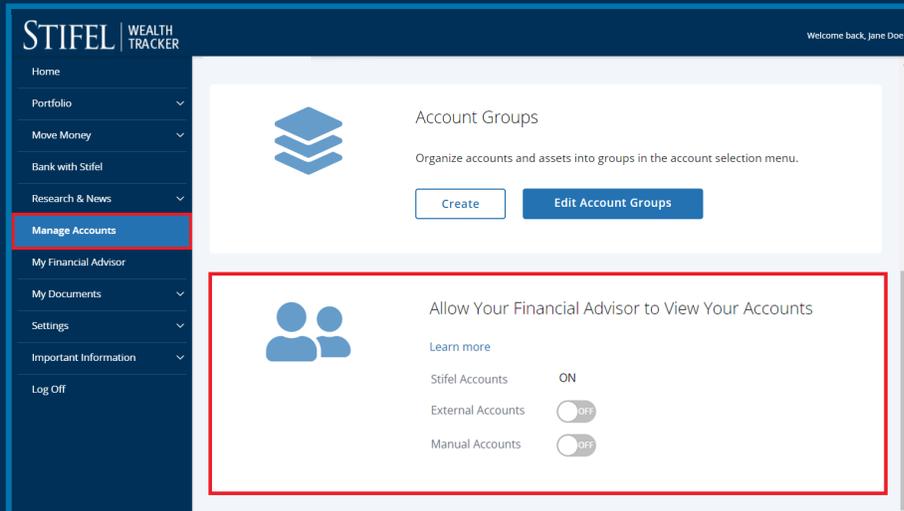
Florida Condo

Current value: \*

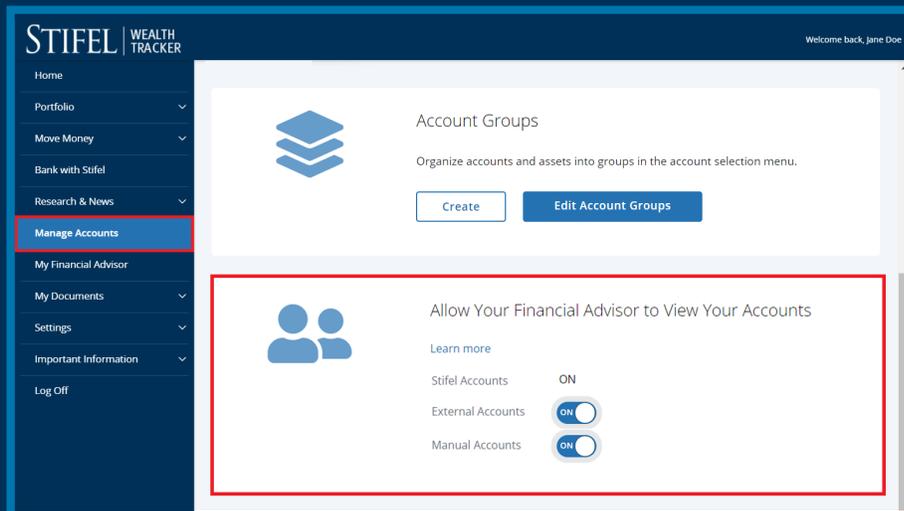
\$250000

## Enabling Advisor to View

- 1 Sign in to Wealth Tracker and use the left-hand menu to navigate to **Manage Accounts**. Scroll to the bottom of the page.



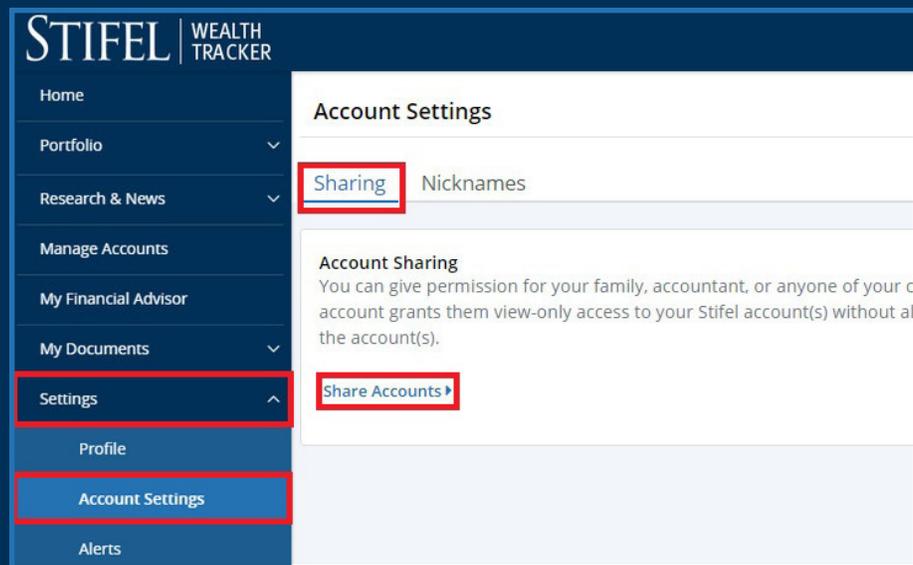
- 2 Select the **Enable Viewing** option to allow your Financial Advisor to view your accounts.



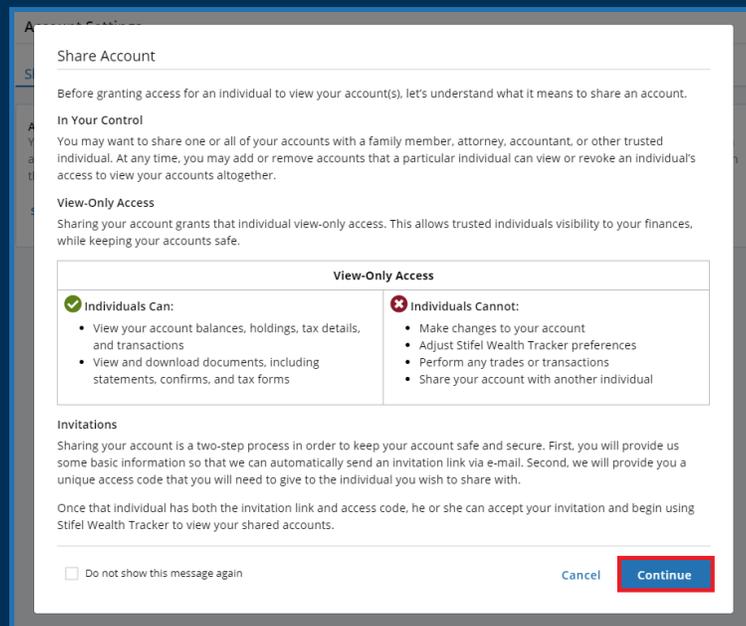
## How to Share Accounts

Stifel Wealth Tracker gives Stifel account holders the convenient ability to grant anyone of their choosing view-only online access to their Stifel account(s). Follow the instructions below to Share Accounts with trusted individuals, monitor previously granted sharing permissions, and revoke sharing at any time.

- 1 Sign in to Stifel Wealth Tracker and select **Settings**, then **Account Settings** from the left-hand navigation menu, then select **Share Accounts** from the **Sharing** tab.



- 2 Carefully review the features of sharing your account; if you agree to the guidelines, select **Continue**.



## How to Share Accounts

- 3 Complete the E-Mail Address, Mobile Number, and Name of the trusted individual and select the accounts to share from the list on the right. Select **Share** when finished.

**Please Note:** Some accounts may not be eligible to share.

Share Account

Email Address  
johndoe@stifel.com

Mobile Number  
(314) 342-2251

Name  
John Doe

Relationship  
Optional

Cancel

Share

- My Accounts  
5 Accounts
- Jane Doe  
1111-1111
- Jane Doe IRA  
2222-2222
- Jane Doe Trust  
3333-3333
- Jack Doe UTMA  
4444-4444
- Jane Doe ROTH IRA  
5555-5555

- 4 After selecting **Share**, a confirmation window will display affirming that an e-mail has been sent to the trusted individual inviting them to view the shared accounts. The account holder is responsible for contacting the trusted individual in a manner that they deem secure to provide the **6-digit access code** that is displayed in the confirmation window. The trusted individual will be required to enter this code when they accept the sharing invite. The trusted individual will have 14 days to accept the sharing invite before it is automatically revoked. Select **Done** to complete the sharing process.

Share Account

An invitation has been sent to John Doe at johndoe@stifel.com with a link to accept your shared account(s). In order to protect your account information, John Doe must enter the following 6 digit access code when prompted before viewing your shared account(s).

224-836

You may tell John Doe this access code in person or your preferred method of contact.  
This code will expire in 14 days.

Done

## How to Share Accounts

5 On the Sharing tab under Account Settings, Stifel account holders can monitor the account(s) they have shared with trusted individuals and the status of sharing invitations. At any point, select **Edit** to modify the name/relationship of the trusted individual. Select **Revoke Access** to remove sharing access to the account(s).

Select **Get Access Code** to view the 6-digit access code and note the number of days until it expires.

STIFEL WEALTH TRACKER Welcome back, Jane Doe

Home  
Portfolio  
Research & News  
Manage Accounts  
My Financial Advisor  
My Documents  
Account Settings  
Settings  
Important Information  
Log Off

### Account Settings

Sharing Nicknames

#### Account Sharing

You can give permission for your family, accountant, or anyone of your choosing to view one or more of your accounts. Sharing an account grants them view-only access to your Stifel account(s) without allowing them to make changes, place trades, or transact on the account(s).

Share Accounts ▶

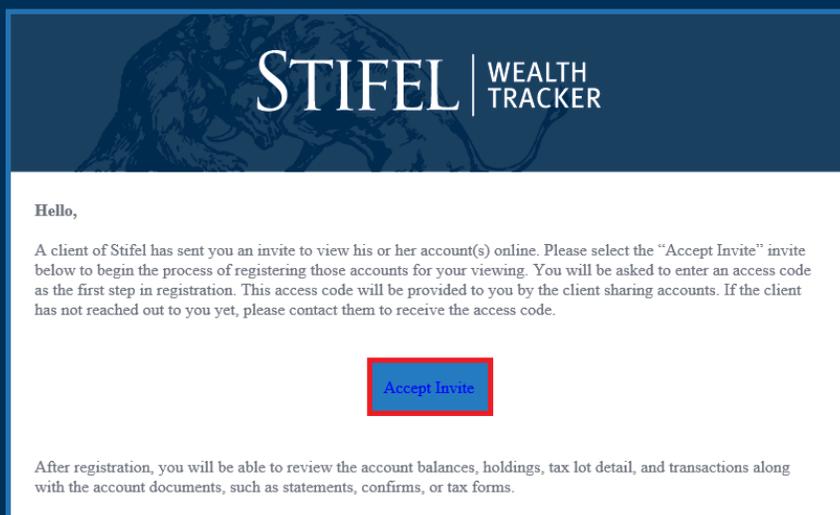
#### Accounts Shared By User

Shared With	Accounts Shared			
John Doe	Jane Doe 1111-1111	(PENDING)	<a href="#">Get Access Code</a>	<a href="#">Edit</a> <a href="#">Revoke Access</a>

## Adding Accounts Shared With You

Stifel account holders can invite trusted individuals to have view-only access to their account(s) online in Stifel Wealth Tracker. Once the Stifel account holder provides the trusted individual with a 6-digit access code, trusted individuals can follow the below instructions to successfully view the shared Stifel account(s) in Stifel Wealth Tracker.

- 1 Trusted individuals will receive an e-mail notifying them that a Stifel account holder has sent them an invitation to view their account(s) online. Select **Accept Invite** in the e-mail to proceed.



- 2 Key in the **6-digit code** provided by the individual sharing the account(s), and select **Continue**.



## How to Add Shared Accounts

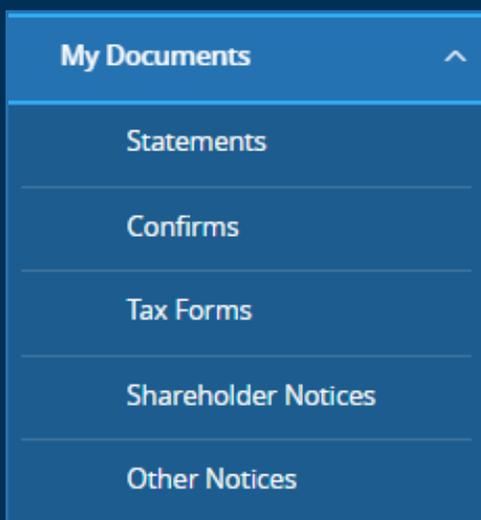
3 Select **Link to an Existing Login ID** or **Create a New Login ID**.

The screenshot shows the 'Link Account' interface. At the top, the Stifel Wealth Tracker logo is displayed. Below the logo, the heading 'Link Account' is followed by the question 'How do you want to associate John Doe's account(s)?'. Two buttons are presented: a solid blue button labeled 'Link to an Existing Login ID' and a white button with a blue border labeled 'Create a New Login ID'. Two callout boxes are present: one pointing to the blue button with the text 'Choose **Link to an Existing Login ID** to link the shared Stifel accounts to an existing Wealth Tracker Login ID.' and another pointing to the white button with the text 'Choose **Create a New Login ID** to view the shared Stifel accounts under a new Wealth Tracker Login ID.'

4 Follow the on-screen prompts to link the shared account(s) to an **existing** or **new** Stifel Wealth Tracker Login ID.

In the **My Documents** section of Wealth Tracker, users can view and download important documents including **Statements, Confirms, Tax Forms, Shareholder Notices**, and other notices.

Remove clutter from your mailbox by opting into e-mail delivery of documents notifications. By going paperless, you have the option to no longer receive paper copies. Elect for eDelivery under **Settings > Account Settings**.



### Statements

Wealth Tracker allows you to view your current and previous Stifel account statements. You can view the statements within the application or download the document to be able to view as a PDF or print.

You can access two years of statements through Wealth Tracker.

### Confirms

Wealth Tracker offers you the opportunity to quickly view confirmations of executed trades in your Stifel accounts online.

## Viewing Tax Forms

To find tax forms, navigate to **My Documents** and select **Tax Forms** from the sub menu.

The screenshot shows the Stifel Wealth Tracker interface. On the left is a navigation menu with 'My Documents' and 'Tax Forms' highlighted with red boxes. The main content area shows 'All Accounts' with a net worth of \$472,749.25 and a change of -\$9,345.63 (-1.94%). Below this is a 'Tax Forms' section with a '2022 Tax Year' dropdown and a table of tax forms. The table has columns for Date, Account, and Document. One row is visible with the date 1/13/23, a blurred account name, and the document '1099R - IRA Distributions'. A 'Download' link is highlighted with a red box in the original image. Below the table is an 'About Tax Forms' section with contact information for the Tax Reporting department.

Tax forms will be available starting in mid-January and will continue to be added throughout the tax season.

You can access two years of tax forms through Wealth Tracker – the current tax year and the previous year.

To download tax forms, click on the Download link in the row of the tax form.

This is a close-up of the 'Tax Forms' table from the previous screenshot. The 'Tax Forms' menu item is highlighted with a red box. The table row shows the date 1/13/23, a blurred account name, and the document '1099R - IRA Distributions'. The 'Download' link is highlighted with a red box.

Wealth Tracker now offers a convenient way to view and vote shareholder notices! Instead of being directed to a separate website and needing to provide a control number, you can now view and vote your shareholder notices with the click of a button on the Shareholder Notices page in Wealth Tracker.

The screenshot displays the STIFEL Wealth Tracker interface. At the top, it shows 'Your Accounts' with a net worth of \$115,644.65, down from a previous value of \$150,562.90 (-30.42%). Below this, the 'Shareholder Notices' section is active, showing a list of notices from 8/2/20 to today. The list includes notices for Rubbermaid Incorporated Limited and AGDYX. Each notice has a 'View' or 'Vote' button. A table below the list shows delivery details for a notice viewed on 8/27/20.

Date	Account	Description	Dist. Type	Category
8/25/20	Test Bob 3902-6838	Rubbermaid Incorporated Limited Test Tes	Proxy	Annual Report
8/25/20	Test Bob 3902-6838	Rubbermaid Incorporated Limited Test Tes	Proxy	Annual meeting
8/20/20	Test Bob 3902-6838	Rubbermaid Incorporated Limited Test Tes	Proxy	Annual Report
8/20/20	Test Bob 3902-6838	Rubbermaid Incorporated Limited Test Tes	Proxy	Annual meeting
8/6/20	Test Bob 3902-6838	AGDYX **Ab High Income Fund CI Advisor	Prospectus	Prospectus

Delivery Type	Status	Control Number	Cutoff Date
Delivered via postal mail	VIEWED - 8/27/20	7887450493313244	11/26/20

### Here are a few important points:

- ▶ **The new page is easy to use** – upon opening the page, you will see all available notices from the past 30 days with options to view or vote appearing on the right-hand side. Notices from different time periods can be viewed by clicking the calendar button at the top of the page.
- ▶ **Shareholder notices are available for a specific period of time based upon their distribution type:**
  - *Proxy* – 7 calendar days after the due date
  - *Regulatory* – 45 calendar days after the received date
  - *Prospectus* – 45 calendar days after the received date

- ▶ **A blue Vote button will be visible until you have placed your vote.** If you expand the section by clicking on the arrow on the left side of the notice, an indicator will show whether the document is new or has already been viewed. Once you have voted, the blue Vote button will be replaced by a green Voted indicator. Please note that it may take up to 15 minutes after your vote has been placed for the indicator to be updated.

▼ 8/20/20	Zebra 1530-8731	Rubbermaid Incorporated Limited Test Tes	Proxy	Annual meeting	 Voted
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- ▶ If you share your account with someone else, the other party will have view-only capability and not have the option to vote.
- ▶ Your Stifel Financial Advisor will have view-only capabilities to help you keep track of your shareholder notices.

### Other Notices

The Other Notices page captures documents relating to actions or activities that take place within your account(s).

*Currently these documents are included under Other Notices:*

- Third-Party Disbursement Notifications

Stifel Wealth Tracker allows you to easily and conveniently select how you would like to receive Statements, Confirms, Tax Forms, Shareholder Notices, and Other Notices. The Delivery section of our Account Settings tab allows you to select whether you would like to receive these important documents in an all-paper format or electronically via e-mail. You can also customize your preferences by either document type or set specific preferences for any or all of your accounts.

- 1 Log in to your Stifel Wealth Tracker account. Select Settings, Account Settings, then select the Delivery tab.

The screenshot displays the Stifel Wealth Tracker interface. On the left is a navigation menu with items: Home, Portfolio, Move Money, Bank with Stifel, Research & News, Manage Accounts, My Financial Advisor, My Documents, Settings (highlighted with a red box), Profile, Account Settings (highlighted with a red box), Alerts, Security Preferences, Important Information, and Log Off. The main content area is titled 'Account Settings' and has sub-tabs: Delivery (selected), Sharing, Nicknames, and Quicken. Under the 'Delivery Method' section, there is a text description and four radio button options: Paper Documents (selected), Email Delivery, Customized by Document, and Customized by Account. Below this is a 'Show Accounts' button. At the bottom, it shows 'Emails Delivered to' as john\_doe@test.com and an 'Edit Profile' link. The top right corner of the page says 'Good Afternoon, John Doe'.

- 2 Within the Delivery tab, select how you want eligible document types delivered. The Delivery Method options are:
- ▶ Paper Documents: Statements, Confirms, Tax Forms, Shareholder Notices, and Other Notices for all accounts will be delivered via mail.
  - ▶ E-Mail Delivery: A notice will be delivered to the e-mail address affiliated with the Stifel Wealth Tracker ID when a new Statement, Confirm, Tax Form, Shareholder Notice, or Other Notice is available to be viewed electronically.
  - ▶ Customized by Document: Customize Paper or E-Mail Delivery for Statements, Confirms, Tax Forms, Shareholder Notices, and Other Notices which will be applied to all accounts. See additional details that follow.
  - ▶ Customized by Account: Customize Paper or E-Mail Delivery for Statements, Confirms, Tax Forms, Shareholder Notices, and Other Notices for each account individually. See additional details that follow.

### Delivery Method

Remove the clutter from your mailbox by opting into email delivery of document notifications. By going paperless you have the option to no longer receive paper copies of these document types; Statements, Trade Confirms, Tax Forms, Shareholder Notices and Other Notices. ⓘ

Paper Documents

Email Delivery

Customized by Document

Customized by Account

Show Accounts

### Customized by Document

Customize the delivery methods for each unique document type. The delivery method selected for **each unique document type** will be applied to **all accounts**.

STIFEL WEALTH TRACKER Good Afternoon, John Doe

Home  
Portfolio  
Move Money  
Bank with Stifel  
Research & News  
Manage Accounts  
My Financial Advisor  
My Documents  
**Settings**  
Profile  
**Account Settings**  
Alerts  
Security Preferences  
Important Information  
Log Off

### Account Settings

[Delivery](#) [Sharing](#) [Nicknames](#) [Quicken](#)

**Delivery Method**  
Remove the clutter from your mailbox by opting into email delivery of document notifications. By going paperless you have the option to no longer receive paper copies of these document types; Statements, Trade Confirms, Tax Forms, Shareholder Notices and Other Notices. ⓘ

Paper Documents  Email Delivery  Customized by Document  Customized by Account

Statements	Confirms	Tax Forms	Shareholder Notices	Other Notices
<input checked="" type="radio"/> Paper Documents <input type="radio"/> Email Delivery	<input type="radio"/> Paper Documents <input checked="" type="radio"/> Email Delivery	<input checked="" type="radio"/> Paper Documents <input type="radio"/> Email Delivery	<input type="radio"/> Paper Documents <input checked="" type="radio"/> Email Delivery	<input type="radio"/> Paper Documents <input checked="" type="radio"/> Email Delivery

[Show Accounts](#)

Emails Delivered to  
john\_doe@test.com

### Future Accounts

Select the Delivery Method that should be applied to future accounts you may open at Stifel. If you make no election in this field, the default delivery method will be Paper Documents. You can make changes to this election at any time.

The screenshot displays the 'Account Settings' page in the Stifel Wealth Tracker interface. The left sidebar contains navigation options: Home, Portfolio, Move Money, Bank with Stifel, Research & News, Manage Accounts, My Financial Advisor, My Documents, Settings (highlighted with a red box), Profile, Account Settings (highlighted with a red box), Alerts, Security Preferences, Important Information, and Log Off. The main content area is titled 'Account Settings' and includes tabs for 'Delivery', 'Sharing', 'Nicknames', and 'Quicken'. Under the 'Delivery Method' section, there are four radio button options: 'Paper Documents', 'Email Delivery', 'Customized by Document', and 'Customized by Account' (highlighted with a red box). Below this, a 'Future Accounts' section is visible, which allows users to set delivery preferences for new accounts. This section includes a dropdown menu currently set to 'Customized by Document' (highlighted with a red box) and a table of preferences for various document types.

Statements	Confirms	Tax Forms	Shareholder Notices	Other Notices
<input type="radio"/> Paper Documents	<input type="radio"/> Paper Documents	<input checked="" type="radio"/> Paper Documents	<input type="radio"/> Paper Documents	<input type="radio"/> Paper Documents
<input checked="" type="radio"/> Email Delivery	<input checked="" type="radio"/> Email Delivery	<input type="radio"/> Email Delivery	<input checked="" type="radio"/> Email Delivery	<input checked="" type="radio"/> Email Delivery

**Questions?**

Contact Stifel Wealth Tracker at  
**(866) 697-8433** or **wealthtracker@stifel.com**.

**Monday – Friday**  
6:00 a.m. – 7:00 p.m. Central

**Saturday**  
7:30 a.m. – 4:00 p.m. Central